**CONVENTION FOR THE SAFEGUARDING OF THE
INTANGIBLE CULTURAL HERITAGE**

**INTERGOVERNMENTAL COMMITTEE FOR THE
SAFEGUARDING OF THE INTANGIBLE CULTURAL HERITAGE**

**Electronic Bureau consultation**

**June 2016**

**Item 1:**

**Utilization of the funds allocated for ‘other functions of the Committee’
under the Plan for the use of the resources of the Intangible Cultural Heritage Fund**

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| **Summary**The Plan for the use of the resources of the Intangible Cultural Heritage Fund approved by the General Assembly for the period 1 January 2016 to 31 December 2017 and 1 January to 30 June 2018 provides that 20% of the resources be allocated to ‘other functions of the Committee’ as described in Article 7 of the Convention and the Operational Directives. The present document provides a specific proposal prepared by the Secretariat for the utilization of these funds for the period 1 January 2016 to 31 December 2017. Exercising the authority delegated to it by the Committee, the Bureau is invited to decide upon the utilization of these funds. The Bureau is asked to take its decision through electronic consultation.**Decision required:** paragraph 31 |

#### Introduction

1. The Plan for the use of the resources of the Intangible Cultural Heritage Fund and budget plan adopted by the General Assembly at its sixth session (Resolution 6.GA 9) allocates 20% of the total for ‘Other functions of the Committee’ as described in Article 7 of the Convention and in the Operational Directives. The Secretariat will, therefore, make use of these funds to assist the Committee in performing these functions, as required by Article 10 of the Convention. Throughout the assistance provided to the Committee, the Secretariat will seek to follow-up on the recommendations of UNESCO’s Internal Oversight Service (IOS) on UNESCO’s standard-setting work of the Culture Sector concerning the Convention, as it already did during the previous biennium. A significant number of such follow-up actions will only be possible thanks to the support of this budget line.
2. The amount of US$1,590,746 is available for this purpose for the period 1 January 2016 to 31 December 2017, with an additional provisional amount of US$397,687 available for the period 1 January to 30 June 2018.
3. In its [Decision 10.COM 8](http://www.unesco.org/culture/ich/en/Decisions/10.COM/8), the Committee decided to continue with the mechanism set in place in previous sessions for authorizing expenditures in this category. In that Decision, the Committee ‘delegates to its Bureau the authority to decide upon the utilization of the funds allocated under item 3 of the Plan, on the basis of specific proposals to be prepared by the Secretariat’. Part II of the present document, therefore, introduces a specific proposal prepared by the Secretariat for the utilization of these funds for the period 1 January 2016 to 31 December 2017; the proposal constitutes the annex to the draft decision proposed below. The Bureau of the eleventh session of the Committee will be tasked, in mid-2017, with approving the proposal for utilization of these funds for the period 1 January 2018 to 30 June 2018. The intention is to align the expected results of the proposal with those of draft 39C/5, which would have considerably advanced by that time.
4. In its [Decision 9.COM 2.BUR 1](http://www.unesco.org/culture/ich/doc/src/ITH-14-9.COM_2.BUR-Decision-EN.doc), the Bureau of the Committee approved the Secretariat’s proposal to spend US$337,772 during the first semester of the biennium, i.e. 1 January to 30 June 2016. If adopted, the present proposal will supersede the plan previously approved by the Bureau in its Decision 9.COM 2.BUR 1; the allocations proposed in the plan, therefore, include those expenses already incurred to date during the present biennium. In that same decision, the Bureau requested the Secretariat to ‘report on the progress of implementation and the way the funds are spent.’ That report for the period 1 January 2014 to 31 December 2015 can be found in [document ITH/16/11.COM 2.BUR/1 INF](http://www.unesco.org/culture/ich/doc/src/ITH-16-11.COM_2.BUR-INF.1_EN.docx). Since all of the activities undertaken in the first semester of 2016 also figure into the overall plan for the biennium and the largest part are ongoing at the time of writing, the report on the first semester of 2016 will be part of the report on the activities of the Secretariat to be examined by the eleventh session of the Committee and a more detailed version will be included in the report to be examined by the Bureau of the Committee which will meet mid-2017.

#### Proposal for the utilization of funds for ‘other functions of the Committee’

1. Continuing the approach already adopted by the Bureau of the ninth session of the Committee to approve a spending plan expressed in terms of results, outputs and indicators – rather than in terms of specific activities or categories of expense – the Bureau is hereby asked to approve the proposal, in annex, integrating the results framework with financial allocations for each result. The proposal builds on lessons learnt from activities implemented during the previous biennium – the report of which is provided to the Bureau ([document ITH/16/11.COM 2.BUR/INF.1](http://www.unesco.org/culture/ich/doc/src/ITH-16-11.COM_2.BUR-INF.1_EN.docx)). For greater consistency with the [38C/5 Approved](http://unesdoc.unesco.org/images/0024/002443/244305e.pdf) and better integration with the reports thereon to the governing bodies of both the Convention and UNESCO, the proposed results framework is fully aligned with that included in the 38C/5 for theMajor Programme IV Culture, Main Line of Action 2, Expected Result 5 ‘National capacities strengthened and utilized to safeguard the intangible cultural heritage, including indigenous and endangered languages, through the effective implementation of the 2003 Convention’. Since the annexed proposal covers the full biennium of 2016-2017 and supersedes the provisional spending plan previously approved, the expected results, outputs and indicators shown for that period also include work accomplished in the first semester of 2016.
2. Although further alignment of expected results of the spending plan for ‘other functions of the Committee’ for the period 1 January 2016 to 31 December 2017 with those of the 38C/5 implies that the proposed allocations cannot easily be compared with those for the previous biennium, the table below shows that focus continues to be placed on providing support to Member States for implementation of the Convention at national level mainly through the global capacity-building programme. As in previous plans, budget allocation reflects the important role that knowledge management plays in effective implementation of the Convention at the international level. If efforts put on raising awareness appear to be more important in terms of budget than in the previous biennium, it is mainly because the corresponding expected result (ER 4) encompasses the various activities proposed for engaging effectively with a broader range of stakeholders. Finally, the limited resources allocated to the expected result 3 do not do justice to its long-term impact since they are expected to be supported by extrabudgetary funding as requested by the Committee ([Decision 9.COM 13.e](http://www.unesco.org/culture/ich/en/Decisions/9.COM/13.e)).

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| **Expected Result** | **2016-2017** |
| ER 1: Sound governance of the 2003 Convention facilitated by enhanced knowledge management services | 24.32% |
| ER 2: Implementation of the Convention in Member States encouraged through a strengthened capacity-building programme and guidance on safeguarding measures and good practices | 51.38% |
| ER 3: Overall results framework developed to monitor implementation of the Convention | 3.15% |
| ER 4: Objectives of the Convention promoted through raising awareness and outreach | 21.15% |
| Total budget | 100% |

## Expected Result 1: Sound governance of the 2003 Convention facilitated by enhanced knowledge management services

1. The Convention’s knowledge management system represents not only a fundamental working tool for both its governing and advisory bodies and its Secretariat but also a unique repository of information available to all interested parties. The Convention is, however, a constantly changing reality, both at international and national level, which requires an evolving knowledge management system to meet the demands of a wide range of stakeholders. The steady improvement in the management of knowledge and information is, therefore, a prerequisite for ensuring good governance of the Convention. During the 2016-2017 biennium, work will continue on improving the functioning of the Convention’s knowledge management system and its usefulness to its diverse constituencies. Thus, proposed outputs are essentially the same as in the previous biennium.
2. One of the aspects in which improvements are called for is access to information concerning the Convention’s operations, which is the subject of the first output. Having access to information through interfaces that display it in a consistent and focused manner is highly appreciated by all stakeholders, especially those who are expected to take specific action in implementing the Convention. The Secretariat is, therefore, finalizing the work undertaken during the previous biennium to release a monitoring interface for periodic reports from States Parties on measures taken for implementation of the Convention and on the status of elements of intangible cultural heritage inscribed on the Lists. This interface will allow all States Parties to better visualize their situation vis-à-vis periodic reporting and will provide direct connections between reports and concerned elements. In the same vein, a dashboard consolidating information on the diverse tasks to be performed by the Secretariat and originally planned in the previous biennium will be developed during this biennium. A third interface to be finalized during this biennium is expected to significantly improve the treatment of correspondence relating to the Convention.
3. Continuing efforts made during the last biennium to improve the accessibility and usability of documents and decisions of the Convention’s governing bodies (up to 2012), the second output will again focus on the processing of statutory meeting documents to systematically insert cross-linking and include them into UNESDOC – the UNESCO-wide repository of documents. During the current biennium, meetings of the eleventh session of the Committee and its Bureau, as well as that of the sixth session of the General Assembly, should be covered.
4. In addition to improve access to and the usefulness of existing information and in order to minimize the impact of scarce human resources on the quality of services provided to States Parties, the third output under this expected result will focus on providing online tools to facilitate the functioning of three core mechanisms of the Convention: periodic reporting, international assistance from the Intangible Cultural Heritage Fund and accreditation of non‑governmental organizations. These new functionalities should significantly accelerate the circulation and treatment of the files.
5. The fourth output concerns the visible part of the knowledge management system, namely the website of the 2003 Convention. The fully renovated website launched by the Secretariat in November 2015 requires resources to ensure its maintenance, further development and security but also to be easily readable and navigable from new devices (mobile phones, tablets, etc.). Indeed responsive web design – an approach to web design aimed at crafting sites to provide an optimal viewing and interaction experience – is becoming more important as the amount of mobile traffic now accounts for more than half of total internet traffic. The Secretariat will, therefore, build on its efforts to improve browsing experience and provide more user-friendly interfaces through the renovated website to adapt the site’s layout to other viewing environments.
6. The final output relates to one of the fundamental resources of the Convention – its Basic Texts. A 2016 edition of the Basic Texts will integrate the substantive amendments to the Operational Directives adopted by the General Assembly during its sixth session, as well as the amendments adopted by the Committee to its Rules of Procedure. Significant efforts have already been made in the first semester of 2016 so that the revised version can be printed prior to the eleventh session of the Committee in November/December 2016.

## Expected Result 2: Implementation of the Convention in Member States encouraged through a strengthened capacity-building programme and guidance on safeguarding measures and good practices

1. It falls under the Committee’s responsibility to encourage implementation of the Convention (Article 7 [a]). So far, the capacity-building programme has been the preferred strategy for fulfilling this responsibility and will continue to be so during this biennium, given growing demand from Member States. However, Member States are also showing more interest in benefitting from other States’ safeguarding experiences to draw lessons applicable to implementation of the Convention in their own countries. The Committee is also expected to ‘provide guidance on best practices and make recommendations on measures for the safeguarding of the intangible cultural heritage’ (Article 7 [b]). The Secretariat, therefore, plans to use funds allocated to ‘other functions of the Committee’ to (i) continue to equip the capacity-building programme to ensure that it adequately responds to demand and that it keeps abreast with latest developments in the life of the Convention and (ii) to consider mechanisms to promote greater sharing of good safeguarding practices and develop guidance on specific themes on which the Committee focuses its attention.
2. The funds dedicated to ‘other functions of the Committee’ are instrumental for developing and maintaining global functions for the programme’s effective delivery while actual implementation at country level is made possible through earmarked contributions to the Fund and Funds-in-Trust arrangements These crosscutting functions are the following: (i) strengthening the network of expert facilitators, (ii) developing adequate content, formats and materials, and (iii) monitoring, evaluating and adapting the strategy.
3. Accordingly, the results framework below proposes three key outputs identified for this second expected result. The first concerns the ongoing work of strengthening the network of UNESCO-trained facilitators who are responsible for delivering services to beneficiary countries. As explained in the Implementation Report for the period 1 January 2014 to 31 December 2015 ([ITH/16/11.COM 2.BUR/INF.1](http://www.unesco.org/culture/ich/doc/src/ITH-16-11.COM_2.BUR-INF.1_EN.docx)), the network has to evolve in terms of expertise and regional outreach to capably deliver new content and satisfy growing demand. Therefore, the Secretariat will continue organizing regional workshops to train facilitators and update their skills, covering content areas developed in the last biennium, i.e. policy and legal development, safeguarding plans, gender and sustainable development. Several training and updating workshops are planned: one for Latin America and the Caribbean that will be co-hosted in 2016 by the Regional Centre for the Safeguarding of Intangible Cultural Heritage in Latin America (CRESPIAL); a second is planned in 2017 for facilitators in Europe with co-funding yet to be confirmed and a third one for facilitators in the Arab States, also in 2017, with co-funding to be negotiated. Given the urgent need for Russian-speaking facilitators in Central Asia, the International Training Centre for Intangible Cultural Heritage in the Asia-Pacific Region (CRIHAP) has agreed to co-host a first sub-regional training-of-trainers workshop. Face-to-face training will be complemented with audiovisual tutorials for facilitators to be developed on selected topics.
4. In light of the governing bodies’ concern to improve accessibility to the Intangible Cultural Heritage Fund, the Secretariat is finalizing comprehensive training materials on preparing international assistance requests. A workshop at global level will be organized to test these materials with facilitators and field office colleagues, thereby strengthening their knowledge on the subject and building capacity to deliver the training effectively. Back-to-back with the workshop, facilitators will review the global capacity-building strategy, in particular the key role of facilitators, and address the question of how UNESCO could again harness potential of the facilitators’ network, not only for programme delivery but also for programme monitoring, follow-up and evaluation. Finally the knowledge management system for the capacity-building programme will be further improved, notably by finalizing the online reporting interface to allow data extraction for cross-regional analysis.
5. Several decisions of the Committee included requests to the Secretariat to further update and expand the thematic scope of the capacity-building curriculum, which has matured into an online system of more than 50 training units in three languages with some materials also available in Russian and Arabic. Following the adoption by the sixth General Assembly of a new chapter of the Operational Directives on Safeguarding intangible cultural heritage and sustainable development at the national level (Resolution 6.GA 7), the second output consequently foresees the development of new curricular content based on these new provisions ([Decision 10.COM 14.a](http://www.unesco.org/culture/ich/en/Decisions/10.COM/14.a)). The unit will complement the materials previously developed on the topic and contribute to the Culture Sector’s engagement in implementation of the 2030 Agenda. The endorsement by the Committee of 12 ethical principles for safeguarding intangible cultural heritage was also accompanied with further requests for the capacity-building programme and, in particular to ‘include ethical considerations’ ([Decision 10.COM 15.a](http://www.unesco.org/culture/ich/en/Decisions/10.COM/15.a)) to which the Secretariat will seek to answer with the support of the resources of the Fund. Other content developments will address the recommendation of the [IOS Evaluation on UNESCO’s Work on Culture and Sustainable Development](http://unesdoc.unesco.org/images/0023/002344/234443E.pdf) to take advantage of the capacity-building programme to ‘initiate a process of reflection about the safeguarding of intangible cultural heritage in urban contexts and how it contributes to creating sustainable cities’ (Strategic Action Point 3). Materials on gender and policy development will also be further enriched to close some gaps identified by facilitators. The knowledge management system will continue to play a key role in maintaining and enhancing the materials browser – a key interface for the facilitators’ daily work. Moreover, during the 2016-2017 biennium, the Secretariat will initiate reflection on how to further broaden outreach of its capacity-building programme, relying in particular on information technologies and in this regard, will commission a feasibility study on using educational technology for online learning on selected topics of the capacity-building curriculum.
6. Pending the establishment of an overall results framework of the Convention towards the end of the biennium, the work of developing a follow-up and evaluation mechanism for the capacity-building programme will focus on piloting a tracer study in a selected number of countries that benefitted from capacity-building activities. The purpose of the study is to find out what difference individuals’ participation in the capacity-building programme has made to their engagement in intangible cultural heritage and what they recommend to further improve the programme. The pilot will allow verification of the relevance and efficiency of the tracer study approach and related questionnaire. Furthermore, the Secretariat will conduct a survey on the use of UNESCO training materials by users other than UNESCO. It will take the information on users generated by the knowledge-management system through an automatic online request form as a starting point. Once a draft overall results framework of the Convention will begin to emerge, the Secretariat will work on aligning the two mechanisms.
7. Past efforts on providing guidance on good practices focused on the Register of Best Safeguarding Practices and on publishing the experiences of selected projects. However, as explained in the 2013 Evaluation of the Internal Oversight Service ([Document ITH/13/8.COM/INF.5.c](http://unesdoc.unesco.org/images/0022/002230/223095E.pdf)), the Register has not proven to be as effective as intended: ‘overall, the current demand for the exchange of knowledge, experience and guidance seems greatly to exceed the supply.’ Responding to the IOS recommendations, the Committee called on different constituencies, including the Secretariat, to ‘complement the Register of Best Safeguarding Practices by developing alternate, lighter ways of sharing safeguarding experiences such as dedicated websites, e-newsletters, online forums, etc.’ ([Decision 8.COM 5.c.1](http://www.unesco.org/culture/ich/en/Decisions/8.COM/5.c.1)). So far, the Secretariat has not been able to respond adequately to the Committee’s request. During the current biennium, it would like to conduct a consultation process with stakeholders to explore different possibilities of effectively sharing safeguarding practices with proven efficiency.
8. In addition, the Secretariat shall develop two of the materials requested by the Committee to assist Member States in their safeguarding initiatives. On the one hand, the Secretariat is to prepare a guideline on inventories for the States Parties, including the minimum standard required for nominations to the Convention’s Lists and taking into account the past decisions of the Committee and recommendations of the bodies ([Decision 10.COM 10](http://www.unesco.org/culture/ich/en/Decisions/10.COM/10)). On the other hand, following the endorsement by the Committee of 12 ethical principles for safeguarding intangible cultural heritage, the Secretariat was requested to develop ‘training materials sensitizing governments, communities, groups and other relevant stakeholders and intermediaries to ethical concerns in the safeguarding of intangible cultural heritage and guiding governments, communities, groups and other relevant stakeholders and intermediaries in the development of specific codes and tools of ethics’ ([Decision 10.COM 15.a](http://www.unesco.org/culture/ich/en/Decisions/10.COM/15.a)). During the 2016-2017 biennium, the Secretariat intends to develop training material based on the above-mentioned principles and comprising both practical guidance in the development of specific codes and tools of ethics and examples of exiting codes of ethics.
9. UNESCO is expected to respond to increasing threats to culture and cultural diversity. The Operational Directives clearly acknowledge that circumstances due to ‘calamity, natural disaster, armed conflict, serious epidemic or any other natural or human event’ may have severe ‘consequences for the intangible cultural heritage as well as communities, groups and, if applicable, individuals who are the bearers of that heritage’ (paragraph 50). While there are global frameworks for action in emergency situations that acknowledge the strategic role of culture (i.e. the Sendai Framework on Disaster Risk Reduction 2015-2030 or UNESCO’s stratey for reinforcing its action for the protection of culture and the promotion of cultural pluralism in the event of armed conflict), a strategy is needed to address specifically intangible cultural heritage safeguarding within that global action. Such strategy should allow the Intangible Cultural Heritage Section to effectively contribute to the Culture Sector’s efforts to develop programmes that take a more transversal approach across the Conventions.

## Expected Result 3: Overall results framework developed to monitor implementation of the Convention

1. State Parties are required to report periodically to the Convention’s Intergovernmental Committee on the legislative, regulatory, and other measures taken for implementation of the 2003 Convention at the national, bilateral, regional and international level. However, for the purpose of monitoring implementation of the Convention globally, the periodic reports alone do not provide all the information that is required. One of the several factors which explain this situation is that capturing and reporting on results (outputs and outcomes) is only possible if it is clear what results are to be achieved. This is unfortunately not the case right now. While some States Parties have national, provincial or local monitoring and evaluation frameworks for their safeguarding work, no overall results framework at the level of the 2003 Convention exists. Drawing conclusions about the progress made with regard to implementation of the Convention is difficult in the absence of objectives, indicators and benchmarks.
2. When the Intergovernmental Committee looked into the matter in 2013, States Members fully shared the view that an overall results framework was greatly needed to be able, on an ongoing basis, to evaluate the degree to which the Convention is accomplishing its mission. Despite a broad consensus of satisfaction with the Convention’s achievements, Members agreed that it was difficult to demonstrate its impact as convincingly as what might be possible with an agreed framework of expected results against which progress could be systematically evaluated. Committee Members also stressed that the process of developing an overall results framework should be led by States Parties and is one in which they should be fully involved.
3. During the current biennium, two milestones of the process leading to the elaboration of an overall results framework of the Convention should take place mainly through the support of generous donors but also of this line of the Intangible Cultural Heritage Fund. A first expert meeting will be held in July 2016 with the generous support of the National Commission of the People’s Republic of China for UNESCO ([Decision 10.COM 9](http://www.unesco.org/culture/ich/en/Decisions/10.COM/9)). The purpose of that meeting is to elaborate a preliminary framework for submission to a subsequent intergovernmental working group. In this way, when the working group convenes in 2017 it can benefit from the perspectives and experience of a diverse and geographically representative group of experts, ensuring that from the beginning the framework reflects the desired ‘inclusive process of consultation and discussion’ ([Decision 9.COM 13.e](http://www.unesco.org/culture/ich/en/Decisions/9.COM/13.e)).
4. While these two meetings and their follow-up will be the main steps to achieving development of an overall results framework for the Convention, preliminary work will also be initiated to analyse quantitative data already available in some resources, such as the periodic reports or expert facilitator reports. This work will be fed by possible directions that will emerge during the expert meeting in China as to what is relevant to measure and how to measure it.

## Expected Result 4: Objectives of the Convention promoted through raising awareness and outreach

1. Developing institutional partnerships is key to promoting the objectives of the Convention in the context of the 2030 Sustainable Development Agenda. For the past two biennia, the Secretariat engaged with tertiary education institutions, first in Southern and Eastern Africa, then in Asia and the Pacific, to support the integration of intangible cultural heritage in university courses in fields such as heritage studies, cultural policy, development studies and others. During the current biennium, the Secretariat intends to organize a similar activity with tertiary education institutions from another geographical area. As regards primary and secondary education, and following a pilot project in Asia-Pacific with the Education for Sustainable Development programme, the Secretariat will rely on UNESCO’s network of partners in the field of education to organize consultation on how to integrate intangible cultural heritage in training programmes for teachers and educators. This activity will be conducted in close cooperation with UNESCO Category 1 Institutes.
2. Following [Decision 8.COM 5.c.1](http://www.unesco.org/culture/ich/en/Decisions/8.COM/5.c.1) in which the Committee called for strengthening ‘UNESCO’s long-standing cooperation with WIPO over traditional knowledge and culture to ensure an ongoing exchange and learning between the two organizations and their Member States’ and enhanced cooperation between the two Organizations over the last biennium, the Intangible Cultural Heritage Section will seek to participate in the periodic meetings of the WIPO Intergovernmental Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore, to the extent that the various statutory meetings permit. Collaboration with other UN agencies will be actively explored. By way of example, the Secretariat has already been approached by the World Health Organization to cooperate with a project led by its Regional Office for Europe on the cultural contexts of health. The Secretariat intends to engage actively in this interagency work, specifically regarding the context of the new chapter of the Operational Directives on Safeguarding intangible cultural heritage and sustainable development at the national level approved by the sixth session of the General Assembly and in the broader context of UNESCO’s Culture Sector support of implementation of the 2030 Sustainable Development Agenda.
3. Raising awareness of the importance of intangible cultural heritage and ensuring mutual appreciation thereof is one of the purposes of the 2003 Convention. In the first decade of the Convention’s implementation, this goal has been pursued mainly through the global capacity-building programme based on the premise that its impact would be higher than that of isolated outreach activities not involving real transmission of knowledge and skills. Now that this work is well underway, the Secretariat wishes to complement those efforts with adequate visibility given to the results. Designing a robust strategy for outreach has become essential to promoting the objectives of the Convention and engaging with the wide range of constituencies concerned, from those actively working in the field of intangible cultural heritage to those whose work has an impact on heritage safeguarding.

#### Other provisions and draft decision

1. The Bureau is reminded that to avoid interruptions or delays in execution, the Committee decided ([Decision 10.COM 8](http://www.unesco.org/culture/ich/en/Decisions/10.COM/8)) to authorize the Director-General to make transfers between expected results included within the specific proposals approved by the Bureau up to a cumulative amount equivalent to 2% of the initial total allocation proposed to the General Assembly for this purpose, which is equivalent to US$31,815. The Secretariat would then inform the Bureau in writing, at the session following such action, of the details and reasons for such transfers. As decided by the Committee, any transfers larger than that amount would require the Bureau’s prior approval of a revised plan of expenditure.
2. The Bureau is asked to examine and decide on the annexed proposal for the utilization of funds for ‘other functions of the Committee’ by electronic consultation, as provided in Rule 12.3 of the Rules of Procedure of the Committee.
3. The Bureau may wish to adopt the following decision:

DRAFT DECISION 11.COM 2.BUR 1

The Bureau,

1. Having examined documents ITH/16/11.COM 2.BUR/1 and its annex and document ITH/16/11.COM 2.BUR/INF.1,
2. Recalling Resolution 6.GA 9 and Decision 10.COM 8,
3. Approves the Proposal for the utilization of the funds for ‘other functions of the Committee’ as annexed to this Decision;
4. Requests the Secretariat to report on the progress of implementation and the way the funds are spent;
5. Invites the Chairperson of the Committee to bring this decision to the attention of the Committee at its eleventh session.

**ANNEX**

**Proposal for the utilization of the funds for ‘other functions of the Committee’
for the period 1 January 2016 to 31 December 2017**

Funds shall be used in conformity with the Financial Regulations of the Special Account for the Fund for the Safeguarding of the Intangible Cultural Heritage and the Financial Regulations of UNESCO to cover all costs in line with full budgeting principles to achieve the project’s objectives. These costs include: training, seminars and meetings; preparation of technical reports; monitoring and evaluation; subcontracts; staff; travel; equipment; and any other item necessary to implement the project’s activities.

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| **199OTH4138** | **Total budgetary allocation: US$1,590,746.00**  |

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| **38C/5 Performance Indicator 1** | **Governing bodies of the 2003 Convention exercise sound governance thanks to effective organization of their statutory meetings** |
| **ICH Fund Expected Result 1** | **Sound governance of the 2003 Convention facilitated by enhanced knowledge management services** |
| **199OTH4138.2** | **Budgetary allocation: US$386,900** |
|  | *Outputs* | *Indicators (Benchmarks) 2016-2017* |
| 1.1 | External and internal access to information increased and processes, workflows and response time optimized thanks to monitoring interfaces | Number of monitoring interfaces (B=3) |
| 1.2 | Improved accessibility and usability of Convention documents and decisions; better indexing and improved search capacities | Number of additional decision documents loaded in UNESDOC (B=8)Number of additional decisions/resolutions referenced in the KMS (B=70) |
| 1.3 | New online functionalities for external stakeholders | Number of new online processes (B=3) |
| 1.4 | Convention website enhanced with improved navigation and ergonomics, search engine optimization and additional multilingual web content | Number of page views (B=4,500,000)Convention website crafted to provide optimal viewing and interaction from mobile devices (B=1) Number of new web pages published in English, French, Spanish (B=200) |
| 1.5 | Basic Texts of the Convention and other statutory publication revised and published | Publication of 2016 version of the Basic Texts (B=1)2014-2015 Convention’s Lists published (B=1) |

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| **38C/5 Performance Indicator 2** | **Number of supported Member States utilizing strengthened human and institutional resources for intangible cultural heritage and integrating ICH into national policies** |
| **ICH Fund Expected Result 2** | **Implementation of the Convention in Member States encouraged through a strengthened capacity-building programme and guidance on safeguarding measures and good practices** |
| **199OTH4138.1** | **Budgetary allocations: US$817,346** |
|  | *Outputs* | *Indicators (Benchmarks) 2016-2017* |
| 2.1 | Facilitators’ network strengthened  | * Regional workshops to train facilitators and update skills(B=4, contingent on host-country or partner support)
* Global workshop on review of the capacity-building programme and introduction to providing support for international assistance requests (B=1)
* Audiovisual tutorials developed on selected topics (B=3)
* IT functionalities updated for the capacity-building programme (B=1)
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| 2.2 | Content and format of the capacity-building programme reviewed and adapted to respond to major implementation challenges  | * Core curriculum materials updated to reflect decisions of statutory meetings (B=20 units; 3 languages)
* Core curriculum on preparing international assistance requests available (B=1; 2 languages)
* New curricular content developed (B=7: one unit based on new ODs on intangible cultural heritage and sustainable development and six case studies on policy processes, intangible cultural heritage safeguarding in urban settings and gender; 1 language)
* A feasibility study on using educational technology for online learning undertaken (B=1)
* IT functionalities updated for the capacity-building programme (B=1)
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| 2.3 | Preliminary follow-up and evaluation mechanism for capacity building piloted | * Pilot tracer studies conducted in beneficiary countries (B=3)
* Survey on the use of UNESCO capacity‑building materials by other institutions (B=1)
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| 2.4 | Guidance on safeguarding measures and good practices strengthened | * Guidelines on inventories for States Parties developed, taking into account the decisions and recommendations of the Convention’s regulatory bodies (B=1)
* Proposals collected for alternative, lighter ways of sharing safeguarding experiences to complement the Register of Best Safeguarding Practices (B=3)
* Training materials developed to sensitize governments, communities, groups and other relevant stakeholders and intermediaries to ethical concerns in the safeguarding of intangible cultural heritage (B=1)
* A strategy designed for addressing intangible cultural heritage in emergency situations as described in OD50 (B=1)
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| **38C/5 Performance Indicator 3** | **Number of periodic reports on implementation of the Convention at national levels submitted by States Parties and examined by the Committee, and number addressing gender issues and describing policies promoting equal access to and participation in cultural life** |
| **ICH Fund Expected Result 3** | **Overall results framework developed to monitor implementation of the Convention** |
| **199OTH4138.3** | **Budgetary allocation: US$50,000** |
|  | *Outputs* | *Indicators (Benchmarks)* |
| 3.1 | An overall results framework for the Convention elaborated | * Preliminary category VI meeting of experts to lay the foundation upon which an overall results framework of the Convention could be constructed (B=1, co-financed by the National Commission of the People’s Republic of China)
* An open-ended intergovernmental working group to examine preliminary recommendations of possible directives (B=1, contingent on voluntary supplementary contributions to the Intangible Cultural Heritage Fund)
* A preliminary tool developed to systematize data collection and analysis to monitor implementation of the Convention (B=1)
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| **38C/5 Performance Indicator 5** | **Number of organizations within and outside the United Nations system, civil society, and the private sector contributing to programme delivery** |
| **ICH Fund Expected Result 4** | **Objectives of the Convention promoted through raising awareness and outreach** |
| **199OTH4138.4** | **Budgetary allocation: US$336,500** |
|  | *Outputs* | *Indicators (Benchmarks) 2016-2017* |
| 4.1 | New partnerships with relevant institutions established to integrate intangible cultural heritage in education | * Regional workshop with tertiary education institutions organized (B=1)
* Consultation organized with relevant education institutions on integrating intangible cultural heritage into their programmes (B=1)
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| 4.2 | UNESCO’s cooperation with WIPO or other UN agencies strengthened to ensure ongoing exchange and learning between the organizations and their Member States | Participation in meetings organized by WIPO or other UN agencies (B=3) |
| 4.3 | An outreach strategy developed to promote the objectives of the Convention and engage effectively with stakeholders  | * Partnership established for the development of an outreach strategy (B=1)
* Outreach materials/tools designed and produced (B=2)
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