



United Nations  
Educational, Scientific and  
Cultural Organization

Organisation  
des Nations Unies  
pour l'éducation,  
la science et la culture

Organización  
de las Naciones Unidas  
para la Educación,  
la Ciencia y la Cultura

Организация  
Объединенных Наций по  
вопросам образования,  
науки и культуры

منظمة الأمم المتحدة  
للتربية والعلم والثقافة

联合国教育、  
科学及文化组织

# Diversity of Cultural Expressions

5 IGC

**Distribution limited**

**CE/11/5.IGC/213/Dec.  
Paris, 7 December 2011  
Original French / English**

## INTERGOVERNMENTAL COMMITTEE FOR THE PROTECTION AND PROMOTION OF THE DIVERSITY OF CULTURAL EXPRESSIONS

**Fifth Ordinary Session  
Paris, UNESCO Headquarters  
5-7 December 2011**

**DECISIONS**

**Item 1 of the Agenda:** Adoption of the Agenda

**Decision 5.IGC 1**

*The Committee,*

1. *Having examined document CE/11/5.IGC/213/1;*
2. *Adopts the Agenda of the session contained in the above-mentioned document, as amended.*

**Item 2 of the Agenda:** Approval of the list of observers

**Decision 5.IGC 2**

*The Committee,*

1. *Having examined the list of observers;*
2. *Approves the list of observers.*

**Item 3 of the Agenda:** Adoption of the detailed summary record of the fourth ordinary session of the Committee

**Decision 5.IGC 3**

*The Committee,*

1. *Having examined document CE/11/5.IGC/213/3 and its Annex;*
2. *Adopts the detailed summary record of the fourth ordinary session of the Intergovernmental Committee for the Protection and Promotion of the Diversity of Cultural Expressions included in the above-mentioned document.*

**Item 4 of the Agenda:** Preparation of quadrennial periodic reports of Parties to the Convention

**Decision 5.IGC 4**

*The Committee,*

1. *Having examined document CE/11/5.IGC/213/4;*
2. *Recalling Resolutions 3.CP 7 and 3.CP 10 of the Conference of Parties and its Decision 4.IGC 7;*
3. *Thanks the Secretariat and the NGO-UNESCO Liaison Committee for having organized an exchange session on the role of civil society in the preparation of the quadrennial periodic reports;*
4. *Encourages Parties to ensure the widest participation and involvement of civil society when preparing their quadrennial periodic reports;*
5. *Also encourages Parties to submit, to the extent possible, their quadrennial periodic reports in both working languages of the Intergovernmental Committee;*
6. *Invites Parties that are in a position to do so to also submit their reports in other languages for purposes of information sharing.*

**Item 5 of the Agenda:** Secretariat's report on the implementation of the second year of the pilot phase of the International Fund for Cultural Diversity (IFCD)

**Decision 5.IGC 5**

*The Committee,*

1. *Having examined document CE/11/5.IGC/213/5 and its Annexes;*
2. *Taking note of the amount of US\$1,316,328 available on 30 June 2011 for funding programmes/projects and preparatory assistance, as set out in Annex 3 of document CE/11/5.IGC/213/6;*
3. *Decides that the projects presented in Annex 4 and annexed to this decision will receive financial support from the International Fund for Cultural Diversity (IFCD), while taking into account the observations of the Committee on the application of the Guidelines on the use of the resources of the IFCD;*
4. *Urges the Panel of Experts to rigorously apply the Guidelines on the use of the resources of the IFCD in the next evaluation cycles, taking into account Committee members' observations and concerns;*
5. *Takes note with interest of the comments, observations and recommendations of the Panel of Experts;*
6. *Decides to renew, for a one-year period starting from this session, the members of the Panel of Experts to draw up recommendations for the sixth ordinary session of the Committee with a view to its examination of requests for the funding of programmes/projects by the IFCD;*
7. *Requests the Secretariat to invite the Coordinator of the Panel of Experts to attend the sixth ordinary session of the Committee.*

## ANNEX to Decision 5.IGC 5

| Points | Program/project (PR)/ Preparatory Assistance (PA) | Countries or INGO  | Beneficiary | Project n° | Title of Project   | Funding requested from IFCD (US\$) | Allocated amount by Panel of Experts (US\$) | Applicants' co-financing | Decision by the Committee (US\$) |
|--------|---|--|-------------|------------|--|------------------------------------|---|--------------------------|----------------------------------|
| 37     | PR  | Barbados   | NGO         | 24         | Promoting the export of Caribbean music to North American markets                    | 100 000                            | 100 000                                     | 65 000                   | 100 000                          |
| 37     | PR  | Croatia  | NGO         | 53         | Book market regulation and promotion of a culture of reading in Croatia              | 26 000                             | 26 000                                      | 6 200                    | 26 000                           |
| 36     | PR  | Bosnia and Herzegovina   | NGO         | 34         | Mapping the film industry in Bosnia and Herzegovina                                  | 40 700                             | 35 700                                      | 22 700                   | 35 700                           |
| 36     | PR  | Brazil   | NGO         | 48         | Capacity building and distribution opportunities for indigenous filmmakers in Brazil | 97 580                             | 97 580                                      | 24 000                   | 97 580                           |
| 36     | PR  | Zimbabwe   | NGO         | 188        | Measuring the economic contribution of Zimbabwe's cultural industries                | 99 023                             | 99 023                                      | 0                        | 99 023                           |
| 35     | PR  | CIAI - Italian Association for Aid to Children                       | INGO        | 106        | Association of young artists building Cambodia's future cultural industries          | 81 341                             | 81 341                                      | 21 060                   | 81 341                           |
| 35     | PR  | St Vincent and the Grenadines  | SP          | 6          | National cultural policy reform in Saint Vincent and the Grenadines                  | 43 605                             | 43 605                                      | 103 200                  | 43 605                           |
| 35     | PR  | Serbia   | NGO         | 145        | Professional development for cultural entrepreneurs in Serbia                        | 97 250                             | 97 250                                      | 137 415                  | 97 250                           |
| 35     | PR  | South Africa   | NGO         | 153        | Encouraging creative entrepreneurship in South Africa through recycled arts          | 100 000                            | 81 000                                      | 19 600                   | 81 000                           |
| 34     | PR  | Agence d'aide à la coopération technique et au développement (ACTED) | INGO        | 76         | Strengthening and diversifying music production in Tajikistan                        | 100 000                            | 85 000                                      | 35 600                   | 85 000                           |

|    |    |            |     |            |  |        |        |        |        |
|----|----|------------|-----|------------|--|--------|--------|--------|--------|
| 34 | PR | Senegal    | NGO | <b>140</b> | Formation des responsables culturels sénégalais dans la mise en œuvre de la politique culturelle | 99 550 | 99 550 | 4 440  | 99 550 |
| 33 | PR | Niger      | NGO | <b>138</b> | Renforcement des capacités pour la promotion des industries culturelles émergentes au Niger      | 89 398 | 80 000 | 0      | 80 000 |
| 32 | PA | Malawi     | SP  | <b>2</b>   | Strengthening the cultural industries in Malawi: a preparatory assistance request                | 10 000 | 10 000 | 1 050  | 10 000 |
| 32 | PR | Uruguay    | SP  | <b>194</b> | Fostering creativity and cultural participation for poverty alleviation in Uruguay               | 95 115 | 95 115 | 24 400 | 95 115 |
| 30 | PR | Kenya      | GR  | <b>93</b>  | Promoting the cultural expressions of Kenya's indigenous peoples                                 | 99 947 | 95 547 | 0      | 95 547 |
| 30 | PR | Mozambique | SP  | <b>198</b> | Combating youth unemployment in Mozambique through the cultural industries                       | 65 000 | 65 000 | 0      | 65 000 |
| 30 | PR | Togo       | SP  | <b>13</b>  | Elaboration d'un plan stratégique pour mettre en œuvre des politiques culturelles au Togo        | 98 698 | 98 698 | 10 420 | 98 698 |

**Item 6 of the Agenda:** Use of the resources of the International Fund for Cultural Diversity (IFCD)

**Decision 5.IGC 6**

*The Committee,*

1. *Having examined document CE/11/5.IGC/213/6 and its Annexes, as well as document CE/11/5.IGC/213/INF.3;*
2. *Recalls its Decisions 3.IGC 5 and 4.IGC 10A and paragraph 5 of Resolution 3.CP 9 of the Conference of Parties;*
3. *Takes note of the financial statements of the International Fund for Cultural Diversity (IFCD) for the period 1 January 2007 to 30 June 2011 as set out in Annex I of document CE/11/5.IGC/213/6;*
4. *Commends the Parties, the States and individuals that have contributed to the IFCD and encourages Parties to continue to contribute to the IFCD as stated in paragraph 7 of Article 18 of the Convention;*
5. *Adopts the budget for 2012 as set out in Annex III of document CE/11/5.IGC/213/6, as amended;*
6. *Decides to issue a new call for projects in 2012 and dedicate 70% of funds available on 30 June 2012 for the third year of the pilot phase (2012);*
7. *Decides to allocate, in addition, under fixed costs a maximum amount of US\$200,000 for fundraising activities, as described in document CE/11/3.CP/209/INF.5, from unassigned funds in the IFCD special account;*
8. *Requests the Secretariat to identify appropriate means or mechanisms to facilitate the payment of contributions to the IFCD;*
9. *Decides to inscribe the item of fundraising activities on the agenda of the sixth ordinary session of the Committee, and requests the Secretariat to submit to the Committee a detailed report on the use of the funds intended for fundraising activities;*
10. *Requests the Secretariat to submit to the next ordinary session of the Committee preliminary draft revisions to the Guidelines on the use of the resources of the IFCD, taking into account the results of the evaluation of the pilot phase of the IFCD and debates held at its fifth ordinary session;*
11. *Requests the Director-General to launch a new appeal for contributions in 2012 to all Parties to the Convention and other stakeholders.*

**Item 7 of the Agenda:** Terms of Reference for the evaluation of the pilot phase of the International Fund for Cultural Diversity (IFCD)

### Decision 5.IGC 7

*The Committee,*

1. *Having examined document CE/11/5.IGC/213/7 and its Annex;*
2. *Recalling paragraphs 7 and 8 of Resolution 3.CP 11 and paragraphs 4, 5 and 17 of the Guidelines on the use of the resources of the International Fund for Cultural Diversity;*
3. *Adopts the terms of reference for an evaluation of the pilot phase of the International Fund for Cultural Diversity, as amended and annexed to this Decision;*
4. *Invites the evaluators to pay particular attention to the following principles when applying the terms of reference: sound management of resources, structuring effects of projects, their sustainability, as well as their complementarity with projects funded by States, Parties and other international Funds;*
5. *Requests the Secretariat to make available online within the statutory deadlines all the project files as well as their evaluation.*

### ANNEX to Decision 5.IGC 7

#### Terms of Reference for an evaluation of the pilot phase of the International Fund for Cultural Diversity

Pursuant to the Resolution 3.CP 11 of the Conference of Parties to the Convention, the evaluation of the pilot phase of the IFCD has the following **objectives**:

- Measure the degree of implementation, efficiency and quality delivered on outputs and outcomes of the IFCD pilot phase.
- Draw on the lessons learned and make recommendations as to the governance and management of the IFCD in the future, including administrative and financial mechanisms.
- Make suggestions for the review of the Guidelines of the IFCD to take place at the sixth ordinary session of the Committee in 2012, including its objectives and general aspects, fields of activity, beneficiaries, procedures, approval of requests, evaluation and reporting.

#### Part A. Evaluation of the IFCD governance and management

The following questions are to be addressed:

- (i) To what extent were the provisions of the current Guidelines of the IFCD and the additional criteria adopted by the Committee successful in meeting the programmatic objectives of the Convention, achieving concrete and sustainable results, clarity and impact on the management of the pilot phase. To determine this, special attention will be paid to:
  - a. eligibility criteria and clarity of scope of the fields of activity for programmes/projects and requests for preparatory assistance;

- b. role of the National Commissions and their capacity for reviewing projects;
  - c. role of the civil society and their access to IFCD resources;
  - d. identification of 'vulnerable groups';
  - e. identification of 'special situations' and 'cultural expressions at risk';
  - f. criteria and method for selection of experts to serve on the evaluation panel;
  - g. criteria for evaluation of programmes/projects and requests for preparatory assistance, with a particular emphasis on how they were related to the programmatic objectives of the Convention;
  - h. decision-making process in approving projects for funding;
  - i. duration of the funding cycle (one year during the pilot phase); and
  - j. complementarity of the IFCD with other international funds covering similar fields.
- (ii) To what extent were the management mechanisms put in place by the Secretariat successful in ensuring effective implementation of the first two years of the pilot phase and supporting project output delivery. To determine this, special attention will be paid to:
- a. use of human and technical resources, organizational structure, information flows and decision-making;
  - b. procedures for submitting project applications and the application forms;
  - c. examination of the eligibility of the requests by the Secretariat;
  - d. assessment of the technical evaluation by the Expert Panel;
  - e. coordination of the experts' work with the Secretariat and amongst the experts;
  - f. working methods of experts and their tools for evaluation and communication;
  - g. forms used to present the results of the experts' evaluation to the Committee;
  - h. contract management;
  - i. visibility of the IFCD and a comprehension of the fields of activity of the IFCD by the relevant stakeholders and the public; and
  - j. transparency of the process as a whole.

## **Part B. Evaluation of implementation of the projects approved in the first funding round**

The following questions should be addressed:

- (i) To what extent were the programmes/projects cost-effective, i.e. could the outcomes and expected results have been achieved at lower cost through adopting a different approach and/or using alternative delivery mechanisms?
- (ii) To what extent did the programmes/projects achieve their expected outcomes and results?
- (iii) What are the reasons for the non-achievement?
- (iv) What were the main difficulties faced by beneficiary countries and stakeholders?
- (v) Did the programmes/projects have effective monitoring mechanisms in place? What monitoring indicators can be recommended for incorporation into the application forms?
- (vi) Did the activities address the objectives identified?
- (vii) Did the programmes/projects' objectives address the identified needs of the target group(s)?
- (viii) Do beneficiaries have the financial and human capacity to ensure the sustainability of programmes/projects?
- (ix) Has funding from multiple sources been a determining factor?
- (x) What was the degree of visibility achieved by the project?



## Part C. Audit of the IFCD incomes and expenditures

The following points are to be addressed:

- (i) the voluntary nature of contributions to the IFCD;
- (ii) the multi-donor nature of the IFCD;
- (iii) conformity with the principle of financial accountability, as understood within the UN system;
- (iv) budget allocations between fixed costs and funding requests;
- (v) analysis of IFCD accounts since its establishment; and
- (vi) analysis of resource mobilization.

\*\*\*\*\*

**Item 8 of the Agenda:** International consultation and coordination: current state of Article 21 of the Convention

### Decision 5.IGC 8

*The Committee,*

1. *Having examined document CE/11/5.IGC/213/8 and its Annexes;*
2. *Recalling Resolution 3.CP 11 of the Conference of Parties;*
3. *Takes note of the information collected as a result of the consultation on the implementation of Article 21 of the Convention as presented in the document mentioned above;*
4. *Invites Parties to bring to the attention of the Secretariat all relevant information concerning the implementation of Article 21 of the Convention;*
5. *Requests the Secretariat to continue its work on this matter and to transmit to it at its sixth ordinary session the results for examination.*

**Item 9 of the Agenda:** Creation of an emblem for the Convention and preparation of draft operational guidelines for its use

### Decision 5.IGC 9

*The Committee,*

1. *Having examined document CE/11/5.IGC/213/9;*
2. *Recalling Resolution 3.CP 11 of the Conference of Parties and its Decision 4.IGC 5;*
3. *Requests the Secretariat to submit to it at its sixth ordinary session proposals for an emblem taking into consideration the Convention's existing visual identity;*
4. *Further requests the Secretariat to prepare preliminary draft operational guidelines governing the use of the emblem, taking into consideration its debates at this session, in particular, the principle of flexibility, and submit them to it for consideration at its sixth ordinary session.*

**Item 10 of the Agenda:** Date of the next session of the Committee

**Decision 5.IGC 10**

*The Committee,*

*Decides to convene its sixth ordinary session at UNESCO Headquarters in Paris from 10 to 14 December 2012.*

**Item 11 of the Agenda:** Election of the members of the Bureau of the sixth ordinary session of the Committee

**Decision 5.IGC 11**

*The Committee,*

1. *Decides to suspend the application of article 12.1 of its Rules of Procedure in order to elect two of the Vice-Chairpersons of the Committee;*
2. *Elects Paul Damasane (Zimbabwe) as Chairperson of the Committee;*
3. *Elects Artashes Arakelyan (Armenia) as Rapporteur of the Committee;*
4. *Elects Brazil, Lao People's Democratic Republic, Switzerland and Tunisia as Vice-Chairpersons of the Committee.*