



THE PRESIDENT  
OF THE  
GENERAL ASSEMBLY

27 May 2016

Excellency,

I have the honour to transmit herewith a letter, dated 27 May, 2016, from the Advisers of the consultation process concerning the ways to enable the participation of indigenous peoples' representatives and institutions in meetings of relevant UN bodies on issues affecting them, transmitting the third compilation of the views expressed by Member States and Indigenous Peoples so far. The next consultative meeting is taking place at 10:00 a.m. on Thursday 30 June in the Trusteeship Council Chamber.

Your active participation in this important consultation process is appreciated.

Please accept, Excellency, the assurances of my highest consideration.

A handwritten signature in dark ink, appearing to read 'Mogens Lykketoft', written in a cursive style.

Mogens Lykketoft

To All Permanent Representatives and  
Permanent Observers to the United Nations  
New York

27 May 2016

Excellency,

With reference to your letters dated 18 and 25 February, 9 March, 27 April and 16 May 2016 with respect to the consultation process associated with Indigenous peoples' participation in the United Nations, we are pleased to forward the third draft compilation on enabling the participation of Indigenous Peoples to the United Nations on Issues affecting them.

This draft is based on the electronic consultation as well as existing United Nations reports on Indigenous peoples' participation in the United Nations, earlier responses to questionnaires on Indigenous peoples' participation at the United Nations and good practices within the United Nations and the consultative meetings with Members States and Indigenous peoples on 11 and 18 May, as well as meetings held with Special Rapporteur on the Rights of Indigenous Peoples, the Chair of the Expert Mechanism on the Rights of Indigenous Peoples and members of the Permanent Forum on Indigenous Issues.

The next consultative meeting is on 30 June at 10 AM in Trusteeship Council Chamber at the United Nations. All Member States and Indigenous peoples are invited to attend and contribute to the consultation.

We invite continued inputs from Indigenous peoples' representatives who are not able to join the consultative meeting to [ipadvisers@un.org](mailto:ipadvisers@un.org). We also encourage all Indigenous peoples' representatives to share their views with those representatives that will join the consultative meeting.

We invite Member States to actively engage in the consultative meeting and to consult with the Indigenous peoples in their area throughout the consultation process.

The revised draft compilation is attached to this letter and can be found in the consultation process website: <https://www.un.org/development/desa/indigenouspeoples/participation-of-indigenous-peoples-at-the-united-nations.html>

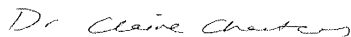
We are looking forward to continue engaging on this important subject with all participants to the consultations and to your continued support.



Ambassador Kai Sauer  
Permanent Representative of Finland  
to the United Nations



Ambassador Martha Ama Akyaa Pobee  
Permanent Representative of Ghana  
to the United Nations



Dr. Claire Charters



Prof. James Anaya

**Compilation of views on possible measures necessary to enable the participation of indigenous peoples' representatives and institutions in relevant United Nations meetings on issues affecting them, and of good practices within the United Nations regarding indigenous peoples' participation, to form the basis for a draft text to be finalized and adopted by the General Assembly at its seventy-first session**

**DRAFT Three**

27 May 2016

***I BACKGROUND***

At the high-level plenary meeting of the General Assembly known as the World Conference on Indigenous Peoples Member States committed to consider *ways to enable the participation of indigenous peoples' representatives and institutions in meetings of relevant United Nations bodies on issues affecting them* (A/RES/69/2, paragraph 33) and requested the Secretary-General to report to the General Assembly and give specific proposals to enable the participation of indigenous peoples' representatives and institutions, building on the report of the Secretary-General on ways and means of promoting participation at the United Nations of indigenous peoples' representatives on the issues affecting them (A/RES/69/2, paragraph 40).

In his report on *Progress made in the implementation of the outcome document of the high-level plenary meeting of the General Assembly known as the World Conference on Indigenous Peoples (A/70/84-E/2015/76)*, the Secretary-General made concrete proposals on enabling the participation at the United Nations of indigenous peoples' representatives and institutions. He also reiterated a series of considerations for the participation of Indigenous peoples' representatives in the United Nations, namely:

- Procedures that will make the participation of Indigenous peoples' representatives meaningful and effective;
- Criteria for determining the eligibility of Indigenous peoples' representatives for accreditation as such;
- Nature and membership of the body to determine the eligibility of Indigenous peoples' representatives for accreditation;
- Details of the process, including the information required to be submitted to obtain accreditation as an Indigenous peoples' representative;

These considerations, together with the other background documents included on <https://www.un.org/development/desa/indigenouspeoples/participation-of-indigenous-peoples-at-the-united-nations.html>, have guided the consultations in March-May 2016 conducted by the advisers to the President of the General Assembly (see below). This draft compilation is primarily based on the submissions to the electronic consultation and contributions during the face-to-face consultations on 11 May 2016 and 18 May 2016 in New York.

***II CONSULTATIONS***

The General Assembly under Resolution 70/232 of 23 December 2015:

*Requests* the President of the General Assembly to conduct, within existing resources, timely, inclusive, representative and transparent consultations with Member States, indigenous peoples' representatives and institutions from all regions of the world, and existing relevant mechanisms of the United Nations, on the possible measures necessary, including procedural and institutional

Draft three of the compilation of views on enabling Indigenous peoples' participation in the UN: it will be subject to ongoing consultation until June 2016.

steps and selection criteria, to enable the participation of indigenous peoples' representatives and institutions in meetings of relevant United Nations bodies on issues affecting them, and also requests the President to prepare a compilation of the views presented during the consultations, including good practices within the United Nations regarding indigenous peoples' participation, which will form the basis for a draft text to be finalized and adopted by the Assembly during its seventy-first session.

The President of the General Assembly appointed in February 2016 four advisors to assist him in fulfilling his mandate with respect to consultation and the compilation of views with respect to Indigenous peoples' participation at the UN.

The President of the General Assembly launched the consultation process with an electronic consultation on 7 March 2016. The first face-to-face consultation occurred on 11 May 2016. The second face-to-face consultation was held on 18 May 2016. The advisors also held meetings with the Special Rapporteur on the Rights of Indigenous Peoples, the chair of the Expert Mechanism on the Rights of Indigenous Peoples, the members of the Permanent Forum on Indigenous issues as well as interested delegations of Member States and Indigenous peoples. This compilation reflects the responses provided to the President of the General Assembly to the electronic consultation and during the face-to-face consultations on 11 May 2016 and 18 May 2016. It also provides a preliminary outline of good practices within the UN regarding Indigenous peoples' participation. This compilation will be refined as a result of further contributions to the ongoing consultations on Indigenous peoples' participation at the UN conducted by the President of the General Assembly and his advisors up until 30 June 2016.

### ***III SUMMARY OF CONTRIBUTIONS***

In the first and second drafts of the compilation the advisors attempted to summarise in a comprehensive way all of the views expressed. To constructively contribute to the ongoing consultations and to present a balanced compilation, we attempt to summarise the various views that appear to have some support while also noting points of difference at this stage in the process. We also, where appropriate, offer some further thoughts on outstanding questions that all parties to the consultations might like to address in more depth and on the legal and procedural parameters that regulate the process to enhance Indigenous peoples' participation at the UN.

#### ***A Suggested categories and venues of participation:***

While reminding of the status and territorial integrity of States as set out in the Charter of the United Nations as well as in article 46 of the UN Declaration on the Rights of Indigenous Peoples, most responses have in principle indicated the need for enhanced forms of participation for Indigenous peoples in UN bodies affecting them although some responses have expressed concerns about moving in that direction.

There was considerable (but not uniform) support expressed for a separate category of participation in the UN, including in the General Assembly, for Indigenous peoples as the current procedures and practices such as those applicable to ECOSOC-accredited non-governmental organisation do not naturally or sufficiently accommodate the participation of Indigenous peoples as Indigenous peoples in UN bodies.

There appears to be a convergence of views among those responding that, at a minimum, Indigenous peoples' participation at the UN should not fall below that of ESOSOC-accredited non-governmental organisations and, further, should not in anyway undermine existing unique procedures permitting the

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participation of Indigenous peoples' organisations and individuals in the Expert Mechanism on the Rights of Indigenous Peoples and the Permanent Forum on Indigenous Issues.

Participation in the General Assembly remains centred on Member States of the United Nations as well as, albeit to a lesser degree, non-Member States, entities and organisations having received a standing invitation to participate as observers in the sessions and the work of the General Assembly. Not all organisations having received an invitation to participate as observers in the General Assembly are inter-governmental organisations. There is not one singular resolution setting out the forms of participation for observers in the General Assembly. The specific forms of participation by the individual observers are regulated by organization-specific General Assembly resolutions and decisions and the rules and practices of the General Assembly.

Some mentioned General Assembly 49/426 of 1994, which states that the "granting of observer status in the General Assembly should be confined to States and to those intergovernmental organisations whose activities cover matters of interest to the Assembly". Others noted that in practice a few entities that are not inter-governmental organisations have received, by resolution, a standing invitation to participate as observers in the General Assembly. Moreover, others again have stressed that the focus in this process is on a new and unique category for Indigenous peoples' participation in the General Assembly. Advice received indicates that the General Assembly has the authority to do so.

Another view was that Indigenous peoples are not states and thus should not be accorded a so-called "permanent observer status", which is similar to the view that the intergovernmental nature of the UN, and in particular participation in the General Assembly, should be maintained.

Some expressed concern about potential practical implications of enlarging the General Assembly to include Indigenous peoples' organisations' observers. Some suggested that these practical issues can be managed just as the participation of non-state-actors is managed in, for example, the ECOSOC and Human Rights Council and their respective subsidiary bodies. For example, spaces for Indigenous peoples' speaking in the General Assembly might be managed by procedures setting out specific times and a set number of speaking spots for accredited observer Indigenous peoples' organisations. These speaking slots might be, under one suggestion, allotted by regions (understood from an Indigenous-regions viewpoint). In this way observer Indigenous peoples' organisations would not be limited in number but, in the interests of the efficient organization of General Assembly meetings, speaking opportunities might be.

Some are of the view that mechanisms to enable unique forms of participation for Indigenous peoples should be first established in only some UN bodies such as the ECOSOC, the Human Rights Council and their subsidiary bodies.

Another view was that more research was required into how Indigenous peoples use existing opportunities to participate in UN and that more information should be provided about already existing opportunities.

Many mentioned the need for Indigenous peoples' guaranteed participation in all UN programmes, funds and specialized agencies as well as in conferences in the parties to UN treaties. Advice received suggests that the General Assembly does not have the authority to require Indigenous peoples' participation in all activities or entities associated with the UN. Moreover, generally the General Assembly does not determine procedures for participation in the ECOSOC. For the Human Rights Council it has done so in resolution A/RES/60/251 establishing the Council; the rules for participation of observers of the Council were subsequently confirmed by the Human Rights Council itself in its rules of procedures. However, the General Assembly may recommend that the UN as a whole, including all

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associated entities and processes, enhance participation of Indigenous peoples and it is anticipated that such a recommendation would be influential.

It was also noted that there is a relationship between the rules and procedures regulating Indigenous peoples' participation and the appropriate venues for Indigenous participation and forms of participation. In other words, the view was expressed that the clearer and stricter the rules and procedures to accredit Indigenous peoples' institutions the more likely there would be agreement on enhanced levels of Indigenous institutions' participation in the higher-level UN bodies such as the General Assembly.

Different views were expressed on the appropriate venues for Indigenous peoples' to participate in. As outlined above, many supported forms of participation in the General Assembly as well as enhanced participation in other UN bodies such as ECOSOC and the Human Rights Council and their subsidiary bodies. Others supported a process by which Indigenous peoples' participation is enhanced first in the ECOSOC and the Human Rights Council and then, at a later point, in the General Assembly. Others again express a reluctance to permit Indigenous peoples' participation in the General Assembly.

Many mentioned that Indigenous peoples should participate in all meetings and processes of the UN that affect them including UN programmes, funds and specialized agencies and relevant conferences of the parties to specific UN treaties (although note the General Assembly's lack of authority over the procedures associated with many treaties as well as programmes, funds and specialized agencies).

Some are of the view that almost all issues addressed in the UN affect Indigenous peoples. Many also maintain that Indigenous peoples' representative institutions and organisations should have the right to participate in all UN bodies which Indigenous peoples themselves judge to affect their interests.

### ***B Participation modalities***

Some are of the view that the specific forms of Indigenous participation in the General Assembly should be consistent with those of the vast majority of current holders of observer status in the General Assembly, namely inter-governmental and other organisations. This would include e.g. the right to speak, but not the right to reply, the right to take initiatives or the right to vote.

Specific times and a set number of speaking spots for accredited observer Indigenous peoples' institutions could be created. These speaking slots might be, under one suggestion, allotted by regions (understood from an Indigenous-regions viewpoint). In this way observer Indigenous peoples' institutions would not be limited in number but, in the interests of the efficient organization of General Assembly meetings, speaking opportunities might be.

Additionally, it was expressed that Indigenous peoples should not be included in forma meetings for drafting negotiations on resolutions in the General Assembly context.

At the same time, some are of the view that Indigenous peoples' participation in ECOSOC and its subsidiary bodies and the Human Rights Council and its subsidiary bodies should be improved and might be greater than in the General Assembly, for example, with respect to opportunities to speak and disseminate written material.

The view was expressed that Indigenous peoples' institutions should not take priority over ECOSOC-accredited non-governmental organisations in speaking order or seating arrangements in the ECOSOC or Human Rights Council. Others maintain the contrary, i.e., that Indigenous representative institutions should be afforded some priority as representatives of peoples.

### ***C Method of accreditation***

Most proposals recommended the establishment of a new body to accredit indigenous peoples for a new category of participation. Suggestions in this regard included that the new body would consist of both Indigenous peoples representatives and states or, alternatively, Indigenous and state appointed independent experts. Some suggested that the Permanent Forum on Indigenous Peoples experts might be mandated to oversee accreditation while others maintain that any new arrangement should be separate from any existing UN bodies or mechanisms. Another suggested that the accreditation process include both a domestic accreditation council and an international accreditation council with both being composed of both Indigenous and State appointees or representatives.

Conversely, some rejected the establishment of any body external to Indigenous peoples for accreditation. On the other hand, some suggested that the accreditation be composed of mainly states and/or the use of a "non-objection" procedure. Another suggestion was that there be a two-step process with recommendations from a new Indigenous accreditation body being reviewed by the General Assembly with the final decision resting with the General Assembly.

Another suggestion focused on enhancing existing accreditation procedures for bodies such as the Permanent Forum on Indigenous Peoples instead of establishing a new accreditation body.

A number of contributors recommended that membership on the new accrediting body be balanced by geographic area and gender representation.

### ***E Additional relevant factors for Indigenous peoples' representative institutions to qualify for a new category of participation***

There appears to be a convergence of views that qualification for a new category of participation should center on indigenous peoples representative institutions and not on indigenous peoples as such.

Some propose that a definition of Indigenous peoples' representative institutions is required while others disagree with any attempt to define Indigenous peoples or their institutions in any way. Others suggest that, instead of a definition, there might be factors to be taken into account in a flexible manner. There is also the suggestion that if the process for accreditation is clearer then there might be a greater willingness to enhance Indigenous peoples' participation in the UN including in the higher echelons. Similarly, there is a suggestion that if states have a greater or final say in determining accreditation, there is less of a requirement for a definition.

There appears to be considerable agreement that Indigenous peoples' and their representative institutions need to be distinguished from non-governing organisations, organisations composed of Indigenous voluntary members or non-Indigenous peoples' organisations. On the other hand, questions remain as to whether, for example, Indigenous women's organisations or organisations representing Indigenous individuals who are not resident on Indigenous territories should qualify for a new category of participation as an indigenous peoples representative institution.

There remains a need for clarity around the type of institution or organization that should be eligible for accreditation. Some maintain that it should be confined to governance institutions, including governing councils, parliaments, and traditional authorities, while others claim that not all Indigenous peoples' representative institutions can be accurately described as governing, in some cases because of the impact of colonization and/or dispossession, and should not be denied eligibility as a result. Some maintain that eligibility should extend to organisations that represent more than one Indigenous people. Others

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are concerned to ensure that eligibility does not extend to Indigenous organisations that may be able to apply for ECOSOC accreditation as non-governing organisations but that do not actually represent Indigenous peoples. Another expressed the view that one Indigenous people might be represented by more than one Indigenous organization and that there should be flexibility in accommodating multiple forms of Indigenous peoples' organizational structures.

There is considerable support for the view that state recognition of an organization as representative of an Indigenous people, although a relevant factor should not be a prerequisite for eligibility for accreditation as an Indigenous peoples' representative institution. Some took the view that a necessary factor for qualifying Indigenous peoples' institution is that they represent one or more people/s that self-identifies as Indigenous. Other factors cited as relevant include that the institution represent peoples who have ancestral connections with their lands, territories and resources, who share history, language and culture, who exercise the collective rights of the people and who have the authority to practice Indigenous peoples' self-government and, where relevant, who have entered into treaties, agreements or other constructive arrangements.

There appears to be considerable agreement that Indigenous peoples should have the exclusive authority to designate their own representatives in accordance with their own procedures but the said representatives should have appropriate credentials from those institutions peoples that they represent. Similarly, there is support for the view that Indigenous representatives should be recognized by their own constituents as such.

#### *F Comments on resources with respect to enhanced participation*

A number of participants requested more information about the financial implications of the proposals to enhance Indigenous peoples' participation at the UN pointing out that the budgetary implications of enhanced participation need to be considered.

### ***IV EXISTING PRACTICES WITHIN THE UNITED NATIONS REGARDING PARTICIPATION OF NON-MEMBER STATES, INTERGOVERNMENTAL ORGANIZATIONS, NON-GOVERNMENTAL ORGANIZATIONS AND OTHER ENTITIES***

#### ***A Observer status at the General Assembly***

The General Assembly may determine its own rules with respect to the granting of observer status. In accordance with current practice of the General Assembly, in line with General Assembly decision 49/426 of 9 December 1994:

The granting of observer status in the General Assembly should in future be confined to States and to those intergovernmental organisations whose activities cover matters of interest to the Assembly.

and under General Assembly resolution 54/195 of 17 December 1999:

In future, any request by an organization for the granting of observer status in the General Assembly will be considered in plenary session after the consideration of the issue by the Sixth Committee of the General Assembly;

Requests the Secretary-General to take appropriate measures to bring to the attention of all the States Members of the General Committee of the General Assembly the criteria and procedures



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laid down by the General Assembly whenever a request is made by an organization seeking observer status in the General Assembly.

UN document A/INF/70/5 provides a list of non-Member States, entities and organizations having received a standing invitation to participate as observers in the sessions and the work of the General Assembly. The specific rights of each observer with respect to their participation e.g. rights to speak, co-sponsor resolutions, to raise points of order etc., is determined either by the specific resolution conferring observer status to the observer or by current practice of the General Assembly. There are some intergovernmental organizations, such as specialized agencies and related organizations, that participate at the General Assembly as observers through an agreement between the UN and the organization.

### ***B ECOSOC and Human Rights Council accreditation***

As is detailed in the Secretary-General report *Ways and means of promoting participation at the United Nations of indigenous peoples' representatives on issues affecting them* (A/HRC/21/24) (2012), there are specific rules regulating the participation of non-governmental organizations (Economic and Social Council resolution 1996/31) under the Economic and Social Council, which have been adopted by the Human Rights Council.

There are also unique participation rules and specific accreditation procedures for national human rights institutions in the Human Rights Council that are, notably, independent of states (including Human Rights Council resolution 5/1 and Commission on Human Rights resolution 2005/74 as well as General Assembly resolution 65/281 Review of the Human Rights Council and its annex).

### ***C Ad hoc participation in specific UN committees, meetings etc.***

Additionally specific modalities to enable civil society participation are set out in specific resolutions relating to specific committees, meetings and conferences associated with the General Assembly, including, by way of example General Assembly resolution 66/296 on the Organization of the high-level plenary meeting of the sixty-ninth session of the General Assembly, to be known as the World Conference on Indigenous Peoples. Another example is the General Assembly resolution on the format and organizational aspects of the high-level forum on sustainable development (A/Res/67/290).

## ***V UNIQUE EXISTING PROCEDURES TO FACILITATE PARTICIPATION BY INDIGENOUS PEOPLES IN THE UN***

- Procedures to enable Indigenous participation in the Permanent Forum on Indigenous Issues and the Expert Mechanism on the Rights of Indigenous Peoples (see Secretary-General report *Ways and means of promoting participation at the United Nations of indigenous peoples' representatives on issues affecting them* (A/HRC/21/24) (2012)). Member states and Indigenous peoples both attend as observers in meetings of the Expert Mechanism on the Rights of Indigenous Peoples.
- Procedures that permit the appointment of Indigenous co-facilitators or advisers in processes that directly address Indigenous peoples such as in the World Conference on Indigenous Peoples and in this current process led by the President of the General Assembly.
- Practices that support Indigenous peoples' participation in various UN bodies as set out in the Inter-Agency Support Group on Indigenous Peoples' Issues *The Participation of Indigenous Peoples in the United Nations* (June 2014).