



United Nations
Educational, Scientific and
Cultural Organization



The Protection of the
Underwater Cultural Heritage

4 MSP

UCH/13/4.MSP/220/10

31 May 2013

Original: English

Distribution limited

**UNITED NATIONS EDUCATIONAL, SCIENTIFIC
AND CULTURAL ORGANIZATION**

**CONVENTION ON THE PROTECTION OF THE UNDERWATER CULTURAL HERITAGE
MEETING OF STATES PARTIES**

**Fourth Session
Paris, UNESCO Headquarters, Room IV
28-29 May 2013**

Resolutions

RESOLUTION 1/MSP 4

The Meeting of States Parties, in its fourth session,

1. Elects Mr Khalil Karam (Lebanon), as Chairperson of the Meeting of States Parties;
2. Elects Mr Viktor Vakoniev (Ukraine), as Rapporteur of the Meeting of States Parties;
3. Elects France, Iran (Islamic Republic of), Mexico and Nigeria, as Vice-Chairpersons of the Meeting of States Parties.

RESOLUTION 2/MSP 4

The Meeting of States Parties, in its fourth session,

1. Having examined document UCH/13/4.MSP/220/2 REV 2,
2. Adopts the Agenda included in the above-mentioned document, as amended.

RESOLUTION 3/MSP 4

The Meeting of States Parties, at its fourth session,

1. Having examined the draft summary record of the third session of the Meeting of States Parties set out in the Annex of document UCH/13/4.MSP/220/3 REV;
2. Adopts the summary record as contained therein, as amended;
3. Takes note of the Secretariat Report contained in document UCH/13/4.MSP/220/INF.1 and decides to discuss such report at each of its sessions as a separate and standing item on the Agenda of the Meeting of States Parties.

RESOLUTION 4/MSP 4

The Meeting of States Parties, in its fourth session,

1. Having examined document UCH/13/4.MSP/220/4 REV 4 and document UCH/13/4.MSP/220/INF.2, and the recommendations contained therein, thanks the Advisory Body for its work; and
2. Recognizes the severe threats posed to the preservation of underwater cultural heritage by pillaging, commercial exploitation and activities indirectly affecting the underwater cultural heritage;
3. Acknowledges the need to balance the economic interest of development projects, resource extraction projects, and tourism with the need to preserve the underwater cultural heritage;
4. Recommends to States Parties to raise **awareness among promoters** of development and resource extraction projects, fishers, divers and other stakeholders; and

5. Recommends to States Parties, with respect to **development and resource extraction projects**, to ensure that:
- a. development and resource extraction projects take into account the presence of underwater cultural heritage;
 - b. the project document submitted for the authorization of development and resource extraction projects includes mandatory assessment of the area and identification of underwater cultural heritage contained therein;
 - c. the competent national authorities for underwater cultural heritage are mandatorily consulted in the authorization of all development and resource extraction projects that concern coastal areas or the seabed; or, if such consultation is not possible, that the authorizing national authorities include special experts on underwater cultural heritage;
 - d. the evaluation criteria applied in the authorization of development and resource extraction projects include the project's impact on underwater cultural heritage;
 - e. the public and private developers of such projects should provide the funds and be responsible for:
 - i. the assessment of the project area and the identification of underwater cultural heritage therein;
 - ii. the prevention, to the extent possible, of impact to underwater cultural heritage caused by the project in the project area and its surrounding environment;
 - iii. the mitigation of negative effects caused by the project in the project area and its surrounding environment;
 - iv. the conservation of the affected underwater cultural heritage; and
 - v. the promotion of affected underwater cultural heritage and the dissemination of knowledge about it;
 - f. alternatively, a levy on all relevant infrastructure and resource extraction projects is imposed and placed in a fund dedicated to:
 - i. the preliminary assessment of all development areas;
 - ii. the identification or prediction of underwater cultural heritage sites in these areas; and
 - iii. the taking of the measures cited under paragraph e;
 - g. sanctions are imposed on developers of infrastructure and resource extraction projects that do not respect the provisions put in place for the protection of underwater cultural heritage;
 - h. the mapping and establishment of inventories of coastal areas and territorial waters is reinforced to allow for the elaboration of predictive models in order to recognize risk areas, identify underwater cultural heritage and establish impact prevention and mitigation policies; and

- i. a charter on development projects, infrastructure projects and their relation to the protection of underwater cultural heritage is elaborated.
6. Recommends to States Parties with respect to **fishing and trawling activities** to encourage:
 - a. the creation of physical protection measures for underwater cultural heritage sites or related protection areas; and
 - b. the introduction of the issue of underwater cultural heritage protection in fishing policies and the establishment of specific protection areas in which fishing is prohibited.
7. Recommends to States Parties with respect to **leisure diving activities**, to encourage:
 - a. the collaboration with and awareness-raising of diving operators toward the protection of underwater cultural heritage, in undertaking activities such as promotion of the UNESCO Code of Ethics for Diving on Submerged Archaeological Sites; and
 - b. the possible introduction of incentives for the consignment of chance finds to the national competent authorities.
8. Recommends to States Parties, recalling Article 22.1 of the Convention, with respect to national authorities:
 - a. to provide the competent national authorities with the funds, personnel, technical means and facilities necessary to ensure the proper management, research and conservation of such heritage; and
 - b. to encourage the development of their own underwater cultural heritage register sheets in line with national legislations for the establishment, maintenance and updating of an inventory of underwater cultural heritage, or to use the **Model Sheet for Inventories** of Underwater Cultural Heritage annexed to the present Resolution.
9. Recommends to States Parties with respect to **research and capacity-building** to encourage:
 - a. an increase of national science funding to provide financing for research activities directed at underwater cultural heritage;
 - b. international and regional capacity-building initiatives and specialist training;
 - c. the harmonization of academic qualification standards for underwater archaeologists; and
 - d. the **harmonization of licensing for scientific divers** including the related legal, health and safety requirements, to facilitate international collaboration on research projects and to identify common minimum basic standards for archaeological divers, harmonize the training of diving for archaeological purposes, and to mutually recognize national diving qualifications for archaeological purposes.

10. Recommends to States Parties with respect to **interventions** to ensure decisions on whether a site is excavated or preserved in situ are based on analyses comparing their significance with that of other existing sites.
11. Encourages States Parties to increase **work and cooperate** on:
 - a. inland water related underwater archaeological research;
 - b. sea routes; and
 - c. submerged prehistoric landscapes and sites, in particular in conjunction with the implementation and promotion of instruments protecting the environment.
12. Encourages States Parties to consider that the **financing of excavations** by the process of de-accessioning of the artefacts from a collection and/or inventory of the concerned site and its subsequent sale is not consistent with the rules annexed to the 2001 Convention.
13. Encourages the States Parties in regard to **education** to:
 - a. introduce topics on underwater cultural heritage in educative material and curricula of primary, secondary and higher level schools and educational institutions;
 - b. develop short films and cartoons for children for publication on the UNESCO underwater cultural heritage “Kids page” and contribute any other appropriate material to this Kids page;
 - c. develop and facilitate cooperation with appropriate children publication producers; and
 - d. circulate and exchange appropriate exhibitions on underwater cultural heritage.
14. Decides to organize, with no incidence on the Regular Programme of UNESCO, in an international effort **special commemorative and scientific events** on underwater cultural heritage from World War I in 2014 and invites States to contribute extra-budgetary resources required to assure them.
15. Encourages States Parties in regard to the **image of underwater archaeology** to:
 - a. take measures to demonstrate the public interest and utility of the protection of the underwater cultural heritage;
 - b. collect information on recommendable models and statistical information;
 - c. propose models for managing underwater cultural heritage in a way that brings benefits for the sustainable economic development of regions; and
 - d. increase the positive image of underwater archaeology and the involvement of the public in the awareness, the protection and enjoyment of the underwater cultural heritage.
16. Regarding **virtual access** initiatives it:
 - a. Encourages States Parties to create special underwater cultural heritage websites avoiding disclosing information which may endanger the sites.

- b. Asks the Secretariat to make such initiatives available in a common web space or project on the UNESCO underwater cultural heritage website;
- c. Encourages accredited NGOs to contribute to this project or website and to assist in the quality and ethical control of the proposed initiatives.

RESOLUTION 5/MSP 4:

The Meeting of States Parties, in its fourth session,

1. Having examined document UCH/13/4.MSP/220/5 REV,
2. Decides that, for the purposes of the election of the Members of the Scientific and Technical Advisory Body at the present session, the 6 seats will be distributed among the electoral groups as follows: Group I (1); Group II (1); Group III (2); Group IV (1); Group V (b) (1);
3. Elects the following 6 Members of the Scientific and Technical Advisory Body for a term of four years from the date of their election:

Group I:	Michel L'Hour (France)
Group II:	Constantin Chera (Romania)
Group III:	Maria Elena Barba Meinecke (Mexico) and Dolores Elkin (Argentina)
Group IV:	Seyed Hossein Sadat Meidani (Islamic Republic of Iran)
Group V (b):	Ouafa Ben Slimane (Tunisia)

RESOLUTION 6/MSP 4

The Meeting of States Parties, in its fourth session,

1. Having examined document UCH/13/4.MSP/220/7 REV 2,
2. Thanks the members of the Working Group of States Parties for their preparation of the new draft Operational Guidelines;
3. Adopts the Operational Guidelines for the Convention on the Protection of the Underwater Cultural Heritage, as annexed to the present resolution.

RESOLUTION 7/MSP 4

The Meeting of States Parties, in its fourth session,

1. Having examined document UCH/13/4.MSP/220/8 REV as well as the Operational Guidelines as adopted,
2. Having considered the background of the NGOs as provided by them and as made available in document UCH/13/4.MSP/220/INF.4 REV,
5. Decides to accredit the following NGOs:

- ACUA (Advisory Council on Underwater Archaeology)
 - ADRAMAR (Association for the Development of Maritime Archaeological Research)
 - AIMA (Australian Institute for Maritime Archaeology)
 - ARKAEOS
 - CIE (Centre for International Heritage Activities)
 - DEGUWA (German Society for the Promotion of Underwater Archaeology)
 - INA (Institute of Nautical Archaeology)
 - JNAPC (Joint Nautical Archaeology Policy Committee)
 - NAS (Nautical Archaeology Society)
 - SHA (Society for Historical Archaeology)
4. Decides that the Secretariat shall present at the next session of the Meeting of States Parties updated forms for the accredited NGOs in accordance with Chapter VI of the Operational Guidelines as adopted;
5. Decides to review the application for accreditation of ADMAT (Anglo Danish Maritime Archaeological Team) at its next session.

RESOLUTION 8/MSP 4

The Meeting of States Parties, in its fourth session

1. Having examined document UCH/13/4.MSP/220/9 REV;
2. Decides to convene the fifth session of the Meeting of States Parties in Paris in spring 2015.

Annex to Resolution 6/MSP 4



**Operational Guidelines
for the
Convention on the Protection of the
Underwater Cultural Heritage¹**

¹ Adopted by Resolution 6 / MSP 4

	PAGE
CHAPTER I. INTRODUCTION.....	11
A. THE CONVENTION	11
1. Context and Content of the Convention.....	11
2. The Scope of Application of the Convention.....	12
B. STATES PARTIES TO THE CONVENTION.....	12
1. General Remarks.....	12
2. Competent Authorities	13
C. THE MEETING OF STATES PARTIES	13
D. SUBSIDIARY BODIES OF THE MEETING OF STATES PARTIES.....	14
1. The Scientific and Technical Advisory Body.....	14
2. Other Subsidiary Bodies	14
E. THE SECRETARIAT.....	14
F. THE PRESENT OPERATIONAL GUIDELINES	14
<i>CHAPTER II. STATE COOPERATION.....</i>	<i>15</i>
A. NOTIFICATIONS.....	15
B. DECLARATIONS OF INTEREST	15
<i>CHAPTER III. OPERATIONAL PROTECTION.....</i>	<i>15</i>
A. THE PROTECTION OF UNDERWATER CULTURAL HERITAGE.....	15
B. THE RULES.....	16
C. UNDERTAKING ACTIVITIES	16
D. RESEARCH	16
E. IN SITU PRESERVATION AND EXCAVATION	16
F. DOCUMENTATION AND PREPARATION OF INVENTORIES.....	17
G. PRESERVATION AND CONSERVATION	17
H. ACTIVITIES INCIDENTALLY AFFECTING UNDERWATER CULTURAL HERITAGE.....	17
I. PUBLICATIONS FOR SCIENCE AND THE PUBLIC.....	18
J. CAPACITY-BUILDING.....	18
K. PUBLIC ENJOYMENT AND AWARENESS	18
L. INFORMATION-SHARING.....	19
M. PROMOTION OF BEST PRACTICES.....	19
N. MOBILIZATION OF NATIONAL AND INTERNATIONAL SUPPORT FOR THE CONVENTION	19
CHAPTER IV. FINANCING	20
A. FINANCING THE IMPLEMENTATION OF THE STATE COOPERATION MECHANISM.....	20
B. THE UNDERWATER CULTURAL HERITAGE FUND.....	20
C. FINANCIAL ASSISTANCE.....	21
D. PROCEDURE AND FORMAT	21
<i>CHAPTER V. PARTNERS.....</i>	<i>22</i>

- A. PARTNERS IN THE IMPLEMENTATION PROCESS OF THE CONVENTION 22
- B. PARTNERS AT THE NATIONAL LEVEL 22
- CHAPTER VI. ACCREDITATION OF NGOS* 23
- A. CRITERIA FOR THE ACCREDITATION OF NGO 23
- B. PROCEDURES OF ACCREDITATION 23
- C. REVIEW OF ACCREDITATION 23

	CHAPTER I. INTRODUCTION
	A. THE CONVENTION
	1. Context and Content of the Convention
	<p>1) The Convention on the Protection of the Underwater Cultural Heritage (hereinafter "the Convention") was elaborated by the UNESCO Member States as a response to the growing damage done by human activities endangering submerged archaeological sites, including the damage that might arise from activities under their jurisdiction incidentally affecting underwater cultural heritage. These are for instance dredging, pipeline construction, mineral extraction, trawling and port works. The Convention also responds to the deep concerns regarding the increasing commercial exploitation of underwater cultural heritage, and in particular by certain activities aimed at the sale, acquisition or barter of underwater cultural heritage.</p> <p>2) The Convention intends to enable States to better protect underwater cultural heritage, by setting high protection standards and by facilitating State cooperation. The protection standards of the Convention are comparable to that granted by other UNESCO Conventions or national legislation on cultural heritage on land. Nevertheless, they are specifically tailored to the treatment of traces of human existence found under water, having a cultural, historical or archaeological character and respect their peculiarities regarding, among others, their fragility, accessibility and underwater environment.</p> <p>3) In the long term the Convention is intended to achieve the appropriate legal protection of underwater archaeological sites wherever they are located. It should enable States Parties to collaborate and adopt a common approach to heritage preservation and ethical scientific management of submerged sites. Its goal is to harmonize the protection of submerged heritage with that of heritage on land and provide archaeologists, State authorities and site management institutions with norms on how to treat it.</p> <p>4) The Convention contains minimum requirements. Each State Party, if it so wishes, may choose to develop even higher standards of protection, for example by also protecting on a national level remains submerged less than 100 years. Among others, the Convention:</p> <ul style="list-style-type: none"> • sets out basic principles for protecting underwater cultural heritage; • contains provisions for an international cooperation scheme; and • provides practical Rules on how to intervene on and research underwater cultural heritage sites.
Article 3 of the Convention	<p>5) The Convention does not regulate the ownership of underwater cultural heritage nor does it prejudice the rights, jurisdiction or duties of States Parties under international law, including the United Nations Convention on the Law of the Sea (hereinafter "UNCLOS"). When a doubt arises with regard to the interpretation and the application of the Convention, the latter shall be interpreted and applied in the context of and in a manner consistent</p>

	with international law, including UNCLOS.
	2. The Scope of Application of the Convention
	6) The Convention applies, as regulated in its text and with the limitations contained therein, to the entire jurisdiction of its States Parties, unless a reservation is made under its Article 29. This applies to internal waters, archipelagic waters, the territorial seas, the contiguous zones, the exclusive economic zones (hereinafter ' EEZ ') and the continental shelves. It also applies to the Area (the seabed and ocean floor and subsoil thereof, beyond the limits of national jurisdiction). The Convention protects as well heritage that has been or is only periodically submerged by water, partially or totally for at least 100 years, as for instance, wrecks or remains of human dwellings located on land, but periodically inundated by the tides.
<i>Article 33 of the Convention</i> <i>Article 28 of the Convention</i>	7) The Rules concerning activities directed at underwater cultural heritage, contained in the Annex of the Convention (hereinafter " the Rules "), are an integral part of the Convention. They apply automatically on entry into force of the Convention for a State Party to maritime waters as provided in the Convention. Any State Party or territory may declare at any time that the Rules shall apply to its inland waters not of a maritime character.
<i>Article 29 of the Convention</i>	8) At the time of expressing its consent to be bound by the Convention, a State or territory may make a declaration to the Director-General of UNESCO that the Convention shall not be applicable to specific parts of its territory, internal waters, archipelagic waters or territorial sea, and shall identify therein the reasons for such declaration. Such State shall, to the extent practicable and as quickly as possible, promote conditions under which the Convention will apply to the areas specified in its declaration, and to that end shall also withdraw its declaration in whole or in part as soon as that has been achieved.
	B. STATES PARTIES TO THE CONVENTION
	1. General Remarks
	9) States are encouraged to become party to the Convention by ratifying, accepting, approving (legal acts open to Member States of UNESCO) or acceding to (legal act open to States not members of UNESCO and territories as defined by Article 26.2 (b)) of the Convention. A list of States Parties to the Convention as well as of declarations and reservations made is available on the UNESCO website www.unesco.org/en/underwater-cultural-heritage .
	10) While fully respecting the sovereignty or jurisdiction of the States or territories where the underwater cultural heritage is situated, States Parties to the Convention recognize the collective interest of the international community to cooperate in the protection of this heritage. States Parties to the Convention, have, among others the responsibility to:
<i>Article 2.4 of the Convention</i>	i. individually or jointly, take all appropriate measures in conformity with the Convention and with international law that are necessary to protect underwater cultural heritage, using for this purpose the

	best practicable means at their disposal, in particular those foreseen in the Rules, and in accordance with their capabilities;
<i>Article 2.2 of the Convention</i>	ii. cooperate in the protection of underwater cultural heritage;
<i>Article 2.7 and 16 of the Convention</i>	iii. prevent intrusive activities directed at underwater cultural heritage aiming at commercial exploitation and avoid commercial exploitation of underwater cultural heritage.
	11) States Parties to the Convention are encouraged to ensure the participation of a wide variety of professionals, site managers, local and regional governments, local communities, underwater archaeologists, conservation specialists, non-governmental organizations ('NGOs') and the public at large in the protection of the underwater cultural heritage and the implementation of the Convention.
<i>Article 22.1 of the Convention</i>	12) States Parties are encouraged to bring together their underwater cultural heritage experts at regular intervals to discuss the proper implementation of the Convention.
	2. Competent Authorities
<i>Article 22.1 of the Convention</i>	13) States Parties shall establish competent authorities or reinforce the existing ones where appropriate, with the aim of providing for the establishment, maintenance and updating of an inventory of underwater cultural heritage, the effective protection, conservation, presentation and management of underwater cultural heritage, as well as research and education to ensure the proper implementation of the Convention.
<i>Article 22.2 of the Convention</i>	14) States Parties shall communicate to the Director-General the names and addresses of their competent authorities relating to underwater cultural heritage. They should immediately inform him/her about any change in the details communicated.
	15) The Director-General shall make available to all States Parties an updated list with the name and addresses of the competent authorities of all the States Parties to the Convention, through the website www.unesco.org/en/underwater-cultural-heritage .
<i>Articles 8 – 13 of the Convention</i>	16) All reports, notifications or information to be sent to States Parties, as provided in the Convention, shall be addressed to the competent national authorities through diplomatic channels.
	C. THE MEETING OF STATES PARTIES
<i>Article 23 of the Convention</i>	17) The Meeting of States Parties to the Convention is its main organ. It is convened in ordinary session by the Director-General at least once every two years. At the request of a majority of States Parties, the Director-General convenes an extraordinary session. The agenda for an extraordinary session includes only those questions for which the session has been convened. 18) The functions and responsibilities of the Meeting and the management of its session are regulated by the Convention complemented by its Rules of Procedure, which are available in electronic format at the website: www.unesco.org/en/underwater-cultural-heritage , or in hard copy through

	the Secretariat.
	D. SUBSIDIARY BODIES OF THE MEETING OF STATES PARTIES
	1. The Scientific and Technical Advisory Body
<i>Article 23.4 of the Convention</i>	19) The first Meeting of States Parties to the Convention established a Scientific and Technical Advisory Body to the Meeting of States Parties to the Convention (hereinafter " the Advisory Body "), in accordance with Article 23.4 of the Convention. Its functions and responsibilities are regulated by its Statutes available in electronic format at the website: www.unesco.org/en/underwater-cultural-heritage , or in paper version through the Secretariat.
	2. Other Subsidiary Bodies
<i>Rule 4 of the Rules of Procedure of the Meeting of States Parties</i>	20) Further subsidiary bodies may be established by the Meeting of States Parties as deemed necessary. They will be composed of States Parties. Their composition and their terms of reference, including their mandate and duration of office, will be defined at the time of their establishment.
	E. THE SECRETARIAT
<i>Article 24 of the Convention</i>	21) The Secretariat for the Convention is ensured by UNESCO. It organizes the sessions of the Meeting of States Parties and its Advisory Body and assists States Parties in the implementation of the decisions taken. The working languages of the Secretariat are English and French.
	F. THE PRESENT OPERATIONAL GUIDELINES
<i>Articles 26 and 29 of the Convention</i>	22) The present Operational Guidelines can neither be understood as a subsequent agreement nor as rewriting, amending or interpreting the Convention. They merely aim to facilitate its implementation by giving practical guidance. In case of doubt, the text of the Convention prevails as interpreted according to the general rules of interpretation codified in the Vienna Convention of the Law of Treaties of 1969.
	23) The Operational Guidelines may be revised by the Meeting of States Parties to the Convention whenever deemed necessary.
<i>Article 12.2 of the Convention</i>	24) The key users addressed by the present Operational Guidelines are: <ul style="list-style-type: none"> i. States Parties to the Convention and the territories to which Article 26 of the Convention refers; ii. the Advisory Body; iii. any subsidiary body that the Conference of the States Parties might create; iv. UNESCO and the Secretariat to the Convention; v. the International Seabed Authority; vi. concerned intergovernmental organisations ('IGOs') and/or their specialised agencies or organs; vii. concerned NGOs, in particular those accredited to work with and be consulted by the Advisory Body; and

	<p>viii. site managers, archaeologists, interested parties and partners in the protection of underwater cultural heritage.</p> <p>25) Irrespective of its legal nature or denomination, any entity dedicated to or supporting the commercial exploitation of the underwater cultural heritage shall not be considered a user addressed by the present Operational Guidelines.</p>
	CHAPTER II. STATE COOPERATION
	A. NOTIFICATIONS
<i>Article 9.3 and 11.2 of the Convention</i>	<p>26) State Parties notify the Director-General of UNESCO as promptly as possible through diplomatic channels of a discovery of underwater cultural heritage or an activity directed at it. When the concerned underwater cultural heritage is located in the Area, they notify in addition, the Secretary-General of the International Seabed Authority. In making its notification a State shall use the forms attached to the present Guidelines. It shall use:</p> <p>a) Form 1 for notifying a discovery; and</p> <p>b) Form 2 for notifying an activity.</p>
	B. DECLARATIONS OF INTEREST
<i>Article 9.5 of the Convention</i> <i>Article 11.4 of the Convention</i>	<p>27) A State Party wishing to declare its interest in being consulted on how to ensure the protection of a specific underwater cultural heritage shall send its declaration through diplomatic channels and using Form 3 attached to the present Guidelines:</p> <p>a) to the State Party in which's EEZ or on which's continental shelf the concerned heritage is located;</p> <p>b) to the Director-General of UNESCO if the heritage is located in the Area.</p>
	<p>28) In declaring its interest to be consulted, a State Party should inform on its link to the underwater cultural heritage concerned by accompanying its declaration by:</p> <p>a.) the results of scientific expertises;</p> <p>b.) historic documentation; or</p> <p>c.) any other adequate documentation.</p>
	CHAPTER III. OPERATIONAL PROTECTION
	A. THE PROTECTION OF UNDERWATER CULTURAL HERITAGE
<i>Article 19.1 of the 2001 Convention</i>	<p>29) States Parties cooperate and assist each other in the protection and management of underwater cultural heritage, including, where practicable, collaborating in the investigation, excavation, documentation, conservation, study and presentation of such heritage. Such protection includes all necessary measures to avoid the commercial exploitation of underwater cultural heritage through trade, speculation or even barter. The underwater cultural heritage cannot be treated as commercial goods.</p>

	<p>30) States Parties should, in particular, endeavour to:</p> <ul style="list-style-type: none"> a.) share information about envisaged, on-going and completed projects; b.) make available expertise and expert advice; c.) facilitate the setting up of, and participation in, capacity-building programmes, the creation of specialized museums, the implementation of educational programmes (at an undergraduate, graduate and postgraduate level) and the exchange of exhibitions; and d.) put in place mechanisms and measures facilitating and enhancing the sharing of expertise and best practices.
	B. THE RULES
<i>Article 33 of the Convention</i>	31) The Rules concerning activities directed at underwater cultural heritage are an integral part of the Convention. They set standards for all activities targeting traces of human existence in the sense of Article 1.1 of the Convention.
	C. UNDERTAKING ACTIVITIES
<i>Rule 22 and 23 of the Rules</i>	<p>32) Activities directed at underwater cultural heritage must only be undertaken under the direction and control of, and in the regular presence of, a qualified underwater archaeologist with scientific competence appropriate to the project.</p> <p>33) All persons on the project team must be qualified in their respective specialisation and have demonstrated competence appropriate to their role(s) in the project.</p>
	D. RESEARCH
	<p>34) An appropriate investigation is a prerequisite for any decision concerning desired interventions and the establishment of a site protection plan.</p> <p>35) States Parties are encouraged to employ a variety of archaeological sciences for investigation purposes, as for instance archaeology, namely underwater, nautical and maritime archaeology, archaeo-botany, archaeo-zoology, chemistry, cultural anthropology, dendrochronology, geology, history, historical documentation, physical and information sciences and x-raying, as appropriate, for the gathering of archaeological data.</p> <p>36) They should consult appropriately qualified experts in the concerned fields.</p>
	E. IN SITU PRESERVATION AND EXCAVATION
<i>Article 2.5 of the Convention and Rule 1 of the Rules</i>	<p>37) The preservation of underwater cultural heritage <i>in situ</i> shall be considered as the first option before allowing or engaging in any activities directed at it. Activities should be authorized in a manner consistent with protection, and for the purpose of making a significant contribution to protection, knowledge or enhancement.</p> <p>38) Before deciding on preservation measures or activities, an assessment should be made of:</p> <ul style="list-style-type: none"> a.) the significance of the concerned site;

<p>Rule 4 of the Rules</p>	<p>b.) the significance of the expected result of an intervention; c.) the means available; and d.) the entirety of the heritage known in the region.</p> <p>39) Appropriate consideration needs to be given to the importance of inventories of sites.</p> <p>40) Activities directed at underwater cultural heritage must use non-destructive techniques and survey methods in preference over the recovery of objects. If excavation or recovery is necessary for the purpose of scientific studies or for the ultimate protection of the underwater cultural heritage, the methods and techniques used must be as non-destructive as possible and contribute to the preservation of the remains.</p> <p>41) Equally, any activity directed at underwater cultural heritage must balance the environmental impact or damage to be created, if any.</p>
	<p>F. DOCUMENTATION AND PREPARATION OF INVENTORIES</p>
	<p>42) Archaeological sites are fragile and sensitive to intrusion. It is important that information contained within the site is carefully recorded.</p> <p>43) It is recommended that States prepare inventories of their underwater cultural heritage. They should do so in due consideration of the desirability of common standards for all national inventories of States Parties and their inter-changeability to facilitate research.</p> <p>44) To inventory their underwater cultural heritage, States Parties are encouraged to require all national authorities, in particular coast guards, the navy, dredging services, research services and fishery monitoring services, to cooperate with and forward acquired information to the national competent authorities in the sense of Article 22.2. States Parties may also request assistance from any international or national specialized body, as appropriate.</p>
	<p>G. PRESERVATION AND CONSERVATION</p>
<p>Article 2.6 of the Convention Rule 25</p>	<p>45) Site supervision and the physical protection of sites are recommended, where needed, to dissuade intrusion and avoid the damaging of submerged archaeological sites, including looting. States Parties should establish site management plans in conformity with Rule 25 of the Rules and encourage all national authorities undertaking or supervising activities to take the existence of underwater cultural heritage into account.</p> <p>46) Recovered underwater cultural heritage shall be deposited, conserved and managed in a manner that ensures its long-term preservation. Particular regard should be given to the specific needs of the conservation of artefacts recovered from underwater, as for instance the effects of oxygen influence, the impact of drying, and the development of damaging substances.</p>
	<p>H. ACTIVITIES INCIDENTALLY AFFECTING UNDERWATER CULTURAL HERITAGE</p>
<p>Article 5 of the Convention</p>	<p>47) Each State Party shall use the best practicable means at its disposal to prevent or mitigate any adverse effects that might arise from activities under its jurisdiction incidentally affecting underwater cultural heritage.</p> <p>48) States should endeavour to establish national rules for the authorization of</p>

	<p>interventions on underwater cultural heritage sites. These rules should also cover activities which only incidentally affect underwater cultural heritage sites as well as areas where it is not certain that such sites exist but there is a possibility of their presence. States are encouraged to require the approval of their national competent authorities as described in Article 22.1 of the Convention for any such intervention.</p> <p>49) Where and when appropriate, local communities directly linked to the underwater cultural heritage sites should be engaged in any activity directed at this heritage.</p>
	I. PUBLICATIONS FOR SCIENCE AND THE PUBLIC
<i>Rule 10, 26 and 27 of the Rules</i>	<p>50) States Parties should require that any significant activity directed at underwater cultural heritage is accompanied by a scientific publication and that the public is appropriately informed about on-going projects and the results of the research. No activity directed at underwater cultural heritage should be authorized without a programmed and affordable plan of publication according to the available financial resources. Such plan must include both information addressed to the scientific community as well as information addressed to the general public.</p> <p>51) Scientific publications should permit the evaluation of the activities undertaken and the knowledge obtained by them. They should be published after the end of the activity by a reasonable deadline according to the type and scale of the activity and of the researched site.</p>
	J. CAPACITY-BUILDING
<i>Article 21 of the Convention</i>	<p>52) States Parties shall cooperate in the provision of training in underwater archaeology, in techniques for the conservation of underwater cultural heritage and, on agreed terms, in the transfer of technology relating to underwater cultural heritage, including, but not limited to:</p> <ul style="list-style-type: none"> a.) organizing and participating in regional and international training programmes; b.) training specialists to work in the research and protection of underwater cultural heritage; and c.) creating specialized national or international institutions for the training in underwater archaeology and research in underwater cultural heritage and material conservation. <p>53) States Parties are encouraged to elaborate and adopt, in so far as possible, common standards to promote qualifications and competences in underwater archaeology and to exchange information thereon.</p>
	K. PUBLIC ENJOYMENT AND AWARENESS
<i>Article 20 of the Convention</i>	<p>54) States Parties shall take all practicable measures to raise public awareness regarding the value and significance of underwater cultural heritage and the importance of protecting it under the Convention. They should, <i>inter alia</i>:</p> <ul style="list-style-type: none"> a.) cooperate in regional or international awareness raising campaigns; b.) foster the publication of information on the protection and the value of underwater cultural heritage via the media and the Internet;

	<ul style="list-style-type: none"> c.) facilitate community, group or public events focusing on the enhancement or protection of the underwater cultural heritage, including, in particular, programs for divers, fishermen, sailors, coastal developers and marine spatial planners; d.) make available general information on underwater cultural heritage located on their territory, as appropriate; e.) inform the public about activities directed at underwater cultural heritage and the recovery of artefacts from sites, including about their final storage; and f.) take any other appropriate measures.
	<p>L. INFORMATION-SHARING</p>
<p><i>Article 19 of the Convention</i></p>	<p>55) Subject to Article 19.3 of the Convention, States Parties are encouraged to share information with other States Parties concerning underwater cultural heritage, including its discovery and location, heritage excavated or recovered contrary to this Convention or otherwise in violation of international law, pertinent scientific methodology and technology, and legal developments relating to such heritage by:</p> <ul style="list-style-type: none"> a.) sharing information on inventories and databases with authorized bodies; b.) publishing, if appropriate, information on the discovery and research concerning underwater cultural heritage; c.) making available to all other States Parties and UNESCO statistics on actions concerning underwater cultural heritage. <p>56) Each State Party should take all practicable measures to disseminate information about underwater cultural heritage excavated or recovered contrary to this Convention or otherwise in violation of international law, including, where feasible, through appropriate international databases, and cooperate to this goal with UNESCO and other intergovernmental and governmental organizations, as for example, Interpol.</p>
	<p>M. PROMOTION OF BEST PRACTICES</p>
	<p>57) States Parties are encouraged to propose national, regional or international programmes, projects and activities for safeguarding underwater cultural heritage to the Meeting of States Parties for selection and endorsement by publication and designation as best practices and best reflecting the principles and objectives of the Convention and the annexed Rules.</p> <p>58) In its selection and promotion of safeguarding programmes, projects and activities, the Meeting of States Parties should pay special attention to the needs of developing countries and to the principle of equitable geographic distribution.</p> <p>59) Such programmes, projects and activities may be completed, in progress, or planned at the time they are proposed for selection and promotion.</p>
	<p>N. MOBILIZATION OF NATIONAL AND INTERNATIONAL SUPPORT FOR THE CONVENTION</p>

	<p>60) States Parties should endeavour and cooperate to mobilize international support in favour of the Convention and its principles by facilitating:</p> <ul style="list-style-type: none"> a.) the elaboration of publications on the underwater cultural heritage, including the publication of the results of related research work; b.) the expositions of or on underwater cultural heritage; c.) the making available of information to the media; d.) any other appropriate means.
	CHAPTER IV. FINANCING
	A. FINANCING THE IMPLEMENTATION OF THE STATE COOPERATION MECHANISM
<p><i>Article 10.5, 12.4 and 12.5 of the Convention</i></p> <p><i>Rule 17– 19 of the Annex to the Convention</i></p>	<p>61) When a State Party implements measures of protection, issues authorizations or conducts necessary preliminary research agreed upon by a group of consulting States in the framework of Article 10.5 or Article 12.4 and 5 of the Convention, the group of consulting States Parties should decide on the common financing of such measures.</p> <p>62) In deciding on the financing of measures, States Parties should take into consideration</p> <ul style="list-style-type: none"> a) the capacity of the respective States; b) the strength of the verifiable link to the concerned heritage and the interest in its protection; and c) the location of the concerned heritage. <p>63) Except in cases of immediate danger no measure should be decided to be implemented unless adequate funding is ensured.</p>
	B. THE UNDERWATER CULTURAL HERITAGE FUND
	<p>64) The Underwater Cultural Heritage Fund (“the Fund”) is managed as a Special Account pursuant to Article 1.1 of its Financial Regulations². The resources of the Fund consist of voluntary contributions as regulated in Article 4 of the above-mentioned Financial Regulations.</p> <p>65) The Fund will be used as decided by the Meeting of States Parties and in conformity with the provisions and the spirit of the Convention and in complement of national efforts to finance in particular:</p> <ul style="list-style-type: none"> a.) the functioning of the Convention and its State Cooperation Mechanism; b.) international cooperation projects in relation to the scope of the Convention; c.) the building of capacity in States Parties; and d.) the enhancement of the protection of the underwater cultural

² The Secretariat: See RESOLUTION 8 / MSP 2 and UCH/09/2.MSP/8. The latter approved financial regulations for this Fund, as annexed to document UCH/09/2.MSP/8.

	<p>heritage.</p> <p>66) States Parties, institutions and private entities are invited to provide support to the Convention by contributions paid to the Fund or direct financial and technical contributions to projects intended to ensure the protection of the underwater cultural heritage.</p>
	<p>C. FINANCIAL ASSISTANCE</p>
	<p>67) The Meeting of States Parties may receive, evaluate and approve requests for financial assistance from the Fund depending on the available resources.</p> <p>68) In its decision on the attribution of funds, priority is given to requests for assistance to developing States Parties and projects enhancing State cooperation involving more than two States Parties.</p> <p>69) The Meeting should base its decisions on granting assistance on the following criteria:</p> <ul style="list-style-type: none"> a.) the amount of assistance requested is appropriate; b.) the proposed activities are well conceived and feasible and fully in line with the objectives of the Convention; c.) the project can be expected to have lasting results; d.) the beneficiary State Part(y/ies) share(s) the cost of the activities for which international assistance is provided, within the limits of its/their resources; and e.) the assistance will build or reinforce capacities in the field of safeguarding underwater cultural heritage. <p>70) The Advisory Body will evaluate the requests of financial assistance for projects which fall under the application of the Rules and give its recommendations to the Meeting of States Parties.</p> <p>71) Interim and final reports will be submitted to the Secretariat according to the timetable set out in the funding request as approved by the Meeting of States Parties.</p> <p>72) The Advisory Body will examine and evaluate the reports and submit its advice on them to the Meeting of States Parties.</p>
	<p>D. PROCEDURE AND FORMAT</p>
	<p>73) States Parties intending to apply for international assistance are encouraged to consult the Secretariat for the elaboration of requests. The application is to be made on the form annexed to these Guidelines. The Secretariat will verify the completeness of the information provided.</p> <p>74) The complete requests for international assistance shall be submitted by States Parties to the Secretariat at least 4 months before the next ordinary session of the Meeting of States Parties.</p> <p>75) Requests shall be submitted in English or French electronically or in hard copy. They shall be signed and transmitted by the National Commission for UNESCO or the State Party's Permanent Delegation to UNESCO to the following address:</p> <p style="text-align: center;">UNESCO</p>

	<p>Secretariat of the Convention on the Protection of the Underwater Cultural Heritage 7, place de Fontenoy, 75007 Paris, France Tel: + 33 (0) 145684406 Fax: + 33 (0) 145685596 E-mail: u.guerin@unesco.org</p> <p>76) The Secretariat shall submit the requests for international assistance concerning activities directed at Underwater Cultural Heritage to the Advisory Body. The Advisory Body shall provide its recommendations on the requests to the Meeting of States Parties for consideration and final decision at least two months before the Meeting of States Parties.</p>
	<p>CHAPTER V. PARTNERS</p>
	<p>A. PARTNERS IN THE IMPLEMENTATION PROCESS OF THE CONVENTION</p>
	<p>77) Partners in the implementation process of the Convention may be:</p> <ul style="list-style-type: none"> a.) Governmental and government-related institutions established in the States Parties to the Convention working in activities related to the scope of the Convention; b.) Centres working in activities related to the scope of the Convention and under the auspices of UNESCO, granted by the General Conference; c.) NGOs accredited by the Meeting of States Parties and those having activities related to the scope and spirit of the Convention; d.) Scientific institutions, museums, universities and any other similar entity whose activities are in full conformity with the principles of the Convention; e.) Private entities working in full conformity with the principles of the Convention. <p>78) Irrespective of its legal nature or denomination, any entity supporting the commercial exploitation of underwater cultural heritage or engaged in its irretrievable dispersal is not a partner.</p>
	<p>B. PARTNERS AT THE NATIONAL LEVEL</p>
	<p>79) States Parties are encouraged to establish cooperation with and among non-governmental organizations, communities, groups and individuals, as well as experts, centres of expertise and research institutes to enhance the protection of the underwater cultural heritage. States Parties are encouraged to facilitate their participation, in particular with respect to:</p> <ul style="list-style-type: none"> a.) the identification, documentation and protection of underwater cultural heritage present on their territories; b.) the establishment of inventories; c.) the elaboration and implementation of programmes, projects and activities aiming at raising the awareness of the importance of underwater cultural heritage and ensuring its protection.

	CHAPTER VI. ACCREDITATION OF NGOS
	A. CRITERIA FOR THE ACCREDITATION OF NGO
<i>Article 1 (e) of the Statutes of the Scientific and Technical Advisory Body</i>	<p>80) In order to apply for accreditation, NGOs must comply with the following criteria:</p> <ul style="list-style-type: none"> a.) have statutes, objectives and activities in full conformity with the principles and objectives of the Convention; b.) be engaged in activities and have recognized competence, expertise and experience in safeguarding underwater cultural heritage; c.) not be (or not have been) engaged in any activity dedicated to the commercial exploitation or the irretrievable dispersal of the underwater cultural heritage against the principles enshrined in the Convention; d.) have a local, national, regional or international nature, as appropriate; e.) possess operational capacities, including: <ul style="list-style-type: none"> i. a regular active membership; ii. an established domicile; iii. and a legal status according to the applicable domestic law; iv. having existed and having carried out appropriate activities for at least four years when being considered for accreditation.
	B. PROCEDURES OF ACCREDITATION
	<p>81) The request of an NGO wishing to be accredited should be made by using the form annexed to these Guidelines and available online.</p> <p>82) The Secretariat shall check the completeness of the requests and present them for consideration to the Advisory Body 3 months before each Meeting of the States Parties.</p> <p>83) The Advisory Body will send the Secretariat a report with its advice concerning the accreditation, based on objective data provided by the Secretariat, by any State Party or by any other reliable source, as well as on the expertise of its members.</p> <p>84) The Secretariat will submit all requests for accreditation together with the Advisory Body's report to the Meeting of States Parties for decision.</p> <p>85) In taking its decision on the accreditation of NGOs, the Meeting of States Parties will pay attention to the principle of equitable geographical representation.</p> <p>86) The Secretariat shall register all requests and keep up-to-date and publicly accessible a list of the NGOs accredited by the Meeting of States Parties.</p>
	C. REVIEW OF ACCREDITATION

	<p>87) The Meeting of State Parties shall review already accredited NGOs every four years as to maintaining or terminating relations with the organization in question. The Advisory Body shall report to the Meeting of the States Parties through the Secretariat on its collaboration with accredited NGOs.</p> <p>88) In case of a recommendation for terminating the accreditation, the Secretariat will inform the NGO concerned which will have the opportunity to express its views in writing, which will be submitted to the Meeting of States Parties.</p> <p>89) The Meeting of States Parties will take its decision based on all documents submitted for its consideration. The Meeting of States Parties may:</p> <ul style="list-style-type: none">a) decide to terminate the accreditation; orb) decide that there is no actual reason for the termination of the accreditation. <p>90) The Meeting of States Parties may also decide to terminate accreditation taking into account the "Directives concerning UNESCO relations with NGOs" in the case of total absence of collaboration.</p> <p>91) When deemed necessary, including the non-fulfillment of the criteria of accreditation, the Advisory Body may decide at any time to suspend the collaboration with an NGO pending a final decision by the Meeting of States Parties.</p>
--	---



CONVENTION ON THE PROTECTION OF THE UNDERWATER CULTURAL HERITAGE

FORM 1 - NOTIFICATION OF A DISCOVERY

States shall notify UNESCO through diplomatic channels of discoveries according to Articles 9.3 and 11.2 of the 2001 Convention in transmitting the following information:

Discovered Underwater Cultural Heritage: _____

Maritime Zone: _____

Approximate type (wreck, structure, artefact): _____

Approximate age and cultural origin: _____

Has any object been removed? _____

Action suggested (if applicable): _____

Competent authority in charge: _____

Contact: _____

(Please add additional documentation, descriptions or images. Translation, verification and/or text treatment will not, however, be provided by the Secretariat.)

Notifications shall be submitted in English or French electronically or in hard copy to the following address:

UNESCO
 Secretariat of the Convention on the Protection of the Underwater Cultural Heritage
 7, place de Fontenoy, 75007 Paris, France
 Tel: + 33 (0) 145684406
 Fax: + 33 (0) 145685596

Email: u.guerin@unesco.org

Stamp: _____

Name of signatory(ies): _____

Signature(s): _____



CONVENTION ON THE PROTECTION OF THE UNDERWATER CULTURAL HERITAGE

FORM 2 - NOTIFICATION OF AN ACTIVITY

Competent authorities shall notify UNESCO through diplomatic channels of activities according to Articles 10.5 (c) and 12.5 of the 2001 Convention in transmitting the following information:

Underwater Cultural Heritage concerned: _____

Approximate age and cultural origin: _____

Maritime Zone: _____

Type of intended activity: _____

Is any artefact to be removed?: _____

In case the activity is a project, please inform about:

- Project statement and objectives: _____
- Methodology to be used and techniques to be employed: _____
- Expected timetable for completion of the project: _____
- Composition of the team: _____
- Environmental policy: _____
- Arrangements for collaboration with museums and other institutions, in particular scientific institutions: _____

Action suggested (if applicable): _____

Competent authority in charge: _____

Contact: _____

(Please add additional documentation, descriptions or images. Translation, verification and/or text treatment will not, however, be provided by the Secretariat.)

Notifications shall be submitted in English or French electronically or in hard copy to the following address:

UCH/13/4.MSP/220/10

UNESCO

Secretariat of the Convention on the Protection of the Underwater Cultural Heritage

7, place de Fontenoy, 75007 Paris, France

Tel: + 33 (0) 145684406

Fax: + 33 (0) 145685596

Email: u.guerin@unesco.org

Stamp: _____

Name of signatory(ies): _____

Signature(s): _____



CONVENTION ON THE PROTECTION OF THE UNDERWATER CULTURAL HERITAGE

FORM 3 - DECLARATION OF INTEREST

Underwater Cultural Heritage concerned: _____

Which verifiable link connects the history or culture of your State to the heritage concerned? Please describe:

In declaring your State's interest to be consulted under articles 9.5 or 11.4 of the Convention please inform on its link to the underwater cultural heritage concerned by accompanying this declaration by:

- a.) the results of scientific expertises;
- b.) historic documentation; or
- c.) any other adequate documentation.

Contact: _____

This form needs to be submitted in English or French electronically or in hard copy to UNESCO to the following address:

UNESCO
Secretariat of the Convention on the Protection of the Underwater Cultural Heritage
7, place de Fontenoy, 75007 Paris, France
Tel: + 33 (0) 145684406
Fax: + 33 (0) 145685596

Email: u.querin@unesco.org

Stamp: _____

Name of signatory(ies): _____

Signature(s): _____



CONVENTION ON THE PROTECTION OF THE UNDERWATER CULTURAL HERITAGE

THE UNDERWATER CULTURAL HERITAGE FUND

REQUEST FOR INTERNATIONAL ASSISTANCE

The purpose of the Special Account for the Fund for the Underwater Cultural Heritage is to finance activities decided upon by the Meeting of States Parties on the basis of guidelines determined by the Meeting of States Parties to the Convention on the Protection of the Underwater Cultural Heritage.

To submit a request for international assistance, please fill in the below form:

a.) Requesting State(s) Party/ies: _____

b.) Proposed activity/ies / Project(s) to be undertaken: _____

c.) Area(s) of Intervention(s) _____

(For activities directed at the underwater cultural heritage, please attach a project design according to Rule 10 of the Annex of the Convention.)

d.) Place: _____

e.) Date & duration: _____

f.) Other State(s) Party/ies participating in and/or supporting the activity: _____

g.) Implementing entities: _____

h.) Objectives: _____

i.) Amount of assistance requested (please attach budget breakdown): _____

j.) Financial or in-kind contribution of the beneficiary: _____

k.) Expected results: _____

l.) Contribution of the activity/ies to reinforcing capacities in the field of safeguarding underwater cultural heritage as foreseen by the 2001 Convention: _____

m.) Contribution of the activity/ies to the implementation of the 2001 Convention: _____

n.) Report(s) to be submitted by (date(s), format): _____

o.) Contact: _____

(Please attach additional documentation if needed)

The complete requests for international assistance shall be submitted by States Parties to the Secretariat at least 4 months before the next ordinary session of the Meeting of States Parties.

UCH/13/4.MSP/220/10

Requests shall be submitted in English or French electronically or in hard copy. They shall be signed and transmitted by the National Commission for UNESCO or the State Party's Permanent Delegation to UNESCO to the following address:

UNESCO

Secretariat of the Convention on the Protection of the Underwater Cultural Heritage

7, place de Fontenoy, 75007 Paris, France

Tel: + 33 (0) 145684406

Fax: + 33 (0) 145685596

Email: u.guerin@unesco.org

Stamp: _____

Name of signatory(ies): _____

Signature(s): _____

Date of application: _____



CONVENTION ON THE PROTECTION OF THE UNDERWATER CULTURAL HERITAGE

REQUEST FOR ACCREDITATION

In order to be accredited by the 2001 Convention, please provide the following information:

Complete official denomination: _____

Description of the organization: _____

Main objectives: _____

Complete address: _____

Date of the NGO's establishment and registration: _____

Country(ies) where the NGO is active: _____

Detailed description of the previous and current activities within the underwater cultural heritage framework, as well as description of the NGO's experience (Please, attach additional documents if needed):

Please, attach to this form:

- Documents of the official establishment of the NGO
- A copy of its statutes
- All documents substantiating the operational capacities of the NGO, including:
 - Certificate of its domiciliation and of its legal status, as foreseen by national law
 - Documentation that the NGO has been engaged in relevant activities at least four years before the consideration of the request for accreditation
- Number of the NGOs' members
- Names of the members of the governing bodies
- A list of its publications, and
- References issued by the national authorities or international organizations.

The requests are to be submitted in English or in French, electronically or in hard copy. They are to be signed and sent to the following address:

UNESCO
 Secretariat of the Convention on the Protection for the Underwater Cultural Heritage
 7, place de Fontenoy, 75007 Paris, France
 Tél. : + 33 (0) 145684406
 Fax : + 33 (0) 145685596
 E-mail : u.querin@unesco.org



Stamp: _____

Signature(s): _____

Name of the signatory(ies): _____

Date of the request: _____

Annex to RESOLUTION 4/MSP 4

UNESCO MODEL INVENTORY SHEET FOR UNDERWATER CULTURAL HERITAGE					  United Nations Educational, Scientific and Cultural Organization	
COUNTRY						
REGION, PROVINCE						
COMPETENT AUTHORITY						
REGISTERED BY (name, position)		Date		Email / Tel n°		
SITE NAME (provide also nicknames)				SITE REGISTRATION NUMBER		

GENERAL CHARACTERISTICS OF THE SITE			
TYPE	Shipwreck	Identification certain	Yes / no
	Aircraft wreck	Period/Year of creation/building	
	Other vehicle	Time of submersion (period/year)	
	Isolated artefacts	CONSERVATION STATUS	
Prehistoric site	Excellent		
Pre-Columbian site	Good		
Structure	Damaged		
Cave/Cenote	Destroyed		
Other			
Free Description of Remains			
LOCATION			
COORDINATES UTM		GEOGRAPHICAL COORDINATES	

DATUM		DATUM	
X		Latitude	
Y		Longitude	
Z		Depth	
Have these coordinates been verified?			
MAX. EXTENT OF SITE <i>(exact/estimate in meters)</i>	Width	Length	Height
DESCRIPTION	AREA (pls underline)	MARITIME ZONE (pls underline)	
	Wetland, swamp Water hole, source Flooded cave, cavity River Lake/Lagoon/Spring Coast of ocean Port Bay Close to coast Far from coast (give approx. distance) Open sea	Terrestrial Inland waters Internal waters Territorial Sea, Archipelagic waters Contiguous Zone Exclusive Economic Zone Continental shelf Area (High Seas) Contiguous Zone of other State Exclusive Economic Zone of other State Continental shelf of other State	
WATER	WATER FEATURES	DEPTH IN METERS	
	Calm	Maximum	
	Moved	Minimum	
	Rough	VISIBILITY	
SITE IMMERSION	Periodical	Continuous	Partial
			Complete
SEA-BOTTOM SURFACE COMPOSITION/STRATIGRAPHIE			
Sea grass		Gravel	Pebbles
			Rock
Sand		Mud	Blocks
			Others
Exposure	Parts of site visible No visible parts Site observable as mount Indication of site through echo	ACCESS	From the shore By boat
<i>(Add sketch of site to this form if desired)</i>			
ARCHAEOLOGICAL ARTEFACTS			
TYPE OF ARTEFACTS FOUND			
CURRENT LOCATION	STORED	DISPLAYED	<i>IN SITU</i>

CONSERVATION, PRESERVATION (references of reports etc.)			

HISTORIC BACKGROUND, CULTURAL ORIGINS			
ORIGIN	African	SIGNIFICANCE	Historical
	Asian		Cultural
	European		Artistic
	Arab		Archaeological
	American	Historic documentation	
	Australian	Other References	
	Other (specify)		
<i>(Add free description of historic background to this form if desired)</i>			

THREATS			
DAMAGING ACTIVITIES DIRECTED AT THE SITE	Pillaging	HUMAN ACTIVITIES INCIDENTALLY AFFECTING SITE	Mineral extraction
	Cargo recovery		Pollution
	Displacement		Trawling
	Intended destruction		Fishing
	Exposure		Dredging
	Other		Construction works
NATURAL FACTORS CAUSING DAMAGE	Erosion	STATUS	Change of currents
	Exposure		Dam construction
	Oxygen influence		Other
	Seismic activity	Threat on-going	
	Waves	Immediate danger	
	Other	Threat expected	
<i>Evidence of threats:</i>			

FINDING, DISCOVERY			
DISCOVERY	Date of discovery		
	Discovered by		
	Contact details (if applicable)		
REPORTS	SENT BY (pls underline)		SENT TO (pls underline)

	Finder Vessel, state national Local responsible authority Responsible archaeologist, site manager Competent National Authority	Competent National Authority Ministry Other State States Parties 2001 Convention Other
NOTIFICATION of UNESCO <i>(for sites outside of territorial waters and if applicable)</i>	SENT BY	SENT TO
	Competent National Authority Other	UNESCO International Seabed Authority
DECLARATIONS OF INTEREST	RECEIVED FROM, DATE	FOLLOW UP
	1.	
	2.	

ACTIVITIES DIRECTED AT THE SITE				
ACTIVITY TYPE (Preliminary research, research, documentation, recovery of artefacts, excavation...)	START-DATE	RESULTS	PERSON / ENTITY RESPONSIBLE	CONTACT
1.				
2.				Add further
UPLOAD	REPORTS ; PHOTOS ; PERMISSIONS			
INTENDED ACTIVITIES AND AUTHORIZATION REQUESTS (if applicable)				
TYPE OF INTENDED ACTIVITY	START-DATE	ACTIVITY PLANNED	PERSON / ENTITY RESPONSIBLE	CONTACT
1.				
2.				Add further
STATUS OF AUTHORIZATION	NAME AND QUALIFICATION OF TEAM LEADER	REPORTING BY	REPORTING TO	

		Local responsible authority Archaeologist Site manager Person or entity requesting permission	Competent National Authority Responsible Ministry Other State All State Parties to 2001 Convention International Seabed Authority UNESCO
NOTIFICATION of UNESCO (<i>for sites outside of territorial waters and if applicable</i>)	SENT BY		SENT TO
	Competent National Authority Other		UNESCO International Seabed Authority

INVENTORY AND DESIGNATION	
Site noted in a national inventory?	
Special designation?	
Part of a protected zone?	

RELEVANT PUBLICATIONS & REPORTS				
<i>Author</i>	<i>Year, Place</i>	<i>Title</i>	<i>Link</i>	<i>Edition, Pages</i>
				Add further