## **Headquarters CLT/CPD/CPO**

05.11.2010

Ref.: CPO 01-10 – Regional Needs Assessment PLHIV

(Please quote this UNESCO reference in all correspondence)

## Subject: Request for Proposal (RFP) - Regional Needs Assessment PLHIV

Dear Sir/Madam,

You are requested to submit a proposal for services to conduct a needs assessment of people living with HIV (PLHIV) in 5 countries in sub-Saharan Africa, as per enclosed Terms of Reference (TOR).

To enable you to submit a Proposal, attached are:

Annex I Instructions to Offerors

Annex II General Conditions of Contract
Annex III Terms of Reference (TOR)
Annex IV Proposal Submission Form
Annex V Price Schedule Form

Your offer comprising of technical proposal and financial proposal, in separate sealed envelopes, should reach the following address **no later than Friday, 26 November 2010 by 17pm CEST**.

## **UNESCO - CLT Sector**

Culture, HIV and AIDS Team
Division of Cultural Policies and Intercultural Dialogue
c/o S.Schnuttgen CLT/CPD/CPO
1 rue Miollis, 75732 Paris, Cedex 15
France

## PROPOSAL FOR SERVICES - DO NOT OPEN

Reference: CPO 01-10 – Request for proposal – Regional Needs Assessment PLHIV RFP Closing Date and Time: Friday, 12 November 2010 by 17pm CEST

This letter is not to be construed in any way as an offer to contract with your firm/institution. Your proposal could, however, form the basis for a contract between your company and UNESCO.

You are requested to acknowledge the receipt of this letter and to indicate whether or not you will be submitting a proposal. For this purpose, and for any requests for clarifications, please contact: Ms Nora Schenkel at <a href="mailto:nt.schenkel@unesco.org">nt.schenkel@unesco.org</a>.

For and on behalf of UNESCO:

Susanne Schnuttgen Headquarters CLT/CPD/CPO

#### ANNEX I - Instructions to Offerors

#### A. INTRODUCTION

#### 1. General:

The purpose of this RFP is to invite Sealed Proposals for conducting a needs assessment among PLHIV in five countries in sub-Saharan Africa to be provided to UNESCO Headquarters, Culture Sector for the Culture, HIV and AIDS program.

Offerors should not be associated, or have been associated in the past, directly or indirectly, with a firm or any of its affiliates which have been engaged by UNESCO to provide consulting services for the preparation of the Terms of Reference, and other documents to be used for the procurement of services to be purchased under this Request for Proposal.

## 2. Cost of Proposal:

The Offeror shall bear all costs associated with the preparation and submission of the Proposal; and UNESCO will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the solicitation.

#### **B. SOLICITATION DOCUMENTS**

#### 3. Contents of Solicitation Documents:

Proposals must offer services for the total requirement. Proposals offering only part of the requirement will be rejected. The Offeror is expected to examine all corresponding instructions, forms, terms and specifications contained in the Solicitation Documents. Failure to comply with these documents will be at the Offeror's risk and may affect the evaluation of the Proposal.

#### 4. Clarification of Solicitation Documents:

A prospective Offeror requiring any clarification of the Solicitation Documents may notify the UNESCO contracting unit in writing at the organisation's mailing address or fax or email number indicated in the RFP. The UNESCO contracting unit will respond in writing to any request for clarification of the Solicitation Documents that it receives earlier than two weeks prior to the deadline for the submission of Proposals. Written copies of the organisation's response (including an explanation of the query but without identifying the source of inquiry) may be sent to all prospective Offerors that has received the Solicitation Documents.

#### 5. Amendments of Solicitation Documents:

At any time prior to the deadline for submission of Proposals, the UNESCO contracting unit may, for any reason, whether at its own initiative or in response to a clarification requested by a prospective Offeror, modify the Solicitation Documents by amendment.

All prospective Offerors that have received the Solicitation Documents will be notified in writing of all amendments to the Solicitation Documents.

In order to afford prospective Offerors reasonable time in which to take the amendments into account in preparing their offers, the procuring UN entity may, at its discretion, extend the deadline for the submission of Proposals.

#### C. PREPARATION OF PROPOSALS

## 6. Language of the Proposal:

The Proposals prepared by the Offeror and all correspondence and documents relating to the Proposal exchanged by the Offeror and the UNESCO contracting unit shall be written in English. Any printed literature furnished by the Offeror may be written in another language so long as accompanied by a translation of its pertinent passages in which case, for purposes of interpretation of the Proposal, the language as stated in the Solicitation Documents applies.

## 7. Documents Comprising the Proposal:

The Proposal shall comprise the following components:

- a) Proposal submission form;
- b) Operational and technical part of the Proposal, including documentation to demonstrate that the Offeror meets all requirements;
- c) Price schedule, completed in accordance with clauses 8 & 9;
- d) Proposal security (if required)

### 8. Proposal Form:

The Offeror shall structure the technical part of its Proposal as follows:

#### (a) Management Structure

This Section should provide corporate orientation to include the year and country of incorporation and a brief description of present activities. It should focus on services related to the Proposal.

This Section should describe the organizational unit(s) that will become responsible for the contract, and the general management approach towards a project of this kind. The Offeror should comment on its experience in similar projects and identify the person(s) representing the Offeror in any future dealing with the UNESCO contracting unit.

#### (b) Resource Plan

This should fully explain the Offeror's resources in terms of personnel and facilities necessary for the performance of the requirements, and any plans for their expansion. It should describe Offeror' current capabilities/facilities and any plans for their expansion.

#### (b) Proposed Approach, Methodology, Timing and Outputs

This section should demonstrate the Offeror's responsiveness to the specification by identifying the specific components proposed, addressing the requirements, as specified, point by point; providing a detailed description of the essential performance characteristics proposed warranty; and demonstrating how the proposed methodology meets or exceeds the specifications. You should include the number of person-working days in each specialization that you consider necessary to carry out all work required.

For assessment of your understanding of the requirements please include any assumptions as well as comments on the data, support services and facilities to be provided by the beneficiary as indicated in the Statement of Requirements/TOR, or as you may otherwise believe to be necessary.

The technical part of the Proposal should not contain any pricing information whatsoever on the services offered. Pricing information shall be separated and only contained in the appropriate Price Schedules.

It is mandatory that the Offeror's Proposal numbering system corresponds with the numbering system as provided in the TOR. All references to descriptive material and brochures should be included in the appropriate response paragraph, though material/documents themselves may be provided as annexes to the Proposal/response.

#### 9. Proposal prices:

The Offeror shall indicate on an appropriate Price Schedule, an example of which is contained in the Price Schedule sheet, the prices of services it proposes to supply under the contract.

#### 10. Proposal currencies:

All prices shall be quoted in United States Dollars.

#### 11. Period of validity of proposals:

Proposals shall remain valid for ninety (90) days after the date of Proposal submission prescribed by the UNESCO contracting unit, pursuant to the deadline clause. A Proposal valid for a shorter period may be rejected by the UNESCO contracting unit on the grounds that it is non-responsive.

In exceptional circumstances, the UNESCO contracting unit may solicit the Offeror's consent to an extension of the period of validity. The request and the responses thereto shall be made in writing. An Offeror granting the request will not be required nor permitted to modify its Proposal.

## 12. Format and signing of proposals:

The Offeror shall prepare two copies of the Proposal, clearly marking each "Original" and "Copy" as appropriate. In the event of any discrepancy between them, the original shall govern. The two copies of the Proposal shall be typed or written in indelible ink and shall be signed by the Offeror or a person or persons duly authorised to bind the Offeror to the contract. The latter authorisation shall be indicated by written power-of-attorney accompanying the Proposal. A Proposal shall contain no interlineations, erasures, or overwriting except, as necessary to correct errors made by the Offeror, in which case such corrections shall be initialed by the person or persons signing the Proposal.

#### 13. Payment:

UNESCO shall effect payments to the Contractor within 30 days after receipt and acceptance of the invoices submitted by the contractor for services provided.

#### D. SUBMISSION OF PROPOSALS

## 14. Sealing and marking of proposals:

The Offeror shall seal the Proposal in one outer and two inner envelopes, as detailed below.

The inner and outer envelopes shall:

- (a) Be addressed to procuring entity at the address given in the cover page of these Solicitation documents; and make reference to the "subject" indicated, and a statement: "PROPOSAL FOR SERVICES DO NOT OPEN", to be completed with the time and the date specified pursuant to clause 15 of Instructions to Bidders.
- (b) Both inner envelopes shall indicate the name and address of the Offeror. The first inner envelope shall contain the information specified in Clause 8 (**Technical** *Proposal*) above, with the copies duly marked "Original" and "Copy". The second inner envelope shall include the **Price Proposal** duly identified as such.

Note: If the inner envelopes are not sealed and marked as per the instructions in this clause, the UNESCO contracting unit will not assume responsibility for the Proposal's misplacement or premature opening.

## 15. Deadline for submission of proposals

Proposals must be received on or before the date and time specified on the cover page of these Solicitation Documents.

The UNESCO contracting unit may, at its own discretion extend this deadline for the submission of Proposals by amending the solicitation documents in accordance with clause *Amendments of Solicitation Documents*, in which case all rights and obligations of the UNESCO contracting unit and Offerors previously subject to the deadline will thereafter be subject to the deadline as extended.

#### 16. Late Proposals

Any Proposal received by the UNESCO contracting unit after the deadline for submission of proposals, pursuant to clause *Deadline for the submission of proposals*, will be rejected.

## 17. Modification and withdrawal of Proposals

The Offeror may withdraw its Proposal after the Proposal's submission, provided that written notice of the withdrawal is received by the UNESCO contracting unit prior to the deadline prescribed for submission of Proposals.

The Offeror's withdrawal notice shall be prepared, sealed, marked, and dispatched in accordance with the provisions of clause Deadline for Submission of Proposals. The withdrawal notice may also be sent by email or fax but followed by a signed confirmation copy.

No Proposal may be modified subsequent to the deadline for submission of proposals. No Proposal may be withdrawn in the Interval between the deadline for submission of proposals and the expiration of the period of proposal validity specified by the Offeror on the Proposal Submission Form.

#### E. OPENING AND EVALUATION OF PROPOSALS

## 18. Opening of proposals

UNESCO representatives will open all Proposals after the deadline for submissions and in accordance with the rules and regulations of the organization.

## 19. Clarification of proposals

To assist in the examination, evaluation and comparison of Proposals, UNESCO may at its discretion, ask the Offeror for clarification of its Proposal. The request for clarification and the response shall be in writing and no change in price or substance of the Proposal shall be sought, offered or permitted.

## 20. Preliminary examination

The UNESCO contracting unit will examine the Proposals to determine whether they are complete, whether any computational errors have been made, whether the documents have been properly signed, and whether the Proposals are generally in order.

Arithmetical errors will be rectified on the following basis: If there is a discrepancy between the unit price and the total price that is obtained by multiplying the unit price and quantity, the unit price shall prevail and the total price shall be corrected. If the Offeror does not accept the correction of errors, its Proposal will be rejected. If there is a discrepancy between words and figures the amount in words will prevail.

Prior to the detailed evaluation, the UNESCO contracting unit will determine the substantial responsiveness of each Proposal to the Request for Proposals (RFP). For purposes of these Clauses, a substantially responsive Proposal is one, which conforms to all the terms and conditions of the RFP without material deviations. The determination of a Proposal's responsiveness is based on the contents of the Proposal itself without recourse to extrinsic evidence.

A Proposal determined as not substantially responsive will be rejected by UNESCO and may not subsequently be made responsive by the Offeror by correction of the non-conformity.

#### 21. Evaluation and comparison of proposals

A two-stage procedure will be used in evaluating the proposals, with evaluation of the technical component being completed prior to any price component being opened and compared. The Price Component will be opened only for submissions that passed the minimum score of 70 % of the total points obtainable for the technical evaluation.

The technical proposal is evaluated on the basis of its responsiveness to the Terms of Reference (TOR).

#### ☐ OPTION 1 – LOWEST PRICE OF TECHNICALLY RESPONSIVE PROPOSALS

The price proposal of all offerors, who have attained minimum 70 % score in the technical evaluation, will be compared. The contract will be awarded to the firm/institute offering the lowest price.

Sample: Summary of Technical Proposal Evaluation Forms		Points Obtainable	Name of Firm / Institut		stitution
			Α	В	С
1.	Expertise of Firm / Institution submitting Proposal	300			
2.	Proposed Work Plan and Approach	500			
3.	Personnel	200			
	Total	1000			

#### ☑ OPTION 2 – HIGHEST TOTAL SCORE OF WEIGHTED TEHCHNICAL AND FINANCIAL CRITERIA

The price proposal of all offerors, who have attained minimum 70 % score in the technical evaluation, will be compared. The contract will be awarded to the offeror that receives the highest score out of a pre-determined set of weighted technical and financial criteria as specified below.

#### Technical Proposal Evaluation Form

Sample: Summary of Technical Proposal Evaluation Forms		Points Obtainable	Name of Firm / Institution		
			Α	В	С
1.	Expertise of Firm / Institution submitting Proposal	200			
2.	Proposed Work Plan and Approach	400			
3.	Personnel	100			
	Sub-total for Technical Evaluation	700			

Financial Proposal Evaluation Form

Sample: Summary of Financial Proposal		Points Obtainable	Name of Firm / Institution		
	·		Α	В	С
	Financial Proposal	300			
	Sub-total for Financial Evaluation	300			

Evaluation of the price proposals (of all Offerors who have attained minimum 70 % score in the technical evaluation) will be based on the weight scoring method as follows:

- Financial proposals are opened and list of prices is prepared, where the lowest price is ranked as the first one (receiving highest amount of points) and the most expensive as the last one (receiving the least amount of points).
- Lowest price is given maximum points (e.g. 300), for other prices the points are assigned based on the following formula: [Amount of points = lowest price/other price \* total points obtainable for financial proposal]

#### An example:

- Offeror A lowest price ranked as 1<sup>st</sup> in the amount of USD 10,000 = a
- Offeror B second lowest price ranked as 2<sup>nd</sup> in the amount of USD 15,000 = b
   Points assigned to A = 300

Points assigned to B = 200 (following formula: a/b \* 300 i.e. 10,000/15,000 \* 300 = 200 points)

#### Combined Technical and Financial Proposal Evaluation Form

Sample: Summary of Technical and Financial Proposals		Points Obtainable	Name of Firm / Institution			
			Α	В	С	
	Sub-total Technical Proposal	700				
	Sub-total Financial Proposal	300				
	Total for Technical and Financial Proposal	1000				

#### F. AWARD OF CONTRACT

#### 22. Award criteria, award of contract

The UNESCO contracting unit reserves the right to accept or reject any Proposal, and to annul the solicitation process and reject all Proposals at any time prior to award of contract, without thereby incurring any liability to the affected Offeror or any obligation to inform the affected Offeror or Offerors of the grounds for such action.

Prior to expiration of the period of proposal validity, the UNESCO contracting unit will award the contract to the qualified Offeror whose Proposal after being evaluated is considered to be the most responsive to the needs of the organisation and activity concerned.

## 23. Purchaser's right to vary requirements at time of award

UNESCO reserves the right at the time of award of contract to vary the quantity of services and goods specified in the RFP.

## 24. Signing of the contract

The successful Offeror shall sign the contract and return it to UNESCO at the earliest convenience but no later than within 14 days of date of receipt by the Offeror.

#### **ANNEX 2: General Conditions of Contract for Professional Services**

#### 1. LEGAL STATUS

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis UNESCO. The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNESCO or the United Nations.

#### 2. SOURCE OF INSTRUCTIONS

The Contractor shall neither seek nor accept instructions from any authority external to UNESCO in connection with the performance of its services under this Contract. The Contractor shall refrain from any action, which may adversely affect UNESCO or the United Nations and shall fulfill its commitments with the fullest regard to the interests of INESCO.

#### 3. CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

#### 4. ASSIGNMENT

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNESCO.

#### SUB-CONTRACTING

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNESCO for all sub-contractors. The approval of UNESCO of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform with the provisions of this Contract.

#### 6. OFFICIALS NOT TO BENEFIT

The Contractor warrants that no official of UNESCO or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

#### INDEMNIFICATION

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNESCO, its officials, agents, and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter-alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

#### 8. INSURANCE AND LIABILITIES TO THIRD PARTIES

The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.

The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or its equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.

The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or subcontractors performing work or services in connection with this

- 8.4 Except for the workmen's compensation insurance, the insurance policies under this Article shall:
- (i) Name UNESCO as additional insured;

- (ii) Include a waiver of subrogation of the Contractor's rights to the insurance carrier against UNESCO;
- (iii) Provide that UNESCO shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.
- 8.5 The Contractor shall, upon request, provide UNESCO with satisfactory evidence of the insurance required under this Article.

#### ENCUMBRANCES/LIENS

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with UNESCO against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor

#### 10. TITLE TO EQUIPMENT

Title to any equipment and supplies that may be furnished by UNESCO shall rest with UNESCO and any such equipment shall be returned to UNESCO at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNESCO, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNESCO for equipment determined to be damaged or degraded beyond normal wear and tear.

11. COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS UNESCO shall be entitled to all intellectual property and other proprietary rights including but not limited to patents, copyrights, and trademarks, with regard to products, or documents and other materials which bear a direct relation to or are produced or prepared or collected in consequence of or in the course of the execution of this Contract. At UNESCO's request, the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring them to UNESCO in compliance with the requirements of the applicable law

# 12. USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNESCO OR THE UN

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNESCO, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNESCO or the United Nations, or any abbreviation of the name of UNESCO or the United Nations in connection with its business or otherwise.

## 13. CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION

- 13.1 Drawings, photographs, plans, reports, recommendations, estimates, documents and all other data compiled by or received by the Contractor under this Contract shall be the property of UNESCO, shall be treated as confidential and shall be delivered only to UNESCO authorized officials on completion of work under this Contract.
- 13.2 The Contractor may not communicate at any time to any other person, Government or authority external to UNESCO, any information known to it by reason of its association with UNESCO, which has not been made public except with the authorization of UNESCO; nor shall the Contractor at any time use such information to private advantage. These obligations do not lapse upon termination of this Contract.

## 14. FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

- 14.1 Force majeure, as used in this Article, means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force, which are beyond the control of the Parties
- 14.2 In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to UNESCO, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify UNESCO of any other changes in conditions or the occurrence of any event, which interferes or threatens to interfere with its performance of this Contract. The notice shall include steps proposed by the Contractor to be taken including any reasonable alternative means for performance that is not prevented by force majeure. On receipt of the notice required under this Article, UNESCO shall take such action as, in its sole discretion, it considers to be appropriate or necessary in the circumstances, including the

granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.

14.3 If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, UNESCO shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.

#### 15. TERMINATION

15.1 Either party may terminate this Contract for cause, in whole or in part, upon thirty days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16 "Settlement of Disputes" below shall not be deemed a termination of this Contract.

15.2 UNESCO reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNESCO shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.

15.3 In the event of any termination by UNESCO under this Article, no payment shall be due from UNESCO to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract. The Contractor shall take immediate steps to terminate the work and services in a prompt and orderly manner and to minimize losses and further expenditures.

15.4 Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, UNESCO may, without prejudice to any other right or remedy it may have, terminate this Contract forthwith. The Contractor shall immediately inform UNESCO of the occurrence of any of the above events.

#### 16. SETTLEMENT OF DISPUTES

#### 16.1 Amicable Settlement

The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of, or relating to this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL

Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.

#### 16.2 Arbitration

Unless, any such dispute, controversy or claim between the Parties arising out of or relating to this Contract or the breach, termination or invalidity thereof is settled amicably under the preceding paragraph of this Article within sixty (60) days after receipt by one Party of the other Party's request for such amicable settlement, such dispute, controversy or claim shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining, including its provisions on applicable law. The arbitral tribunal shall have no authority to award punitive damages. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy, claim or dispute.

#### 17. PRIVILEGES AND IMMUNITIES

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

#### 18. TAX EXEMPTION

18.1 Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with UNESCO to determine a mutually acceptable procedure.

18.2 Accordingly, the Contractor authorizes UNESCO to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with UNESCO before the payment thereof and UNESCO has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide UNESCO with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

#### 19. CHILD LABOUR

19.1 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter-alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.

19.2 Any breach of this representation and warranty shall entitle UNESCO to terminate this Contract immediately upon notice to the Contractor, at no cost to UNESCO.

#### 20. MINES

20.1 The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.

20.2 Any breach of this representation and warranty shall entitle UNESCO to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNESCO.

#### 21. OBSERVANCE OF THE LAW

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

#### 22. AUTHORITY TO MODIFY

No modification or change in this Contract, no waiver of any of its provisions or any additional contractual relationship of any kind with the Contractor shall be valid and enforceable against UNESCO unless provided by an amendment to this Contract signed by the authorized official of UNESCO.

## ANNEX III – Terms of Reference (TOR)

## (a): Background:

UNESCO's Culture, HIV and AIDS Programme is currently implementing the project "Engaging traditional Healers and HIV+ Networks to strengthen locally generated research in sub-Saharan Africa in support of culturally appropriate responses to HIV and AIDS", funded by the UNAIDS Unified Budget Workplan (UBW). The project will engage Traditional Healers' Associations in sub-Saharan Africa together with HIV+ Networks in order to strengthen existing and possible collaborations.

Given the shortage of Anti-Retroviral (ARV) Treatment in most African countries in combination with the importance of Traditional Healers (who are frequented by up to 80 percent of the population, often in addition to biomedical doctors), the project will work to highlight complementarities between traditional healing and conventional medicine, and to strengthen the positive role that traditional healing plays in regard to counselling and guidance for those affected, while also considering the challenges it poses.

HIV+ Networks are important stakeholders in responding to the HIV epidemic, in that they are strong advocates against stigma and discrimination and in favour of evidence-based information about the infection and treatment options. In addition, they are crucial sources for information on the needs and problems that PLHIV face in a specific cultural and structural context.

In order to gain a greater understanding of the priorities and needs of PLHIV in sub-Saharan Africa regarding traditional medicine, conventional medicine and synergies between the two, UNESCO will commission a needs assessment to solicit the views of PLHIV in the five target countries as detailed below.

## (b) Objectives of the assignment:

The main objective of the assignment is to carry out a needs assessment of PLHIV in five countries in sub-Saharan Africa to identify their needs and priorities in relation to traditional medicine, conventional medicine and synergies between the two systems. The five countries are: Botswana, Lesotho, Mozambique, South Africa and Zambia.

## (c) Scope of work and expected output:

The survey scope will include the following:

Survey interviews shall be conducted with PLHIV in the five countries in the quantities described below:

- Interviews with PLHIV in an urban setting (capital) in each of the five countries. Interview
  with at least five staff members of national HIV+ Network. In addition, interviews with at
  least 20 PLHIV.
- ii. Interviews with PLHIV in at least three different rural settings in each of the five countries. Interview with at least one staff member of national HIV+ Network if present in setting. Interview with at least ten PLHIV per setting.

UNESCO shall provide the draft survey instrument, to be adapted and piloted by the contractor. The survey shall be conducted through telephone or face-to-face structured interviews and the survey instrument is expected to contain all qualitative open-ended questions.

**Output:** A final report analyzing and presenting the outcomes of the interviews shall be submitted to UNESCO, including conclusions and recommendations regarding opportunities to strengthen synergies between traditional healing and conventional medicine. The data collected will also be submitted to UNESCO with the final report.

The number of specific interviews per country shall be confirmed in consultation with UNESCO during the finalisation of the contract, however for the purposes of this Request for Proposals, the average number of interviews <u>per country</u> is considered to be 60.

For the above purposes, the contractor shall:

- 1. Pilot the survey instrument provided by UNESCO and adapt it as required. This will include a one-week piloting to refine the survey length and question clarity and then finalization in close consultation with UNESCO.
- Organize and coordinate the implementation of the survey throughout each country. This
  will include contacting and scheduling consultations with the list of interviewees to be
  agreed upon with UNESCO. Where interviewees are not available, UNESCO should be
  contacted to determine the best alternatives. Interviews are to be approximately one hour
  in length and to be conducted face-to-face or as phone interviews.
- 3. Collate and analyze the data for the final report on the outcome of the interviews presenting interviewees' views in a consolidated manner. This should be presented in such a way as to illuminate answers to key questions with an accompanying narrative explaining the verdicts reached and any explanations for views reported to be presented in a final report. The final report should also detail common issues identified both within a country as well as cross-nationally.
- (d) Purchases: none required
- **(e) Reports:** The Contractor shall submit to UNESCO a final report of a minimum of 50 pages containing the collation and analysis of the data as detailed under paragraph c on (date).
- **(f) Inputs:** UNESCO shall provide a draft survey instrument.
- **(g) Deadlines:** From the date on which both parties have signed the contract, the contractor shall carry out the work within 12 weeks.
- **(f) Payment:** The final payment shall be made upon satisfactory completion of all related services outlined above.
- **(h) Criteria of selection:** Offerors should fulfil the following criteria:
- The offeror should have considerable experience (minimum of five years) conducting surveys in the sub-Saharan context. Experience conducting regional surveys will be an asset.
- The offeror should have a network of employees/professionals extending to the five countries, to improve cost effectiveness.
- Experience in the area of HIV and AIDS will be an asset.

## **ANNEX IV – Proposal Submission Form**

TO: UNESCO	(as par	t of your	technical	proposal)
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Signatory

De	Dear Sir / Madam,	
th	Having examined the Solicitation Documents, the receipt of which the undersigned, offer to provide Professional Services for the suraccordance with the Price Schedule attached herewith and made	m as may be ascertained in
	We undertake, if our Proposal is accepted, to commence and conspecified in the contract within the time frame stipulated.	nplete delivery of all services
sti	We agree to abide by this Proposal for a period of 90 days from the stipulated in the Solicitation Documents, and it shall remain binding time before the expiration of that period.	
W	Ve understand that you are not bound to accept any Proposal yo	u may receive.
Name of Bi	Bidder	
Address of	f Bidder	
Authorised	d Signature	Date:
Name of Au Signature (	Authorised (type or print)	
Functional	I Title of	

#### ANNEX V - Price Schedule Form

#### GENERAL INSTRUCTIONS

- 1. The Bidder is asked to prepare the Price Schedule as a separate envelope from the rest of the RFP response as indicated in paragraph 14 (b) of the Instructions to Offerors.
- 2. All prices/rates quoted must be exclusive of all taxes, since the UNESCO is exempt from taxes as detailed in Section II, Clause 18.
- 3. The Price Schedule must provide a detailed cost breakdown. Provide separate figures for each functional grouping or category. If the contractor is required to travel in order to perform the work described in the TOR, a lump sum must be included in the total amount or to be listed separately. No travel shall be reimbursed.
- 4. It is the policy of UNESCO not to grant advance payments except in unusual situations where the potential contractor whether a private firm, NGO or a government or other entity, specifies in the proposal that there are special circumstances warranting an advance payment. UNESCO, at its discretion, may however determine that such payment is not warranted or determine the conditions under which such payment would be made.

Any request for an advance payment is to be justified and documented and must be submitted with the financial proposal. This justification shall explain the need for the advance payment, itemise the amount requested and provide a time-schedule for utilisation of said amount.

Financial Proposal / Price Schedule								
Request for Proposal Ref:								
Total Financial F	Proposal [currency/amount]:							
Date of Submiss	sion:							
Authorized Sign	ature:							
Description of Activity/Item		No of Consultants	Rate per Day [currency/ amount]	No of man- days	Total [currency/amount]			
1.	Remuneration			-				
1.1	Services at Home Office							
1.2	Services in the Field							
Description of Activity/Item		No of Consultants	Rate per Day [currency/ amount]	No of man- days	Total [currency/amount]			
2.	Other Expenses							
2.1	Travel							
2.2	Per Diem Allowances							
2.3	Communications							
2.4	Reproduction and Reports							
2.5	Equipment and other items							
2.6	Others (please specify)							