#### ORIENTATION SESSION FOR COMMITTEE MEMBERS 18 February 2016



United Nations Educational, Scientific and Cultural Organization

World Heritage Convention Convention du patrimoine mondial

Organisation des Nations Unies pour l'éducation, ccience et la culture Convention du patrimoine mondia convention du patrimoine mondia

Organización de las Naciones Unidas para la Educación, la Ciencia y la Cultura

#### Welcome by the Chairperson of the World Heritage Committee



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> Convention du patrimoine mondial

> > patrimonio mundia

Cultural Organization Organisatio Convención del

para la Educación la Ciencia y la Cultura

#### Welcome by the Director of the **World Heritage Centre**



Educational, Scientific and Cultural Organization

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## **ICCROM Introduction and Concept of Outstanding Universal Value**



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#### **OUTSTANDING UNIVERSAL VALUE**



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Educational, Scientific and Cultural Organization

World Heritage Convention

#### **OUTSTANDING UNIVERSAL VALUE**

#### Convention Concerning the <u>Protection</u> of the World Cultural and Natural Heritage

- identification
- protection
- conservation
- presentation
- transmission to future generations

(article 4)

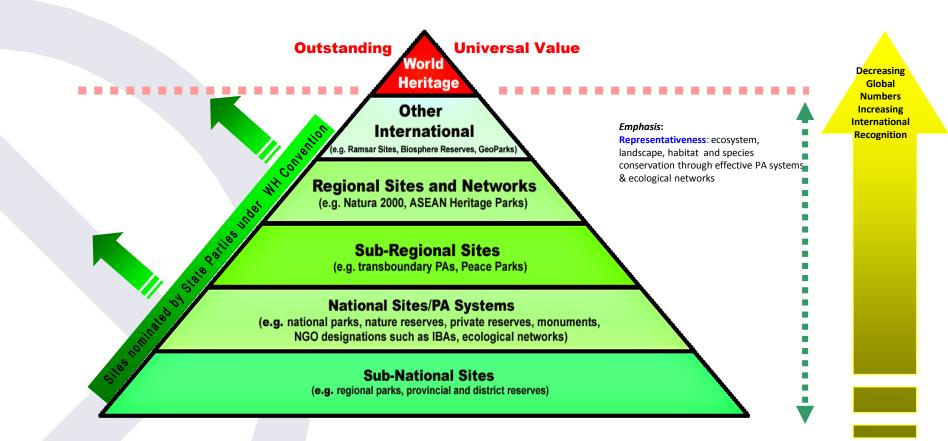
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"Outstanding universal value means cultural and/or natural significance which is so exceptional as to transcend national boundaries and to be of common importance for present and future generations of all humanity. As such, the permanent protection of this heritage is of the highest importance to the international community as a whole. The Committee defines the criteria for the inscription of properties on the World Heritage List".

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The fact that a property belonging to the cultural or natural heritage has not been included in either of the two lists mentioned in paragraphs 2 and 4 of Article 11shall in no way be construed to mean that it does not have an outstanding universal value for purposes other than those resulting from inclusion in these lists. (Article 12)

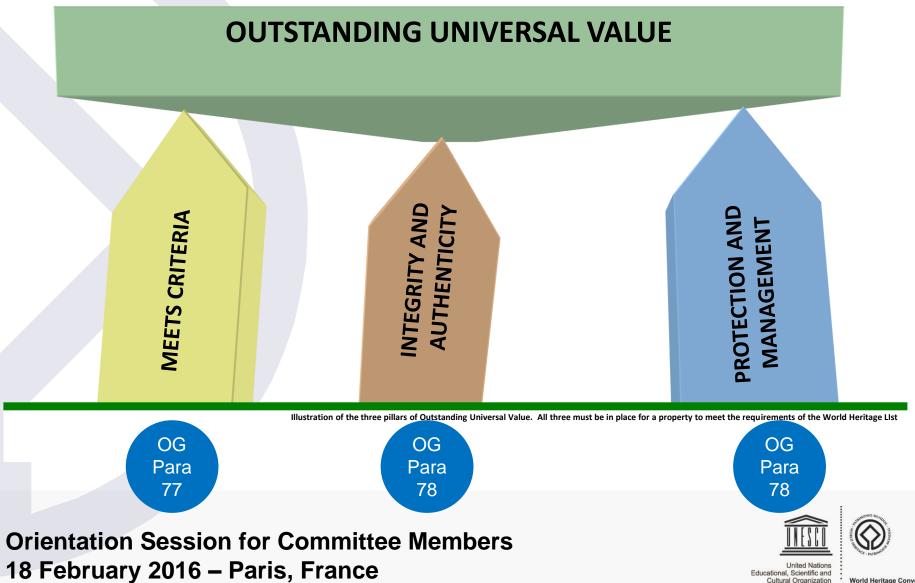
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#### The 3 pillars of Outstanding Universal Value

(Note: Authenticity is not applicable to natural properties)



World Heritage Convention

#### The 3 pillars of Outstanding Universal Value

Paragraph 78: To be deemed of Outstanding Universal Value, a property must also meet the conditions of integrity and/or authenticity and must have an adequate protection and management system to ensure its safeguarding

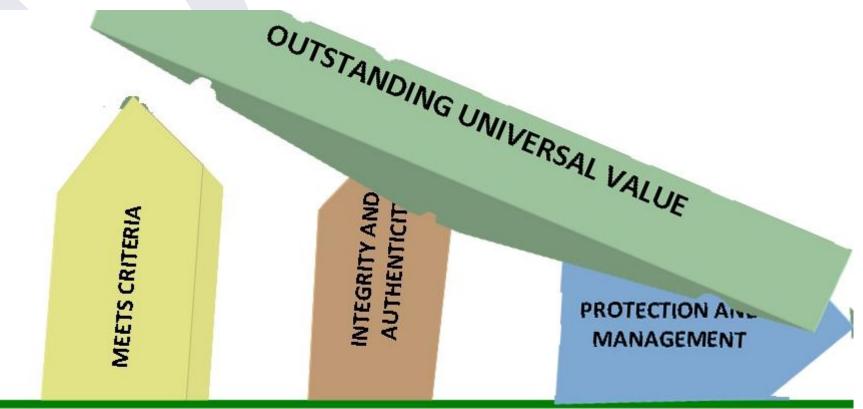
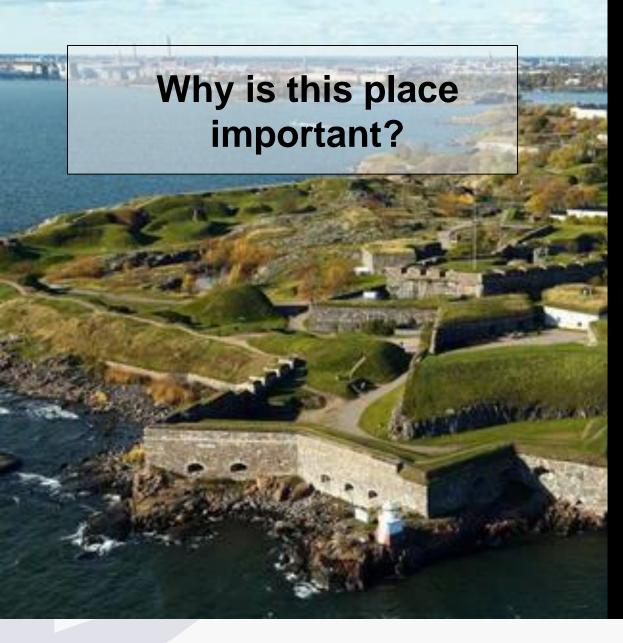


Illustration of the three pillers of Outstanding Universal Value. All three must be in place for a property to meet the requirements of the World Heritage List

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Criterion (iv): In the history of military architecture, the Fortress of Suomenlinna is an outstanding example of general fortification principles of the 17th and 18th centuries, notably the bastion system, and also showcases individual characteristics.

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#### Does it tell the whole story?



Suomenlinna consists of several defensive and utilitarian buildings that blend the architecture and functionality of the fortress within the surrounding landscape. The property includes the islands upon which the fortress was built. This forms a consistent ensemble extensive enough to preserve and present the values of the property. Most of the fortifications and utilitarian buildings dating from the Swedish and Russian periods are well preserved. The fortress has only a few buildings dating from the Finnish era, but they retain their own distinctive identity. A sharp rise in sea level or increased rainfall could threaten the property.

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#### Does it tell the story truthfully?



The fortifications and the various buildings, all dating from different eras, as well as the surrounding environment, help preserve Suomenlinna's characteristics, particularly with regard to building materials, methods and architecture. Since Suomenlinna became a residential area, traditional construction methods have been favoured to ensure the preservation of the property, and are implemented in a manner that respects its cultural and historical values.

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## What is needed to assure its future?



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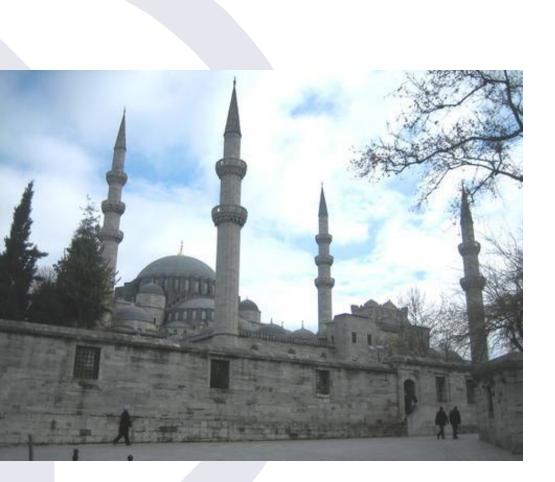
- Strong and unequivocal legal protection
- Strong institutional framework
- Sufficient resources
- Effective management system is in place, including in the buffer zone.
- Maintenance of an effective and well-resourced management plan
- Long term issues:
  - Sea-level rise
  - Increased rainfall
  - Increased visitors to the property

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United Nations Educational, Scientific and Cultural Organization Work

#### **Statement of Outstanding Universal Value**



Paragraph 51:

At the time of inscription of a property on the World Heritage List, the Committee adopts a Statement of Outstanding Universal Value which will be the key reference for the future effective protection and management of the property.

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#### **Statement of Outstanding Universal Value**



#### STATEMENT OF OUV

- Brief summary
  - Summary of factual information
  - Summary of qualities
- Criteria (values and attributes which manifest them)
- Integrity (all sites)
- Authenticity (criteria i-vi)
- Management and protection requirements necessary to maintain OUV
  - Overall framework
  - Specific long-term expectations

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#### **Statement of Outstanding Universal Value**



- Why it is important to the committee
  - The Statement of Outstanding Universal Value shall be the basis for the future protection and management of the property (paragraph 155 of the Operational Guidelines)
- Statement of OUV is the main reference point for
  - Tentative Lists
  - Nomination Dossiers
  - ICOMOS and IUCN Evaluations
  - Committee Decisions
  - Periodic Reporting
  - Evaluations of State of Conservation
  - In-Danger Listing
  - Deletion from the World Heritage List

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Itural Organization

#### The importance of Statements of Outstanding Universal Value



A Statement of OUV is of **great benefit** to all involved in the conservation of the property as:

- it allows a clear understanding of why the property is considered to be of OUV;
- can give direction to management through indicating what attributes of the property need to be maintained;
  - can guide the **assessment of the state of conservation of the property**; and
- is an essential reference point for monitoring, for the World Heritage Committee and the Advisory Bodies.

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World Heritage Convention

### **NOMINATIONS**



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The State Party makes an **inventory** of its heritage

#### **UPSTREAM PROCESS**

Advice, consultation and analysis that occur prior to the submission of a nomination.

Enables the Advisory Bodies and the Secretariat to provide support directly to States Parties, throughout the whole process leading up to a possible Nomination

From the sites on its national inventory the State Party chooses those that it considers of *Outstanding Universal Value* and includes them on its **Tentative List** 





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The State Party selects one site from its Tentative List to nominate it for inscription on the World Heritage List

The State Party prepares a nomination file and, if it wishes, submits a **draft** copy by 30 September to WHC for comments

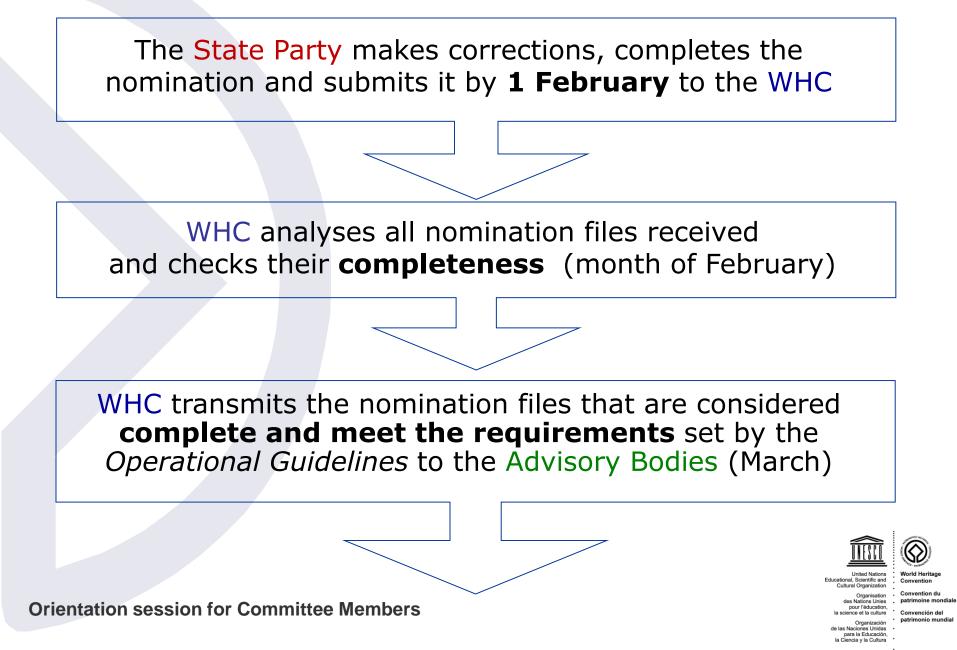
WHC reviews the draft nomination and sends its **comments** to the **State Party** in view of finalization of the document (October-November)



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The Advisory Bodies study the nomination files and send their experts on mission to the proposed properties in order to prepare their **evaluations** (June-October)

Advisory Bodies first panel meetings decide whether supplementary information is needed or if recommendations could be already elaborated (December)

Advisory Bodies forward to States Parties by **31 January** (2<sup>nd</sup> year) a short **interim report** outlining the status and any issues relevant to evaluations, and any request for supplementary information

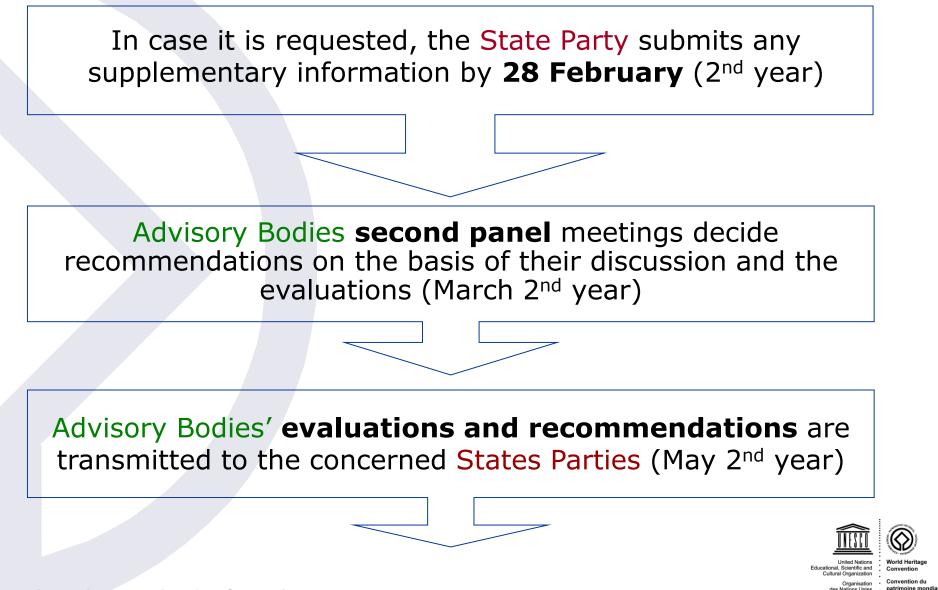


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States Parties may send letters detailing **factual errors** identified in the evaluation of their nomination (format Annex 12 OGs, 14 days before the opening of the session of the Committee)



The World Heritage Committee takes decisions

The nominated property may be:

- **inscribed** on the World Heritage List
- referred or deferred back to the State Party
- or not inscribed



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# Requirements for nominations Operational Guidelines

para. 63. Nominations to the World Heritage List are not considered unless the nominated property has already been included on the State Party's Tentative List.

**para. 65.** States Parties shall submit Tentative Lists to the Secretariat, at least **one year prior** to the submission of any nomination...



## Nomination

The nomination document is the primary basis on which the Committee considers the inscription of the properties on the World Heritage List.

All relevant information should be included in the nomination document.



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## **Nomination document**

**Executive Summary** 

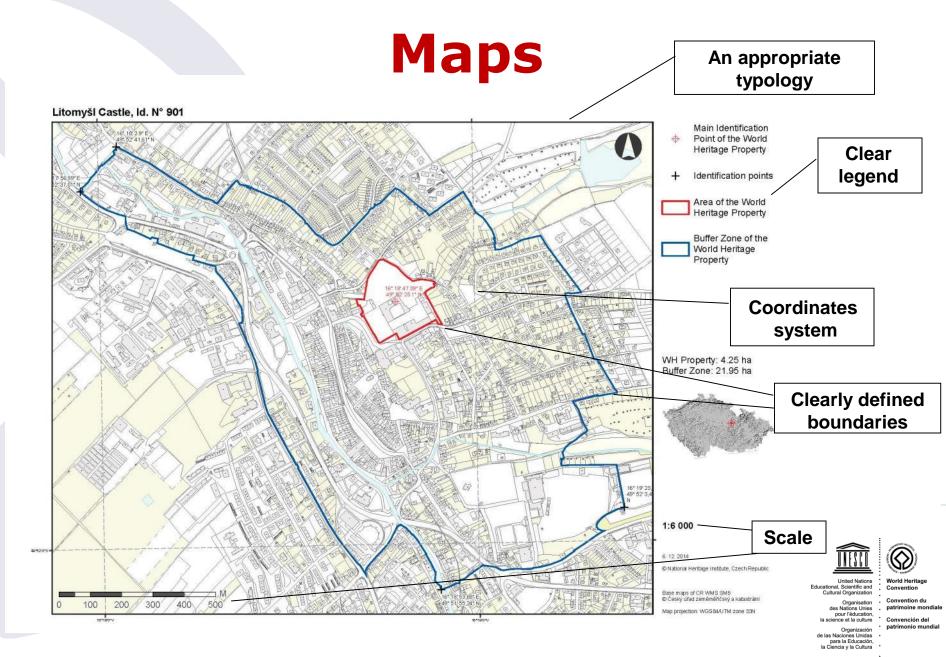
- 1. Identification of the property (including maps)
- 2. Description of the property
- 3. Justification for inscription
- 4. State of conservation and factors affecting the property
- 5. Protection and Management
- 6. Monitoring
- 7. Documentation
- 8. Contact information of responsible authorities
- 9. Signature on behalf of the State Party(ies)



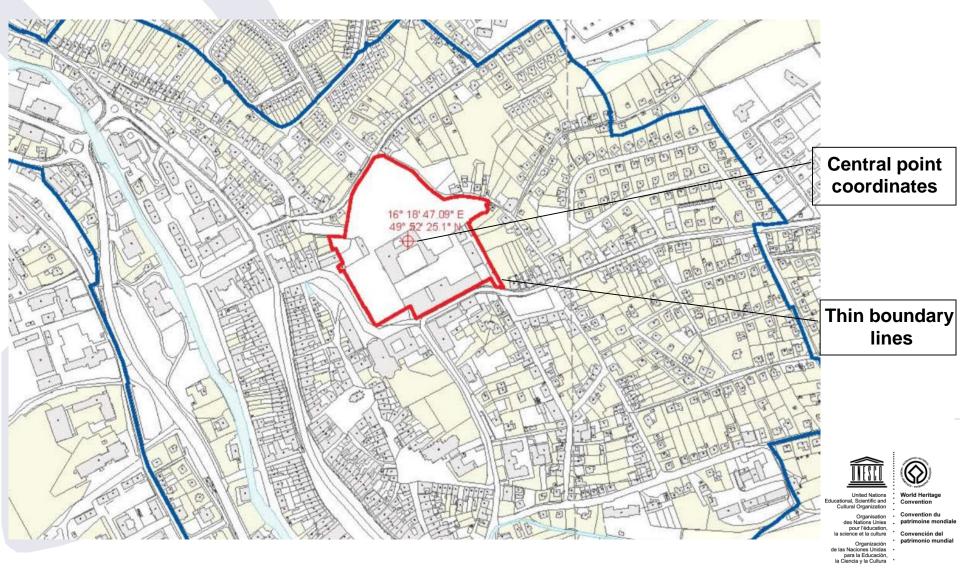
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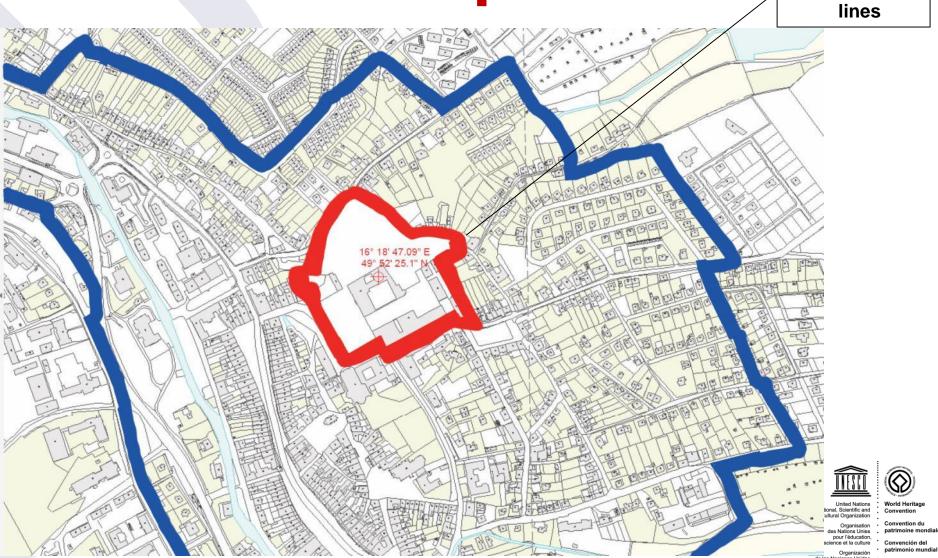
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Thick boundary

## **Requirements for nominations Comparative Analysis**

Paragraph 132.3 of the Operational Guidelines

In section 3.2, a comparative analysis of the property in relation to **similar properties**, whether or not on the World Heritage List, both at the national and international levels, shall be provided.

The comparative analysis shall explain the importance of the nominated property in its national and international context.



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## Management

Paragraph 132.5 of the Operational Guidelines

An appropriate management plan or other management system is essential and shall be provided in the nomination.

Assurances of the effective implementation of the management plan or other management system are also expected.

[...]

A nomination which does not include the above-mentioned documents is considered incomplete unless other documents guiding the management of the property until the finalization of the management plan are provided.

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## Signature

#### Paragraph 132.9 of the Operational Guidelines

**REBUPLIC OF TURKEY** MINISTRY OF CULTURE and TOURISM Directorate General for Cultural Heritage and Museums

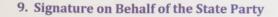
DIRECTORATE GENERAL FOR CULTURAL HERITAGE AND MUSEUMS

Ankara, 20/01/2015

Abdullah KOCAPINAR General Director

II. TBMM 06100 Ulus/ANKARA Tel: 00.90.312.508.61.14 – 508.63.96 Fax: 00.90.312.508.61.15 Taktrosik Ag: www.kuburturium.gov.tr. e-mail: kuburyurlikmszar@kuburturium.gov.tr. - kazukuliktemuza gilkulturturiem.gov.tr

**Orientation session for Committee Members** 



Mr. Abulfas Garayev Minister of Culture and Tourism

Baku, 2015

Azerbaijan Republic



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#### Requirements for inscription of properties on the World Heritage List

Orientation Session for Members of the World Heritage Committee Paris, February 2016





#### Requirements for inscription



#### Justification for inscription, application of criteria, integrity and authenticity

Comparative analysis

Criteria

Integrity

Authenticity (cultural properties)

```
Paragraphs in Operational<br/>Guidelines§ 78 and143-149, and in particular<br/>132§ 77§ 78 and143-149, and in particular<br/>87-95§ 79-86
```

√ OK - Good	
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- Adequate Can be improved
- Not demonstrated at this stage
  - Not OK Not adequate

#### **Conservation**, protection and management

**Boundaries** 

Protection

Conservation

Management

**Paragraphs in Operational** Guidelines § 99-107 § 96-98 § 132 § 108-118

OK - Good	
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- Adequate Can be improved
- Not demonstrated at this stage Х
  - Not OK Not adequate

#### Requirements for inscription







#### Requirements for inscription















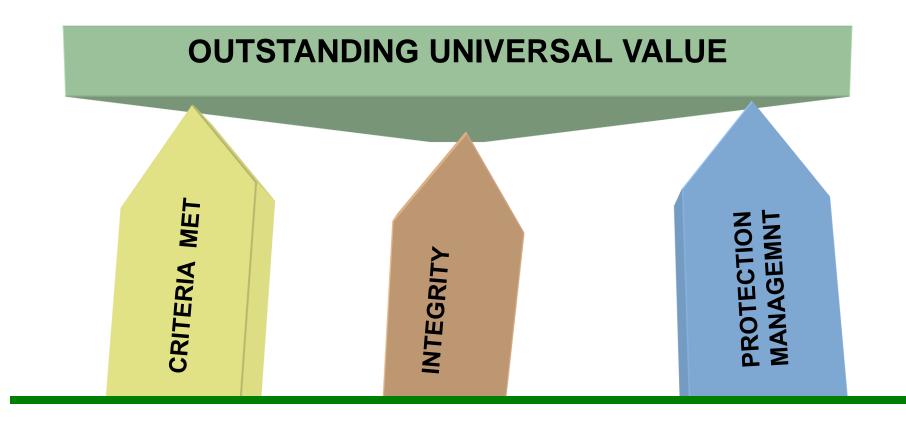




# Evaluation of New Nominations of natural and mixed World Heritage properties

February 2016

# The 3 pillars of the concept of Outstanding Universal Value (natural sites)



OG: Paras 77 & 78

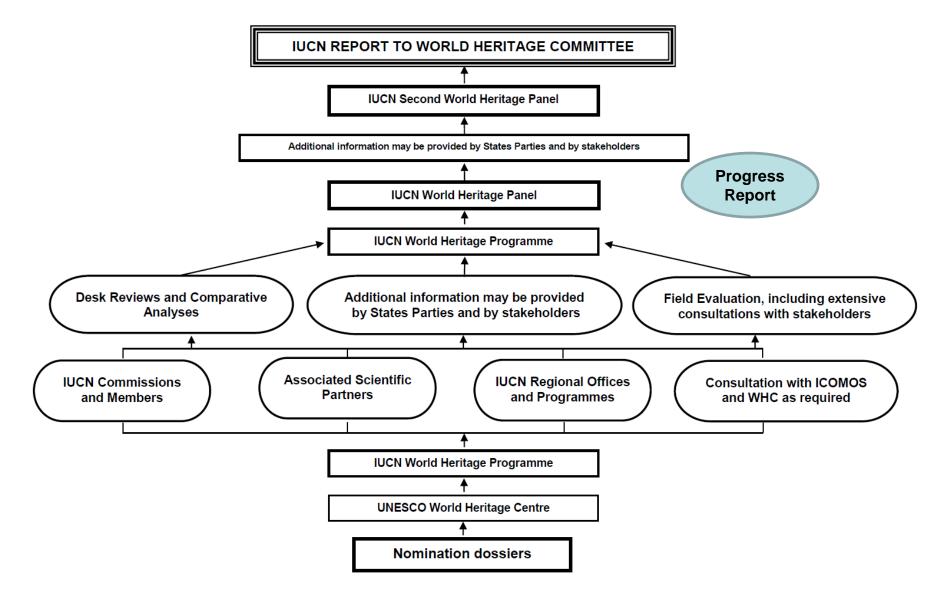
# FOUR PRINCIPLES GUIDE IUCN'S EVALUATIONS

- Highest standards of assessment based on independent expert analysis and field assessment, and consistent with the Operational Guidelines;
- Partnership with the World Heritage Centre, ICOMOS and ICCROM, and UNEP-WCMC;
- Promotion of World Heritage properties as "flagships" of conservation;
- Use of IUCN and other specialist networks including with IUCN World Commission for Protected Areas, Species Survival Commission as well as new agreements with the International Union of Geological Sciences (IUGS) and International Association of Geomorphologists (IAG)





#### FIGURE 2: SUMMARY OF IUCN EVALUATION PROCEDURE

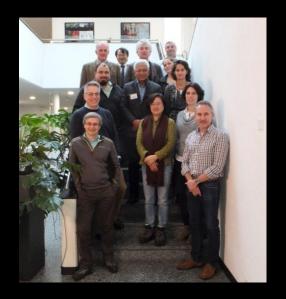






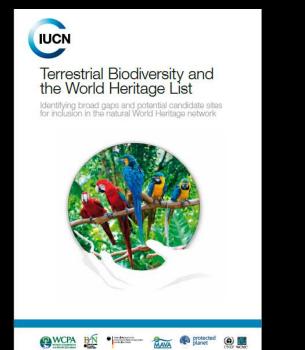














#### Study on the application of Criterion VII

Considering superlative natural phenomena and exceptional natural beauty within the World Heritage Convention





#### IUCN

#### Landscape Interfaces: World Heritage Cultural Landscapes and IUCN Protected Areas

A study exploring the relationships between World Heritage cultural landscapes and IUCN protected area management categories



#### WCPA WORD COMMISSION ON FRONTECTED AREAS

#### ICOMOS

UNESCO World Heritage Convention Convention du patrimoine mondial de l'UNESCO

Cultural Heritages of Water The cultural heritages of water in the Middle East and Maghreb

Les patrimoines culturels de l'eau Les patrimoines culturels de l'eau au Moyen-Orient et au Maghreb

THEMATIC STUDY I ETUDE THEMATIQUE First edition I Première édition



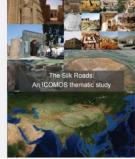




#### ICOMOS

The Silk Roads: an ICOMOS Thematic Study

> by Tim Williams on behalf of ICOMOS 2014



#### Exercise Anticipation of the second s

#### ICOMOS

Rock Art in Central Asia A Thematic Study November 2011

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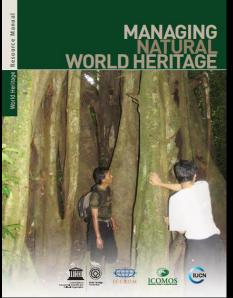
Наскальное искусство в Центральной Азии Тематическое исследование

ноябрь 2011

Edited by Под редакцией Jean Clottes











Andrea Strauss and Tim Badman (Eds) Enhancing the IUCN World Heritage Programme Proceedings of the Expert Workshop



to be published in: BfN-Skripten 2013





IUCN

World Heritage: Thinking Ahead ...Taking Action IUCK's response to the conclusions of the meeting on "The World Heritage Convention: Thinking Ahead" between the Director -General of UNESCO, State Parties to the World Heritage Convention and the Advisory Bodies to the World Heritage Committee

Held on 2nd and 3rd Ootober 2012, UNESCO HQ, Paris IUCN response dated: 10 June 2013

The Director-General of UNESCO convened a two-day brainstorming meeting titled "Thinking Ahead", on the cocasion of the 40th anniversary of the World Hertiage Convention. IUCN's Director General and Director, World Hertiage Programme participated in this meeting.

IUCN welcomes the initiative of the Director General of UNESCO and the report of the meeting iscued subsequently by UNESCO. Below WION notes its response to each of the conclusions of the meeting. We also note in Annex 1100/Kr original submission to the meeting.

1. Tentative Lists:

<u>1.1 Meeting Conclusion</u>. The Advisory Bodies should be engaged with the States Parties in the very first rate of the nonination process (a, in the preparation and assessment of Foratsive Lists, and their regional harmonisation. The work densum that only these sites that have the boteful is to not the orthorized for the critical for custranding universal value, and contributes to filling the gaps on the World Hertage List are added to addicate listensit the site.

LICEL softes, with, this, appalluation, Along with in the yncommendation, we consider this implies the mining process approximation with the softest softest

1.2 Meeting Conclusion: Testative Lists that have been developed through such a rigorous screening process could be considered for some form of recognition, partage through a re-branding of the term "Testative List" Into "hational inventory of significant/potential World Hentage attes" or as the "World Hentage candidate list".

IUCN partly agrees with this constusion. The caveat is that unless the Tentative List itself is of high quality, the branding of the List will not necessarily address any major issues. We agree that Tentative List is a terminology that could be improved, but care is needed to ensure that the nature of this list remains clear and unambiguous.

IUCN also considers that the identification of Tentative Lists also needs to be better linked to the identification of areas seeking other UNEBOO or other international recognition. A key point regarding all updatem measures is that they should not prejudice the evaluation processes and the rigour called within the Operational Guidelines.



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## **Related documentation**



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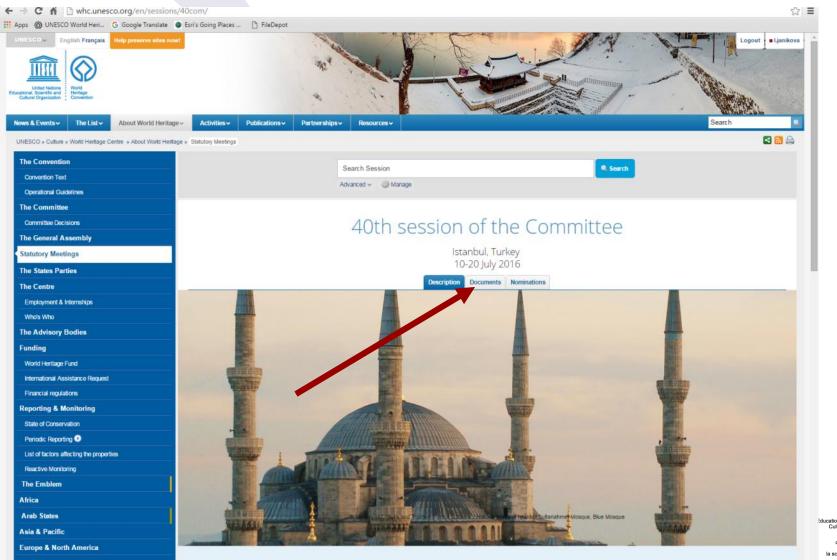
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**Orientation session for Committee Members** 

#### **Nominations for 2016**

#### http://whc.unesco.org/en/sessions/40com



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#### Nominations for 2016

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para la Educación, la Ciencia y la Cultura

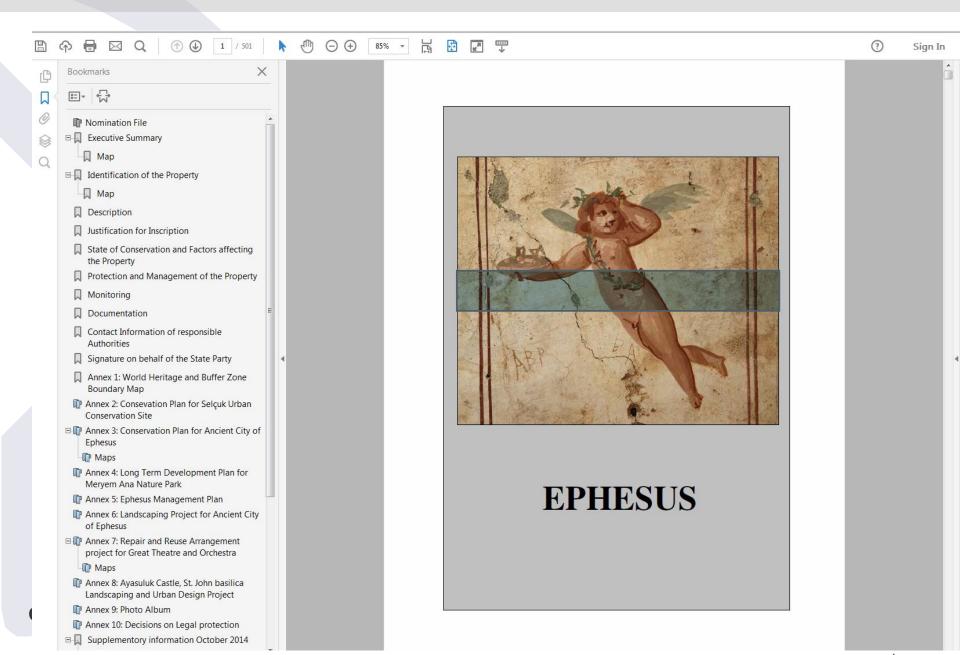
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#### **Nominations for 2016**

#### http://whc.unesco.org/en/sessions/40com

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UNESCO » Culture » World Heritage Centre » About World He	nitage » Statutory Meetings						
The Convention		0.0	arch Session	Search			
Convention Text				Search			
Operational Guidelines		Adva	inced 🗸 🦪 Manage				
The Committee							
Committee Decisions		40th session of the Committee					
The General Assembly				committee			
Statutory Meetings			Istanbul, Turkey				
The States Parties			10-20 July 2016				
The Centre			Description Documents Nom	inations			
Employment & Internships	Nominations proposed						
Who's Who							
	Nominations r	proposed for re	eview in 2016				
The Advisory Bodies							
The Advisory Bodies Funding	Result						
	Result			V Information only accessible t			
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Funding World Heritage Fund	Result 33 3 Proposed for Review Exte	0 2	0	members, Advisory Bodies and			
Funding World Heritage Fund International Assistance Request Financial regulations Reporting & Monitoring	Result 33 3 Proposed for Review Exte Year: 2016	0 2	0 awn Emergency	members, Advisory Bodies and	World Heritage Centre staff		
Funding World Heritage Fund International Assistance Request Financial regulations Reporting & Monitoring State of Conservation	Result 3.3 3 Proposed for Review Year: 2016 State Party	0 2 Inscriber Withdra	0	members, Advisory Bodies and	Dossier Criteria P		
Funding World Heritage Fund International Assistance Request Financial regulations Reporting & Monitoring State of Conservation Periodic Reporting •	Result       33     3       Proposed for Review     External       Year: 2016     2016       State Party     Nominations Proposed for	0 2 Inscriber Withdra	Name of Property	members, Advisory Bodies and	World Heritage Centre staff Dossier Criteria P		
Funding World Heritage Fund International Assistance Request Financial regulations Reporting & Monitoring State of Conservation	Result       33       Proposed for Review       Year: 2016       State Party       Nominations Proposed for Antigua and Barbuda	ensions Inscriber Withdra	0 awn Emergency	members, Advisory Bodies and members	World Heritage Centre staff Dossier Criteria P		

#### **Nominations - electronic version**



# Role of the World Heritage Committee



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**Orientation session for Committee Members** 

#### **Operational Guidelines**

**para. 23.** Committee decisions are based on objective and scientific considerations, and anv appraisal made on its behalf must be thoroughly and responsibly carried out. The Committee recognizes that such decisions depend upon:

a) carefully prepared documentation;

- b) thorough and consistent procedures;
- c) evaluation by qualified experts; and
- d) if necessary, the use of expert referees.



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**Orientation session for Committee Members** 

**Role of the World Heritage Committee** 

#### **Operational Guidelines**

**para. 24.** The main functions of the Committee are, in co-operation with States Parties, to:

a) identify, on the basis of Tentative Lists and nominations submitted by States Parties, cultural and natural properties of Outstanding Universal **Value** which are to be protected under the Convention and to inscribe those properties on the World Heritage List Convention du **Orientation session for Committee Members** Convención del patrimonio mundia

#### **Role of the World Heritage Committee**

#### The World Heritage Committee

can take **4** types of decisions regarding nominations:

- Inscribe the property on the World Heritage List
- **Refer** the nomination back to the State Party
- Defer the examination of the nomination
- Not to inscribe

**Orientation session for Committee Members** 



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# State of Conservation

Orientation session for Committee members 18 February 2016



# The State of conservation process



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**Orientation session for Committee Members** 

# Conservation

## at the heart of the World Heritage Convention

## (Articles 4 & 6)



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# Article 11.4: Provisions for monitoring in case of danger

**1980**: → Operational Guidelines

Chapter IV: "Reactive Monitoring"

# Para.169: Definition





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## **Statutory framework**



Paragraph 169  $\rightarrow$  cycle; deadlines Paragraph 172  $\rightarrow$  development projects Paragraph 174 → third-party information

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# **SOC Report format**



- Background information
- Current conservation issues
- Analysis and Conclusions
   of the Secretariat and the Adv.Bodies
- Draft Decision



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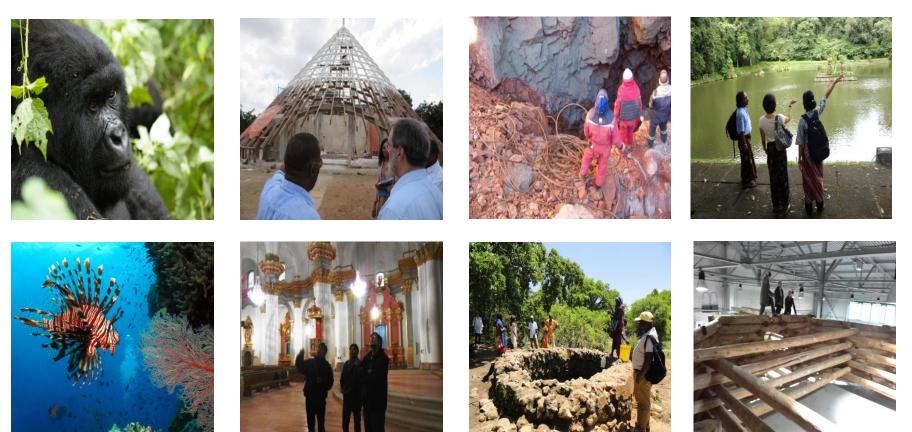
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#### Monitoring the state of conservation of World Heritage properties

Scientific and technical advice for the Committee's decisionmaking Joint work with the World Heritage Centre Tools

**Commitment to States Parties** 



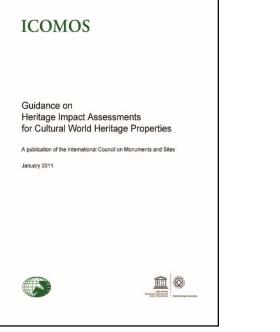


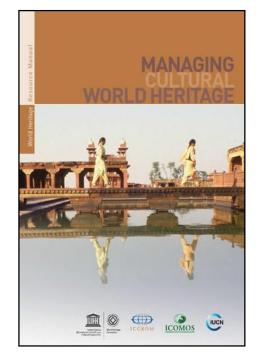


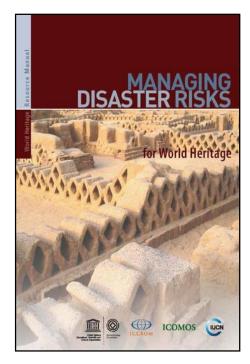
#### Monitoring the state of conservation of World Heritage properties











#### Monitoring the state of conservation of World Heritage properties





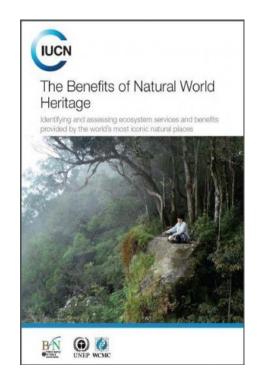
See the World Heritage Convention: http://whc.unesco.org/s

IUCN

(Annex 2)

IUCN **IUCN World Heritage** Outlook 2014 A conservation assessment of all natural World Heritage sites





# Inscription

# on the List of World Heritage in Danger is a call for action

### What's next?

### Set of corrective measures

### • Timeframe







Desired state of conservation for removal from the List in Danger

- Prepared by the State Party, WHC and the ABs
- Adopted by the Committee



# **"DSOCR":**

Defined state of conservation that a property must reach in order to demonstrate that it is no longer threatened by serious and specific danger

- Indicators to monitor OUV
- Rationale for the indicators selected
- Method of verification for each indicator Timeframe



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# Example: Los Katíos National Park (Colombia)

- 2009: DL on request of SP
- Main issues: illegal logging, unauthorized settlements, fishing and hunting, threat from major infrastructure projects
   DSOCR (2012):
- 3 indicators for addressing existing threats
- 2 indicators for avoiding potential threats



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# Example: Los Katíos National Park (Colombia)

- DL used by SP to its benefit:
  - Increased political awareness
  - -More funds channelled to address threats to property
  - Increased (inter)national support
- 2015: property removed from DL



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# Related documentation



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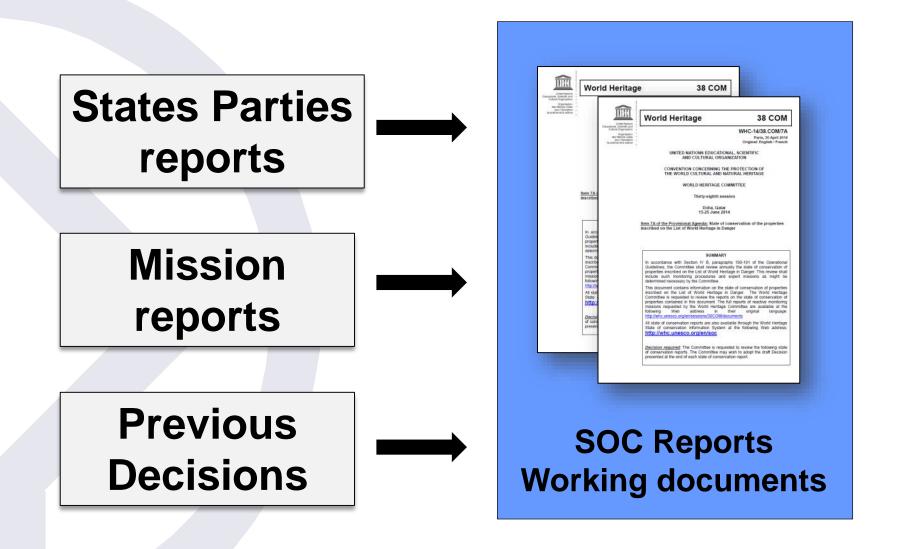
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# whc.unesco.org/en/sessions/40com



JNESCO » Culture » World Heritage Centre » About World Heritage » Statutory Meetings

The Convention Convention Text Operational Guidelines	Search Session Search
The Committee Committee Decisions The General Assembly Statutory Meetings The States Parties	40th session of the Committee Istanbul, Turkey 10-20 July 2016
The Centre Employment & Internships Who's Who The Advisory Bodies	Description         Documents         Nominations           •         Working documents
Funding World Heritage Fund International Assistance Request Financial regulations Reporting & Monitoring	<ul> <li>State of conservation</li> <li>Mission reports</li> </ul>

# whc.unesco.org/en/soc



UNESCO » Culture » World Heritage Centre » About World Heritage » Reporting & Monitoring » State of Conservation

### State of Conservation Information System (SOC)

Conserve and transmit to future generations

OUR PLACE / author / Ishrat khana Mausole

Property name / State Party / Region / SOC Id



Advanced 🖌 🔅 Manage



# Role of the World Heritage Committee



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# **Committee Decisions**



- No action required
- Implementation of **specific measures**
- Need for a State Party report
- Need for a mission
- etc.



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## **Committee Decisions**



- Inscription on the List in Danger
- **Removal** from the List in Danger
- **Deletion** from the World Heritage List



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Virunga National Park © Fauna & Flora International Author: Juan Pablo Moreiras

### **Procedural matters** for the Committee Session



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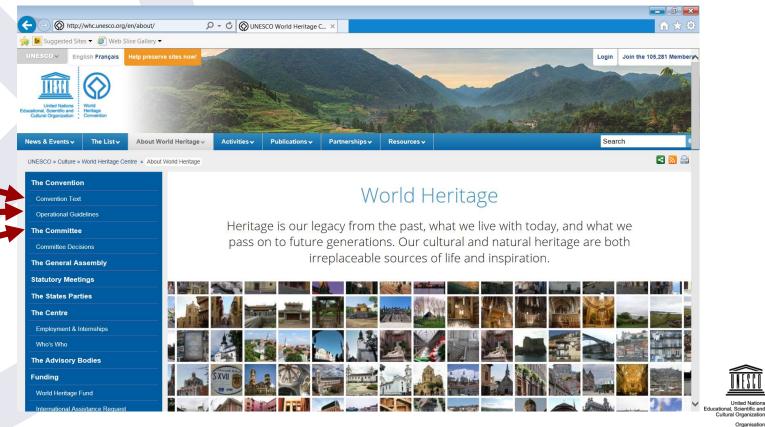
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#### **Basic documents**

### World Heritage Convention (1972), Operational Guidelines (2015 version), Rules of Procedures (2015 version)

#### All available at: whc.unesco.org



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All details concerning hotels, logistics etc. will be provided in the <u>General Information Document</u> which will be sent with Invitation letters

Further information will be given during the <u>Information</u> <u>Session</u> foreseen in May 2016 at UNESCO Headquarters (date to be confirmed)



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#### Bureau session

[12.2 The Bureau shall meet during the sessions of the Committee as frequently as deemed necessary.]

#### **PLENARY** session

lunchtime Working group(s)

#### **PLENARY** session

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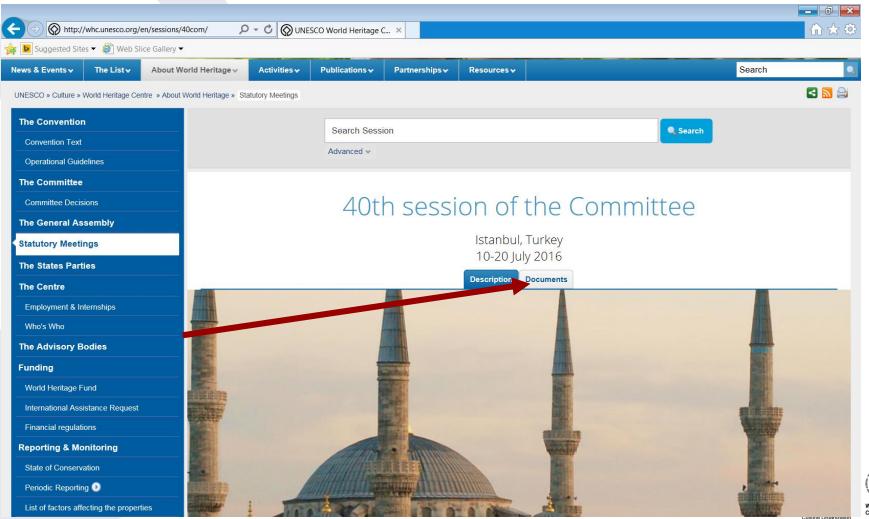
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#### **Documents**

### http://whc.unesco.org/en/sessions/40com



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#### The Rules of Procedure and Conduct of the World Heritage Committee session

- Order of speaking
- Time limit for interventions
- Duties of the Chairperson
- Duties of the Rapporteur
- Conduct of Business (Quorum, Point of Order, Establishment of Consultative Bodies, Amendments, Voting, etc...)



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#### **Conduct of the World Heritage Committee session**





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### **Future Orientation sessions**



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### Logistical arrangements Presentation by the Host Country



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### **Questions and Answers**



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