

United Nations Educational, Scientific and Cultural Organization

> Organisation des Nations Unies pour l'éducation, la science et la culture

Organización de las Naciones Unidas para la Educación, la Ciencia y la Cultura

Организация Объединенных Наций по вопросам образования, науки и культуры

منظمة الأمم المتحدة للتربية والعلم والثقافة

联合国教育、 · 科学及文化组织 .

CLT-09/CONF/219/5 REV. Paris, 14 December 2009 Original: English

UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION

THIRD MEETING OF THE PARTIES TO THE SECOND PROTOCOL TO THE HAGUE CONVENTION OF 1954 FOR THE PROTECTION OF CULTURAL PROPERTY IN THE EVENT OF ARMED CONFLICT (Paris, 23-24 November 2009)

FINAL REPORT

I. Opening of the Meeting

- 1. The third meeting of the Parties to the Second Protocol took place at UNESCO Headquarters from 23 to 24 November 2009, immediately after the eighth meeting of the High Contracting Parties held in the morning of 23 November 2009. The representatives of 35 States Parties (out of a total number of 55) took part in the meeting. The following were represented as observers: sixteen High Contracting Parties to the 1954 Hague Convention; three other UNESCO Member States; one permanent observer; one intergovernmental organization; and three non-governmental organizations. A copy of the list of participants is available upon request from the Secretariat.
- 2. Mr Christian Manhart, Chief of the Section of Museums and Cultural Objects, opened the meeting. In his opening statement, he underscored the main reasons for convening the meeting: the election of six new members of the Committee for the Protection of Cultural Property in the Event of Armed Conflict to replace those six members whose mandate expired, and the endorsement of the Guidelines for the Implementation of the Second Protocol (hereafter referred as the Guidelines). He went on to say that the meeting should also adopt the guidelines concerning the use of the Fund for the Protection of Cultural Property in the Event of Armed Conflict. In conclusion, Mr Manhart encouraged the members and observers to consider contributing to the Fund in order to allow the Committee to start providing international assistance.

II. Election of the Chairperson

3. Finland proposed Ms Kathryn Zedde (Canada) as Chairperson of the meeting. Ms Zedde was elected Chairperson by consensus.

III. Adoption of the agenda

4. Following the need expressed by a number of the Parties to consult their relevant authorities as to the choice of candidates for the Committee, Ms Zedde proposed that item 7 of the provisional agenda "Election of six members of the Committee for the Protection of Cultural Property in the Event of Armed Conflict" be considered after item 8 of the provisional agenda, "Guidelines for the Implementation of the Second Protocol". This proposal was accepted by the Meeting and

the agenda contained in document CLT-09/CONF/219/1 was adopted as amended.

IV. Election of the four Vice-Chairpersons and the Rapporteur

 Mr Anton Pärn (Estonia) was elected Rapporteur of the Meeting by consensus. The Dominican Republic, Equatorial Guinea, the Islamic Republic of Iran and Qatar were also elected by consensus as four Vice-Chairpersons.

V. Update on the implementation and status of the Second Protocol to the Convention

- 6. As the Secretariat had presented an update in the implementation of the instruments at the eighth meeting of the High Contracting Parties, which took place in the morning of 23 November 2009, a member of the Secretariat summarized the main points of the morning presentation. Further to the Secretariat's update, several States took the floor. The main points of the discussion may be summarized as follows:
- The need to promote more vigorously the Second Protocol with a view to achieving universal participation
- The concern over the lack of human and financial resources of the Secretariat
- The need to involve the General Conference and the Executive Board in the promotion of the Second Protocol
- The importance of adoption of the relevant administrative measures as well as penal sanctions of the Second Protocol
- The importance of sharing best practices in the national implementation of the Second Protocol

VI. Report by the Chairperson of the Committee for the Protection of Cultural Property in the Event of Armed Conflict on the implementation of the Second Protocol

7. Mr Peltonen, Chairperson of the Committee, provided an update on the Committee's activities since the second meeting of the Parties. He noted that the Committee has convened two ordinary (third and fourth) and one extraordinary meeting which essentially focused on the development and the finalization of the Guidelines. Mr Peltonen then stressed the importance of the Guidelines as a tool containing established practices and modalities that complete provisions of the Second Protocol, in particular in the field of enhanced protection and international assistance. He went on to underscore that the Guidelines contain core elements that make the Second Protocol operational. Finally, Mr Peltonen reiterated the importance of the guidelines concerning the use of the Fund and called upon Parties to contribute to the Fund.

VII. Endorsement of the Guidelines for the Implementation of the Second Protocol, and adoption of the guidelines concerning the use of the Fund

8. The Chairperson then moved to the item on the endorsement of the Guidelines and the adoption of the guidelines concerning the use of the Fund, and proposed to separate those two issues. This proposal was accepted by the Meeting. Ms Zedde then opened a general discussion. She underscored that the purpose of the meeting was not to draft any new provisions.

The main points of the discussion may be summarized as follows:

- The desirability of ensuring synergy between the Guidelines and the Operational Guidelines for the Implementation of the 1972 World Heritage Convention with regard to consideration of cultural sites inscribed in the World Heritage List as satisfying, subject to other relevant consideration, the condition of "greatest importance for humanity";
- The need to ensure synergy between the List of Cultural Property under Enhanced Protection and the Memory of the World Register;
- The need to review procedural aspects of the Guidelines following their implementation;
- The desirability of further developing the Guidelines with a view to covering other issues such as peacetime implementation of the Guidelines, their application in the event of natural disaster, the implementation of Chapter 4 of the Second Protocol or the applicability of the Guidelines in peacekeeping operations.

Following the general discussion, the Guidelines were endorsed.

9. Further to some editorial amendments, the guidelines concerning the use of the Fund were also adopted.

VIII. Election of six members of the Committee for the Protection of Cultural Property in the Event of Armed Conflict

10. The Chairperson recalled the composition of the Committee and stressed that there were six members of the Committee whose terms expired on the occasion of the third meeting of the Parties (Austria, El Salvador, the Libyan Arab Jamahiriya, Peru, Serbia and Switzerland). She then underscored that there were seven candidates (Argentina, Austria, the Islamic Republic of Iran, Italy, the Libyan Arab Jamahiriya, Romania and Switzerland) for six seats. Following a reminder of the relevant rules of the Rules of Procedure of the Meeting of the Parties, she nominated Bahrain and Mexico as two tellers. The meeting then proceeded to the first ballot of the elections.

The first ballot was as follows:

Number of ballots cast	31
Number of valid votes	31
Majority required	16
Results:	
Argentina	27
Austria	28
The Islamic Republic of Iran	20
Italy	28
The Libyan Arab Jamahiriya	20
Romania	24
Switzerland	28

The Chairperson then pointed out that Rule 14.8 of the Rules of Procedure of the Meeting of the Parties to the Second Protocol provided that if the number of the States obtaining the required majority is greater than the number of the seats to be filled, the States obtaining the greatest number of votes shall be declared elected. Consequently, the Chairperson declared that Argentina, Austria, Italy, Romania and Switzerland were elected to the Committee. Since the number of the votes obtained by the Islamic Republic of Iran and the Libyan Arab Jamahiriya was equal, the Chairperson proceeded to the second ballot between these two Parties.

The second ballot was as follows:

Number of ballots cast	30	
Number of valid votes	30	
Majority required	16	
Results:		
The Islamic Republic of Iran	16	
The Libyan Arab Jamahiriya	14	
3 abstentions		

Having obtained the majority, the Islamic Republic of Iran was elected as the sixth member of the Committee. Thus, the Committee is composed as follows: six members whose mandate expires in 2011 (Cyprus, Finland, Greece, Lithuania, Japan and the Netherlands) and other six members whose mandate expires in 2013 (Argentina, Austria, the Islamic Republic of Iran, Italy, Romania and Switzerland).

IX. Adoption of resolution

11. The Chairperson opened the debate on the draft resolution by summing up the main points raised during the meeting. The resolution was adopted as amended (copy attached). In particular, the resolution:

- invited Parties to submit to the Committee requests for the granting of enhanced protection;
- as a result of the adoption of the guidelines concerning the use of the Fund, encouraged Parties and other potential donors to consider contributing to the Fund;
- requested the Committee to approach the World Heritage Committee with a view to exploring possible cooperation; and,
- requested the Committee to further consider the issue of a possible database for the voluntary exchange of information by the Parties concerning legislation, jurisprudence or other matters relevant to the implementation of the Second Protocol.

X. Other business

12. A number of Committee members and observers expressed their deep concern over insufficient financial resources allocated to the institutional administration established by the Second Protocol. Due to the requests of some Parties, the Chairperson noted that the final report of the meeting would include the resolution adopted, the Guidelines for the implementation of the Second Protocol and the guidelines concerning the use of the Fund as annexes.

XI. Closure of the meeting

13. After thanking all the participants and the Secretariat for their helpful contributions, the Chairperson declared the meeting closed.



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CLT-09/CONF/219/2 Paris, 24 November 2009

Original: English

THIRD MEETING OF THE PARTIES TO THE SECOND PROTOCOL TO THE HAGUE CONVENTION OF 1954 FOR THE PROTECTION OF CULTURAL PROPERTY IN THE EVENT OF ARMED CONFLICT

(UNESCO, 23 - 24 November 2009, Room XII)

RESOLUTION

The Third Meeting of the Parties to the Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict:

Thanking the UNESCO Secretariat, Member States of UNESCO, and participating governmental and non-governmental organizations for their active contribution to the promotion and dissemination of the Convention and its two Protocols;

Welcoming the efforts by the Secretariat to provide advice to States in order to facilitate the ratification process and the implementation of the Second Protocol;

- 1. **ENCOURAGES** High Contracting Parties to the Hague Convention not yet party to the Second Protocol to promptly become party to it and to adopt and effectively implement relevant national legislation.
- 2. INVITES the Director-General to promote ratification of the Second Protocol by High Contracting Parties, in particular with a view to a more balanced geographical participation.
- 3. ELECTS the following six Parties to the Committee for the Protection of Cultural Property in the Event of Armed Conflict for a term of four years: Argentina, Austria, the Islamic Republic of Iran, Italy, Romania, and Switzerland.
- **4. THANKS** those Parties who have provided the Secretariat with the national reports on the implementation of the Second Protocol; ENCOURAGES those Parties who have not yet done so to provide their reports to the Secretariat as soon as possible; and **REMINDS** all Parties that the next periodic reports will be due in 2012.
- **ENDORSES** the Guidelines for the Implementation of the Second Protocol (Annex I) and **INVITES** the Committee to report on their implementation to the next ordinary Meeting of the Parties.

- **6. ADOPTS** the guidelines concerning the use of the Fund for the Protection of Cultural Property in the Event of Armed Conflict (Annex II) and **INVITES** the Committee to report on their implementation to the next ordinary Meeting of the Parties.
- **7. ENCOURAGES** Parties and other potential donors to consider contributing to the Fund.
- **8. REQUESTS** the Committee to approach the World Heritage Committee with a view to exploring possible cooperation.
- **9. REQUESTS** the Secretariat to further explore possibilities for synergies with relevant UNESCO instruments and programmes.
- **10. URGES** the Director-General to provide sufficient human and financial resources in order to ensure the effective functioning of the Secretariat of UNESCO in the implementation of the Second Protocol.
- **11. INVITES** Parties and other potential donors to provide extrabudgetary resources to assist the Secretariat in reinforcing its financial and human resources.
- **12. REQUESTS** the Committee to further consider the issue of a possible database for the voluntary exchange of information by the Parties concerning legislation, jurisprudence or other matters relevant to the implementation of the Second Protocol.
- **13. INVITES** Parties to submit to the Committee requests for the granting of enhanced protection.
- **14. REQUESTS** the Director-General to (i) distribute the report of the present meeting, including this Resolution and its Annexes, to all UNESCO Member States and to international organizations concerned; and (ii) convene a fourth Meeting of the Parties to the Second Protocol to the Hague Convention in 2011.



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> 联合国教育、· 科学及文化组织 .

CLT-09/CONF/219/3 REV. 3 Paris, 24 November 2009 Original: English

Guidelines for the Implementation of the 1999 Second Protocol to the Hague
 Convention of 1954 for the Protection of Cultural Property in the Event of
 Armed Conflict

Endorsed by the third Meeting of the Parties to the Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict

(UNESCO Headquarters, 24 November 2009)

UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANISATION

COMMITTEE FOR THE PROTECTION OF CULTURAL PROPERTY IN THE EVENT OF ARMED CONFLICT



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I INTRODUCTION

- I.A The Guidelines for the Implementation of the 1999 Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict
- 1. The main purpose of the present document (hereinafter "the Guidelines") is to provide a concise and practical tool to facilitate the implementation of the Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict (hereinafter "the Second Protocol") by its Parties and to provide guidance to the Committee for the Protection of Cultural Property in the Event of Armed Conflict (hereinafter "the Committee") and the Secretariat of UNESCO (hereinafter "the Secretariat") for the fulfilment of their functions as established by the Second Protocol.
- **2.** The Guidelines attempt to embody the best practices in the implementation of the Second Protocol.
- 3. In accordance with Article 27(1)(a) of the Second Protocol, the Guidelines are developed by the Committee. Following Article 23(3)(b) of the Second Protocol, they are subsequently endorsed by the Meeting of the Parties. The Guidelines may be revised to reflect the decisions and recommendations adopted by the Meeting of the Parties and the Committee.

Article 27(1)(a) of the Second Protocol Article 23(3)(b) of the Second Protocol

I.B Scope of application of the Second Protocol

4. The Second Protocol, which entered into force on 9 March 2004, is an international agreement supplementing the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict (hereinafter "the Convention") in relations between the Parties. It aims to improve the protection of cultural property in the event of armed conflict as defined by the Convention. Thus, for the purposes of the Second Protocol, the term "cultural property" covers, irrespective of origin or ownership:

Article 2 of the Second Protocol

a. Movable or immovable property of great importance to the cultural heritage of every people, such as monuments

Article 1(b) of the Second Protocol and

of architecture, art or history, whether religious or Article 1 of the secular; archaeological sites; groups of buildings which, as a whole, are of historical or artistic interest; works of art; manuscripts, books and other objects of artistic, historical or archaeological interest; as well as scientific collections and important collections of books or archives or of reproductions of the property defined above;

Convention

- b. Buildings whose main and effective purpose is to preserve or exhibit the movable cultural property defined in sub-paragraph (a) such as museums, large libraries and depositories of archives, and refuges intended to shelter, in the event of armed conflict, the movable cultural property defined in sub-paragraph (a);
- c. Centres containing a large amount of cultural property as defined in sub-paragraphs (a) and (b), to be known as "centres containing monuments".
- 5. In addition to the provisions which shall be implemented in Article 3(1) of the time of peace, the Second Protocol applies:

Second Protocol

- a. In the event of declared war or of any other armed conflict which may arise between two or more of the Parties, even if the state of war is not recognized by one or more of them.
- b. To all cases of partial or total occupation of the territory of a Party, even if the said occupation meets with no armed resistance
- c. In the event of an armed conflict not of an international character, occurring within the territory of one of the Parties.
- 6. The Second Protocol supplements the Convention by reinforcing the provisions related to the safeguarding of and respect for cultural property in the event of armed conflict.

Articles 5 - 9 of the Second Protocol

7. The Second Protocol introduces a regime of enhanced protection. It stipulates that cultural property of the greatest importance for humanity can be placed under enhanced protection. Enhanced protection is granted to the cultural property from the moment of its entry in the List of Cultural Articles 10 - 14 of the Second Protocol

Property under Enhanced Protection (hereinafter "the List") as decided by the Committee.

8. The Second Protocol defines serious violations and obliges Parties to adopt appropriate legislation to make these violations to the Second Protocol criminal offences under their national law, notwithstanding the responsibility of States under international law. It also covers other obligations of Parties related to criminal responsibility and jurisdiction.

Articles 15 - 21 of the Second Protocol

9. The Second Protocol establishes the Committee composed of Chapter 6 of the twelve Parties which is essentially responsible for the management of enhanced protection, the monitoring and supervision of the implementation of the Second Protocol and the granting of international assistance. It also establishes the Fund for the Protection of Cultural Property in the Event of Armed Conflict (hereinafter "the Fund"). Finally, the Second Protocol provides for periodic meetings of the Parties.

Second Protocol

I.C Relationship between the Convention and the Second **Protocol**

10. Only the High Contracting Parties to the Convention may become Parties to the Second Protocol. The Second Protocol supplements the Convention in mutual relations between the Parties. As an exception, however, if the cultural property has been granted both special protection as defined in the Convention and enhanced protection, the provisions of special protection will be replaced by the provisions of enhanced protection.

Articles 2 and 4(b) of the Second Protocol

11. The Second Protocol does not affect the rights and obligations of the High Contracting Parties to the Convention. ¹ In mutual relations between the High Contracting Parties to the Convention, the Parties remain bound by the Convention alone. In mutual relations between States Parties to the Convention and the Second Protocol, they are bound by both instruments. In mutual relations between a State Party to the Convention and the Second

Article 34 of the 1969 Vienna Convention on the Law of Treaties

Article 30(4) of the 1969 Vienna Convention on the Law of Treaties

¹ Final Act of the Diplomatic Conference on the Second Protocol to the Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict, Summary report, Annex 1, Hague 15 - 23. 3. 1999 para 11. http://unesdoc.unesco.org/images/0013/001332/133243eo.pdf

Protocol and a High Contracting Party to the Convention, they are bound only by the provisions of the Convention.

I.D **Key actors of the Second Protocol**

- **12**. The key actors of the Second Protocol are:
 - a. Parties:
 - b. the Meeting of the Parties;
 - c. the Committee; and,
 - d. UNESCO.
- **13.** The key actors of the Second Protocol are encouraged to ensure the participation of a wide variety of stakeholders, including international and national governmental and nongovernmental organizations having objectives similar to those of the Convention and its two Protocols. In particular, such participation may address, amongst other issues related to the Second Protocol, the national implementation. awareness-raising and dissemination of the Second Protocol both within target groups and the general public, offering technical advice related to safeguarding of cultural property or, in case of the constitutent bodies of the International Committee of the Blue Shield, on providing advice with regard to the granting of enhanced protection.

Parties

14. The High Contracting Parties to the Convention are Articles 41 and 42 of encouraged to become Parties to the Second Protocol by depositing an instrument of ratification, acceptance, approval or accession with the Director-General of UNESCO (hereinafter "the Director-General"). Model instruments for that are provided by the Secretariat.

the Second Protocol

15. The Second Protocol enters into force for each new Party Articles 43 and 44 of three months after the deposit of its instrument of ratification, acceptance, approval or accession. As an exception to the three-month rule, situations of armed conflict, both of an international or non-international character, give immediate effect to ratifications, acceptances, approvals or accessions to the Second Protocol deposited by the parties to the conflict either before or after the beginning

the Second Protocol

of hostilities or occupation.

16. The list of Parties is available online at the following address: www.unesco.org.

Meeting of the Parties

Article 23 of the Second Protocol

- 17. The Meeting of the Parties is the highest body established by the Second Protocol in order to promote its implementation. Its functions are:
 - a. to elect the Members of the Committee;
 - b. to endorse the Guidelines developed by the Committee;
 - c. to provide guidelines for and supervise the use of the Fund by the Committee;
 - d. to consider the reports submitted by the Committee;
 - e. to discuss any problem related to the application of the Second Protocol, and make recommendations, as appropriate; and,
 - f. to assign to the Committee functions other than those mentioned in Article 27 (1) (a f) of the Second Protocol.
- 18. The Meeting of the Parties is convened at the same time as the General Conference of UNESCO, and in co-ordination with the Meeting of the High Contracting Parties to the Convention, if such a meeting has been called by the Director-General. At the request of at least one-fifth of the Parties, the Director-General convenes an Extraordinary Meeting of the Parties.

The Committee

Articles 24 - 27 of the Second Protocol

- 19. The Committee is the intergovernmental executive body entitled by the Second Protocol to perform, in co-operation with the Director-General, the following functions:
 - a. to develop Guidelines for the implementation of the Second Protocol;

- b. to grant, suspend or cancel enhanced protection for cultural property and to establish, maintain and promote the List:
- c. to monitor and supervise the implementation of the Second Protocol and to promote the identification of cultural property under enhanced protection;
- d. to consider and comment on reports of the Parties, to seek clarifications as required, and to prepare its own report on the implementation of the Second Protocol for the Meeting of the Parties;
- e. to receive and consider requests for international assistance from Parties as provided by the Second Protocol:
- f. to determine the use of the Fund; and,
- g. to execute other functions assigned to it by the Meeting of the Parties.
- 20. In addition to its functions, the Committee adopts rules for Article 32(3) of the the submission of requests for international assistance. It also defines the forms this international assistance may take. The Committee also conveys technical assistance provided by Article 32(4) of the Parties or parties to a given conflict.

Second Protocol

Second Protocol

21. The Committee is composed of twelve Parties which, paying due regard to equitable geographical distribution, are elected by the Meeting of the Parties for four-year terms. Immediate re-election of a Party is possible only once. Parties that are members of the Committee choose as their representatives persons qualified in the fields of cultural heritage, defence or international law, and they endeavour, in consultation with one another, to ensure that the Committee as a whole contains adequate expertise in all these fields.

Article 24 of the Second Protocol

22. The Committee meets once a year in ordinary session and in Article 24 of the extra-ordinary sessions whenever it deems necessary. The Second Protocol Committee conducts its business in accordance with its Rules of Procedure

23. The Committee adopts and updates its own Rules of Article 26(1) of the Procedure. The Committee may define its internal annual working schedule as well as provide other relevant guidance concerning the practical conduct of business under these Rules. Guidance provided by the Rules of Procedure and concerning Parties will be distributed through the Secretariat.

Second Protocol

24. The Committee also co-operates with international and national governmental and non-governmental organizations having objectives similar to those of the Convention and its two Protocols. To assist in the implementation of its functions, the Committee may invite to its meetings, and consult within the framework of granting enhanced protection, in an advisory capacity, eminent professional organizations such as those which have formal relations with UNESCO, including the International Committee of the Blue Shield (ICBS) and its constituent bodies (the Co-ordinating Council of Audiovisual Archives Associations (CCAAA). International Council on Archives (ICA), the International Council of Museums (ICOM), the International Council on Monuments and Sites (ICOMOS) and the International Federation of Library Associations and Institutions (IFLA)). Representatives of the International Centre for the Study of the Preservation and Restoration of Cultural Property (Rome Centre) (ICCROM) and of the International Committee of the Red Cross (ICRC) may also be invited to attend in an advisory capacity.

Article 27(3) of the Second Protocol

UNESCO

25. The Committee is assisted by the Secretariat which prepares the Committee's documentation and the agenda for its meetings and has the responsibility for the implementation of its decisions. The Secretariat receives, translates and distributes all official documents of the Committee and arranges interpretation as needed. The Secretariat also performs other necessary functions so that the Committee may perform its work properly.

Article 28 of the Second Protocol

Rules of Procedure of the Committee

26. In addition, UNESCO provides technical assistance to the Article 33 of the Parties in organizing the protection of their cultural property. The nature and terms of such assistance are described in detail in Chapter VI.

Second Protocol

II. GENERAL PROVISIONS REGARDING PROTECTION

II.A Safeguarding of cultural property

27. Preparatory measures taken in time of peace for the Article 5 of the Second safeguarding of cultural property against the foreseeable Protocol effects of an armed conflict pursuant to Article 3 of the Convention include, as appropriate:

- the preparation of inventories;
- the planning of emergency measures for protection against fire or structural collapse;
- the preparation for the removal of movable cultural property or the provision for adequate in situ protection of such property; and,
- the designation of competent authorities responsible for the safeguarding of cultural property.

As the above list of preparatory measures is not exhaustive, Parties are also encouraged to consider other appropriate preparatory measures consistent with the purposes of the Second Protocol.

- 28. The Committee encourages the Parties to cooperate both at international and national level with the competent nongovernmental organisations as well as to exchange information on national safeguarding policies and practices.
- 29. In order to harmonise the documentation related to all cultural property protected under the Second Protocol, the Committee encourages the Parties to apply, as appropriate, the relevant provisions of the Guidelines regarding the nomination dossier for enhanced protection to documentation of all cultural property protected under the Second Protocol.

II.B Precautions against the effects of hostilities

30. The Parties are obliged, to the maximum extent feasible: Article 8 of the Second Protocol

- to remove movable cultural property from the vicinity of military objectives or to provide adequate in situ protection; and,
- to avoid locating military objectives near cultural property.

III. ENHANCED PROTECTION

III.A The granting of enhanced protection

Criteria

31. The Committee may place cultural property under enhanced Article 10 of the protection provided that it meets the three criteria laid down Second Protocol in the Second Protocol.

Greatest importance for humanity

32. While considering whether cultural property is of greatest Article 10(a) of the importance for humanity, the Committee will evaluate, case by case, its exceptional cultural significance, and/or its uniqueness, and/or if its destruction would lead to irretrievable loss for humanity.

Second Protocol

- 33. Cultural property of national, regional or universal value may have exceptional cultural significance. This significance may be deduced from the following indicative criteria:
 - it is an exceptional cultural property bearing testimony to one or more periods of the development of humankind at the national, regional or global level;
 - it represents a masterpiece of human creativity;
 - it bears an exceptional testimony to a cultural tradition or to a civilization which is living or which has disappeared;

- it exhibits an important interchange of human achievements, over a span of time or within a cultural area of the world on developments in arts and sciences;
- it has a central significance to the cultural identity of societies concerned.
- **34.** Cultural property is considered to be unique if there is no other comparable cultural property that is of the same cultural significance. The unique character may be deduced from a variety of indicative criteria including:
 - a. age;
 - b. history;
 - c. community;
 - d. representativity;
 - e. location;
 - f. size and dimension;
 - g. shape and design;
 - h. purity and authenticity in style;
 - i. integrity;
 - j. context;
 - k. artistic craftsmanship;
 - 1. aesthetic value:
 - m. scientific value.
- 35. The criterion of irretrievable loss for humanity is met if the damage or destruction of the cultural property in question would result in the impoverishment of the cultural diversity or cultural heritage of humankind.
- 36. It is presumed that the Committee, subject to other relevant considerations, will consider that immovable cultural property inscribed on the World Heritage List satisfies the condition of greatest importance for humanity.
- 37. In the case of documentary heritage, the Committee will consider the fact that the cultural property is inscribed on UNESCO's Memory of the World Register.
 - Adequate domestic legal and administrative measures of protection
- **38.** The cultural property is protected by adequate domestic Articles 10(b) and 12

of the Second Protocol

legal and administrative measures recognizing its exceptional cultural and historic value and ensuring the highest level of protection. The protection accorded to cultural property of exceptional value takes into account the obligations of the Parties under Article 12 of the Second Protocol.

- **39.** Such measures ensure that the cultural property is protected adequately against any kind of negligence, decay or destruction even in time of peace. In evaluating whether cultural property is protected by adequate domestic legal and administrative measures recognizing its exceptional cultural and historic value and ensuring the highest level of protection, the Committee considers, in particular, national measures intended for:
 - the identification and safeguarding of cultural property proposed for enhanced protection in accordance with Article 5 of the Second Protocol;
 - due consideration of the protection of the cultural property proposed for enhanced protection in military planning and military training programs; and,
 - appropriate criminal legislation providing for the repression of, and jurisdiction over, offenses committed against cultural property under enhanced protection within the meaning of, and in accordance with, Chapter 4 of the Second Protocol
- 40. The domestic legal and administrative measures of Article 32(1) of the protection are only adequate if they are effective in practice. The Committee therefore examines, *inter alia*, whether they are based on a coherent system of protection and achieve the expected results.

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41. A Party may request international assistance from the Committee in the preparation, development implementation of the laws, administrative provisions and other measures to be fulfilled.

No military use

42. The cultural property concerned must not be used for military Article 10(c) of the purposes or to shield military sites. The Party which has Second Protocol control over the cultural property has to make a declaration confirming that the cultural property will not be used for military purposes or to shield military sites. In accordance with Article 3 of the Second Protocol, these provisions also apply in times of peace.

43. The guarding of cultural property by armed custodians specially empowered to do so, or the presence, in the vicinity of such cultural property, of police forces normally responsible for the maintenance of public order, is not deemed "use for military purposes".

Article 8(4) of the Convention

Procedure for granting enhanced protection

- 44. The Parties are entitled and encouraged to submit to the Committee requests for the granting of enhanced protection to cultural property under their jurisdiction or control. The Committee, which establishes and maintains the List, decides in each particular case whether the criteria set out above are met. To facilitate the granting of enhanced protection, the Secretariat prepared an enhanced protection request form (Annex I).
- 45. The request for the granting of enhanced protection is sent to the Committee through the Secretariat.
- 46. The Secretariat acknowledges the receipt, checks for completeness and registers the request. The Secretariat requests any additional information from the Party, as appropriate. The Secretariat forwards complete requests to the Bureau of the Committee (hereinafter "the Bureau").
- **47**. The Bureau may consult organisations with relevant Article 11(5) of the expertise for evaluation of the request. The Bureau will Second Protocol forward the request (including the evaluation) to the Committee and may propose a decision.

48. Once the Committee has received a request, it informs all Parties of the request for inclusion in the List. Parties may submit a representation concerning the request to the Committee within 60 days. These representations may only be made on the basis of the criteria mentioned in Article 10 and will be specific and related to facts.

Article 11(5) of the Second Protocol

- 49. The Committee considers the representations, providing the requesting Party with a reasonable opportunity to respond before making a decision.
- **50.** In exceptional cases, if the cultural property does not meet Articles 11(2) and (8) the criteria laid down in Article 10(b), the Committee requires the Party which has control or jurisdiction over the cultural property to submit a request for international Second Protocol assistance under Article 32.

of the Second Protocol, Article 32(1) of the

51. The Committee may decide to invite a Party to request inclusion of cultural property in the List. Other Parties as well as ICBS and other NGO's with relevant expertise may recommend cultural property to the Committee for inclusion in the List. In such cases, the Committee may decide to invite the Party concerned to request inclusion of that property in the List.

Tentative lists

52. For the purposes of the Guidelines the term "tentative list" means a list of cultural property for which a Party intends to request the granting of enhanced protection. Parties are encouraged to submit tentative lists in order to facilitate the Committee's maintenance and update of the List as well as the management of requests for international assistance. Parties may amend their tentative lists as appropriate. However, the fact that cultural property has not been included in the tentative list does not prevent the Party from requesting the granting of enhanced protection for such cultural property.

Article 11(1) of the Second Protocol

53. The tentative list, which contains a brief description of the cultural property, is submitted by the Party to the Committee through the Secretariat.

Content of a request

54. A request submitted by a Party meets the following Article 11(2) of the requirements in order to be considered by the Committee:

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a. Identification of the cultural property

55. The boundaries of an immovable cultural property and its immediate surroundings are clearly defined. Maps are sufficiently detailed to determine precisely which area of land and/or building(s) are nominated. Movable cultural property is identified by its detailed descriptions and sufficient images.

other storage for movable cultural property (including shelters or other storage for movable cultural property) should be indicated by reference to its geographical location. At a minimum, the approximate central point of each cultural property should be indicated by a pair of coordinates in the Universal Transverse Mercator system. Boundaries of a wider property could be indicated by providing a list of coordinates indicating the course of the property boundary. In case of movable cultural property this information refers to the location where this cultural property is stored or intended to be stored.

b. Description of the cultural property

57. The Party provides the relevant information and documentation on the cultural property concerned, including those on the present state of conservation, the appearance of the cultural property, as well as its history and development. This includes a description on how the cultural property has reached its present form and the significant changes that it has undergone. The information provides the facts needed to support and substantiate the argument that the cultural property meets the criterion of being of greatest importance for humanity under Article 10(a).

c. Protection of the cultural property

58. The Party includes a list of the legal and administrative measures regarding the adequate protection and maintenance of the cultural property. It provides a detailed analysis with regard to the practical implementation of the protection measures and the safeguarding of the highest level of protection. Legislative, regulatory, and/or institutional texts, or an abstract of the texts, are attached to the request. The information provides the facts needed to support and substantiate the argument that the cultural property meets the criterion of being adequately protected under Article 10(b).

d. Use of the cultural property

59. The Party describes the use of the cultural property. The declaration confirming that the cultural property and its immediate surroundings are not and will not be used for military purposes or to shield military sites is attached to the request. The information provides the facts needed to support and substantiate the argument that the cultural property meets the criterion laid down in Article 10(c).

e. Information regarding responsible authorities

60. Detailed contact information of responsible authorities is provided in the request.

f. Signature on behalf of the Party

61. The request is duly signed by the Party's competent authorities.

g. Format of the request

62. Parties are invited to submit their requests both in paper and electronic format provided by the Secretariat. Requests may be submitted in one of the two working languages of the Secretariat.

Emergency request

63. If a Party submits a request upon the outbreak of hostilities Article 11(9) of the the request is to be considered as an "emergency request" under Article 11 (9). The emergency request has to meet the requirements a., b., d., e., f. and g. as set forth in paragraphs 54 - 62.

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Withdrawal of a request

64. A Party may withdraw in writing a request it has submitted at any time prior to the Committee's session at which it is scheduled to be examined. The Party can resubmit a request for the cultural property, which will be considered as a new request.

Information about a change of situation

65. The Party informs the Committee of any change affecting the cultural property concerned to meet the criteria set out in Article 10 in order to allow an update and, where appropriate, a reconsideration of the status of enhanced protection and/or a new decision by the Committee.

Decisions of the Committee on Enhanced Protection

- 66. The Committee decides by a majority of two-thirds of its Article 26(2) of the members present and voting whether a cultural property shall be granted or denied enhanced protection or whether the request should be referred or deferred. In two exceptional cases, a majority of four-fifths of the members of the Committee present and voting is needed:
- Second Protocol, Rules of Procedure of the Committee Article 11(5) and 11(9) of the Second Protocol
 - i) when Parties make a representation to the Committee on the basis of another Party's request for inclusion in the List; and
 - ii) when a Party requests enhanced protection on an emergency basis.
- **67.** Members of the Committee may not participate in the voting Article 26(3) of the on any decisions relating to cultural property affected by an Second Protocol armed conflict to which they are parties.

68. When deciding to grant enhanced protection to a cultural property, the Committee adopts a "Statement of Inclusion of the Property on the List of Cultural Property under Enhanced Protection" (hereinafter "the Statement"). The Statement confirms that all criteria laid down in Article 10 are met. Therefore, a summary of the Committee's reasoning regarding the questions whether the cultural property is of greatest importance for humanity, including the assessments of its adequate domestic protection and its non-military use, are included. The Statement is the basis for the further protection of the cultural property. At the time of decision, the Committee may also make other recommendations concerning the protection of the cultural property. Enhanced protection is granted from the moment of the entry of the cultural property in the List.

69. The Committee immediately informs the Director-General of Article 11(11) of the its decision to include cultural property in the List. The Second Protocol Director-General in turn notifies without delay the Secretary-General of the United Nations and all Parties to the Second Protocol of the decision of the Committee.

- **70.** If the Committee decides to deny enhanced protection to a cultural property, it will generally not accept an identical request.
- 71. Requests which the Committee decides to refer back to the Party for additional information and/or documentation may be resubmitted to the Committee for examination. A referred request which is not presented to the Committee within three years following the original decision of the Committee will be considered as a new request when it is resubmitted for examination, following the regular procedure.
- **72.** The Committee may decide to defer a request for more indepth assessment, study or a substantial revision by the Party. If the Party decides to resubmit the revised request, this request will then be revaluated according to the regular procedure.

Decision on enhanced protection in exceptional cases

The Committee may grant enhanced protection in exceptional **73.** cases to a cultural property which does not meet the criteria laid down in Article 10(b) provided that the Party submits a request for international assistance under Article 32 of the Second Protocol. The Committee may advise the Party concerned with regard to the compliance with Article 10(b). To grant enhanced protection in such cases, the Committee follows the procedure outlined in paragraphs 66 - 72. However, the Statement points out that the criteria laid down in Article 10(a) and 10(c) are met and that the Party has already submitted a request.

Article 11(8) of the Second Protocol

74. If the criteria set forth in Article 10(b) are not met within a given period of time by the Party, the enhanced protection may be suspended by the Committee.

Decision on provisional enhanced protection

75. Upon the outbreak of hostilities, the decision on provisional Article 11(9) of the enhanced protection on an emergency basis is taken by the Committee as soon as possible. Such a decision can only grant provisional enhanced protection pending the outcome of the regular procedure. The provisional enhanced protection will only be granted if the criteria laid down in Article 10(a) and 10(c) are met. When deciding to grant provisional enhanced protection to a cultural property, the Committee adopts a "Statement of Provisional Inclusion of the Property on the List of Cultural Property under Enhanced Protection". This statement summarizes the Committee's reasoning regarding the question whether the outbreak of hostilities does not allow for a regular procedure and whether the cultural property meets the criteria of Article 10(a) and 10(c). Provisional enhanced protection is granted from the moment of the entry of the cultural property in the List.

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III.B The List

76. The Committee establishes, maintains and promotes the List. Article 27(1)(b) of the The List consists of two divisions:

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- a. Division 1: Cultural property under enhanced protection;
- b. Division 2: Cultural property under provisional enhanced protection.
- Each cultural property is inscribed in one of the two 77. divisions. Information about the cultural property and the scope of its protection is provided as follows:
 - a. name and identification of the cultural property;
 - b. description of the cultural property;
 - c. location, boundaries and immediate surroundings of the cultural property;
 - d. other relevant information.
- **78.** The information provided for in the above-mentioned paragraph (d) includes, inter alia, the date of entry in the List, descriptions of an exceptional or emergency situation,

decisions and recommendations made by the Committee, and conditions set forth by the Committee such as time periods, as well as suspensions or cancellations.

79. The List is made available by the Secretariat through appropriate media.

III.C The loss of enhanced protection

- 80. Cultural property loses its enhanced protection under any of Article 13(1)(a) and (1)(b) of the Second the three below-mentioned conditions: Protocol
 - a. the enhanced protection is suspended by the Committee;
 - b. the enhanced protection is cancelled by the Committee;
 - c. the cultural property has become, by its use, a military objective.
- While the third condition does not need any further 81. clarification, since the notion of "military objective" is defined in Article 1(f), the conditions of suspension and cancellation are to be set forth by the Committee.

Article 1(f) of the Second Protocol

Suspension

The suspension is a provisional measure which does not Article 14 of the Second **82.** result in a permanent loss of the enhanced protection but in Protocol an interruption of the protection when the criteria for granting it are no longer met. When the criteria are met again, the Committee will decide whether to resume the enhanced protection.

83. The Committee may suspend the enhanced protection under Article 14(1) and (2) of two conditions:

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- a. if the cultural property does no longer meet any of the criteria laid down in Article 10; or
- b. if there is a serious violation of Article 12 in relation to cultural property under enhanced protection arising from its use in support of military action.
- 84. Since the suspension is a provisional measure, the

Committee may only suspend the enhanced protection if the criteria laid down in Article 10, which are no longer met at the time of decision, may be fulfilled again at a later date. This applies to the criteria laid down in Articles 10(b) and (c), since the criteria of both adequate domestic protection and the non-military use may not be established for a certain period of time, but may be re-established at a later stage.

85. The Committee may suspend the enhanced protection if the cultural property or its immediate surroundings are used in support of military action.

Cancellation

Cancellation is a definitive measure. It leads to the Article 14(1) and (2) of 86. permanent loss of the enhanced protection. The Committee the Second Protocol may cancel enhanced protection under two conditions:

- a. the cultural property no longer meets any of the criteria laid down in Article 10; or,
- b. there is a continuous and serious violation of Article 12 in relation to cultural property under enhanced protection arising from its use in support of military action.
- **87**. Since cancellation is a definitive measure, the Committee Article 14(1) of the may only cancel the enhanced protection if the criteria laid Second Protocol down in Article 10, which are no longer met, cannot be fulfilled at a later date.

88. The Committee may exceptionally cancel the enhanced Article 14(2) of the protection if the cultural property is continuously used in Second Protocol support of military action. The condition of "continuity" is met if the use exceeds the time period of six months and if there is no evidence that such use will end.

III.D Procedure on suspension and cancellation

89. Before suspending or cancelling the enhanced protection, the Committee informs the Party of its intention to suspend or cancel the enhanced protection and provides its reasons. The Committee sets forth a time period for the reply of the Party. This period does not exceed three months.

90. The Committee immediately informs the Director-General on Article 14(3) of the its decision to suspend or cancel the enhanced protection of Second Protocol cultural property. The Director-General informs, without any delay, the Secretary-General of the United Nations and all Parties to the Protocol of the Committee's decision to suspend or cancel the enhanced protection of cultural property.

Suspension

- 91. If the Committee suspends the enhanced protection, the cultural property is not removed from the List. However, the suspension is duly noted in the List.
- **92**. The Committee will decide whether to re-establish the enhanced protection if the Party proves that the criteria laid down in Articles 10(b) or (c) are met again or that the cultural property is no longer used for military purposes or to shield military sites. The re-establishment of the enhanced protection is duly noted in the List.

Cancellation

93. If the Committee cancels the enhanced protection, the cultural property is deleted from the List. The Party may only submit a new request for enhanced protection following the regular procedure.

III.E Use of the emblem

- 94. The provisions of the Convention define the use of the Articles 6, 16 and 17 of emblem to mark cultural property under general and special protection. The Second Protocol does not include any provisions on how to mark cultural property under enhanced protection with the emblem.
 - the Convention and Article 20 of the Regulations for its Execution
- 95. As cultural property under enhanced protection is, by definition, cultural property, Parties are entitled to mark such cultural property in accordance with Article 6 of the Convention.
- 96. The Parties should make an effort to increase awareness and Article 16(1) of the enhance respect for the emblem at national and international Convention levels.

IV. DISSEMINATION

The Second Protocol requires Parties to disseminate as Article 30 of the **97.** widely as possible its provisions in time of peace and in time of armed conflict. Parties undertake by appropriate means to strengthen appreciation and respect for cultural property by their entire population. Particular attention should be paid to encouraging educational and informational programmes.

Second Protocol

- 98. Any military or civilian authority which, in time of armed conflict, assumes responsibilities with respect to the application of the Second Protocol, has to be fully acquainted with the text thereof. To this end, the Parties are required to, as appropriate:
 - incorporate guidelines and instructions on the protection of cultural property in their military regulations, doctrine and training materials,
 - develop and implement, in cooperation with UNESCO and relevant governmental and non-governmental organizations, peacetime training and educational programmes,
 - communicate to one another, through the Director-General, information on laws, administrative provisions and measures taken under the preceding paragraphs, and
 - communicate to one another, as soon as possible, through the Director-General, the laws and administrative provisions which they have adopted to ensure the application of the Second Protocol.

V. MONITORING THE IMPLEMENTATION OF THE SECOND PROTOCOL

99. The Second Protocol strengthens the protection of cultural Article 27(1)(d) of the property by establishing a monitoring mechanism for its Second Protocol implementation. According to the Second Protocol, the Parties are required to report to the Committee on measures taken by them to implement the Protocol. The Committee will in turn consider and comment on these reports and prepare its own report to the Meeting of the Parties.

V.A **Periodic Reports of the Parties**

100. As High Contracting Parties to the Convention and Parties to Article 26(2) of the the Second Protocol are required to report every four years on the implementation of the named instruments, Parties to the Second Protocol are invited to follow the same four-year reporting period as for the Convention. 2 While reports concerning the implementation of the Convention are addressed to the Director-General, periodic reports on the Second Protocol are addressed to the Committee through the Secretariat.

Convention: Article 37(2) of the Second Protocol

- 101. In order to facilitate the implementation of the provisions of the Second Protocol by the Parties, the Committee encourages Parties to submit their reports on the implementation of the Second Protocol together with their report on the implementation of the Convention. The periodic reports duly inform on the legal, administrative and practical implementation measures adopted by the Parties.
- 102. The Committee requests Parties to cover the following items in their periodic reports on the implementation of the Second Protocol:
 - Implementation regarding of general provisions protection

Chapter 2 of the Second Protocol

- To inform on peacetime preparatory measures for the safeguarding of cultural property undertaken or envisaged to be undertaken.
- Parties which are occupying powers, to inform how the provisions of the Protocol concerning the protection of cultural property in occupied territory

² The High Contracting Parties, which are Parties to the Second Protocol, were asked by the Director-General of UNESCO to forward their first reports on the implementation of the Second Protocol by 1 July 2008. The next report on the implementation of the Second Protocol will be due in 2012.

are complied with.

Implementation of provisions regarding enhanced Chapter protection

Chapter 3 of the Second Protocol

- To inform whether the Party intends to request the inclusion of cultural property in the List.
- To inform on the use of the emblem, as stated in Chapter III.E of the Guidelines.
- Implementation of provisions regarding criminal responsibility

Chapter 4 of the Second Protocol; Article 15 and 21 of the Second Protocol

- To inform on national legislation concerning criminal responsibility for serious violations within the meaning of the Second Protocol.
- To inform on national legislative, administrative or disciplinary measures to suppress other violations.
- Implementation of provisions regarding dissemination

Chapter 7 of the Second Protocol

- To inform on the measures taken concerning dissemination.
- Implementation of provisions regarding technical assistance
 - Any other activities relating to the Second Protocol, including activities at bi- or multilateral level, in order to share their experiences or best practices, as referred to in paragraph 132 of the Guidelines.
- 103. Parties to the Second Protocol should also provide the Secretariat with the name and address of a single national focal point for all official documents and correspondence related to the implementation of the Second Protocol by their relevant authorities. Unless a Party requests otherwise, the presumed focal point would be its Permanent Delegation to UNESCO. The Secretariat will make a list of these addresses available on its website.
- **104.** The Parties are also invited to inform the Committee through the Secretariat, on a voluntary basis, of all legislative,

judicial or other matters relevant to the Parties' implementation of the Second Protocol. The Secretariat will register this information in a database.

V.B Reports of the Committee to the Meeting of the Parties

105. An important function of the Committee is to monitor and supervise the implementation of the Second Protocol, and to promote the identification of cultural property under enhanced protection. The Committee is entitled to consider and comment on the reports of the Parties, to seek clarification as required, and to prepare its own report on the implementation of the Second Protocol to the Meeting of the Parties. In fulfilling its functions, the Committee makes recommendations, as appropriate.

Article 27(1)(c) of the Second Protocol

Article 27(1)(d) of the Second Protocol

- 106. The Committee will, with the assistance of the Secretariat, keep under review all aspects of the implementation of the Second Protocol. The Committee will make full use, to the extent possible, of the periodic reports, representations and other communications from Parties. The Committee may also make use of information and advice from stakeholders, as referred to in paragraph 13 of the Guidelines, as well as of the information and documentation services of UNESCO.
- **107.** The Committee takes, at a minimum, the following issues into account in its report:
 - Parties' requests for inclusion of cultural property in the List;
 - Parties' requests for international assistance;
 - International cooperation; and,
 - The use of the Fund.

VI. INTERNATIONAL ASSISTANCE

- 108. In order to strengthen protection of cultural property, the Articles 29, 32 and 33 Second Protocol distinguishes the following forms of of the Second Protocol assistance:
 - a. International assistance provided by the Committee (Article 32 of the Second Protocol), including financial

and other assistance from the Fund (Article 29 of the Second Protocol);

- b. Technical assistance provided by the Parties through the Committee (Article 32 of the Second Protocol);
- c. Technical assistance provided by the Parties at bi- or multilateral level (Article 33 of the Second Protocol): and,
- d. Technical assistance provided by UNESCO (Article 33 of the Second Protocol).

Examples of forms of assistance and a procedural matrix are listed in Table 1 of Annex III.

- 109. All Parties may request international assistance. The granting of international assistance is not, however, automatic and depends on compliance with the conditions set forth by the Second Protocol and the relevant parts of the Guidelines, as well as on available means.
- VI.A International assistance provided by the Committee, including financial and other assistance from the Fund

Scope of international assistance provided by the Committee

International assistance provided by the Committee may be Articles 32 and 3(2) of 110. requested by:

the Second Protocol

- a Party at any time or
- a party to a conflict which is not a Party to the Second Protocol but which accepts and applies provisions in accordance with Article 3(2) of the Second Protocol during the conflict.
- International assistance provided by the Committee may be Articles 11(8), 29(1) 111. requested for:

32(1) of the Second Protocol

- cultural property under enhanced protection;
- cultural property submitted for inclusion in the List provided that the Committee has concluded that the

criteria of Article 10(b) cannot be fulfilled; and,

- cultural property in support of measures referred to in Article 29(1).
- International assistance provided by the Committee is in 112. principle complementary to national measures taken by a Party for the protection of its cultural property.
- The Committee may use the Fund for financing international Articles 29(1) and (3) 113. of the Second Protocol assistance provided by the Committee.

Forms of international assistance provided by the Committee

- 114. The Committee assesses the requests for international assistance and, in case of approval, co-ordinates this assistance.
- International assistance provided by the Committee may be Article 115. of technical or consultative character, covering in particular Second Protocol legal, administrative, military and practical aspects of the protection of cultural property.

32 the

- 116. International assistance provided by the Committee may, in accordance with the available means, be granted for the following purposes:
 - a. preparatory measures;
 - b. emergency measures; and,
 - c. recovery measures.
- 117. Preparatory measures are in principle taken in times of peace:
 - a. to support Parties' overall domestic sustainable efforts. Article 5 of the Second related to cultural property;

Protocol

b. to contribute to the preparation and development of administrative or institutional measures, provisions and Article 10(b) of the structures for the safeguarding of cultural property; and,

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c. to contribute to the preparation, development or implementation of the laws, administrative provisions and measures recognizing the exceptional cultural and

historic value and ensuring the highest level of protection of cultural property to be nominated for enhanced protection. Examples of possible measures are listed in Table 2 of Annex III.

118. Emergency measures are, in principle, taken during an armed Article 10(b) of the conflict. Their essential purpose is to ensure the adequate protection of the cultural property concerned and to prevent its deterioration, destruction or looting. Examples of possible measures are listed in Table 2 of Annex III.

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119. Recovery measures are, in principle, taken after a conflict. Article 5 of the Second Their essential purpose is to ensure the preservation and conservation of cultural property damaged in connection with the conflict as well as the return of the cultural property which has been removed. Examples of possible measures are listed in Table 2 of Annex III.

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Priorities and principles for granting international assistance provided by the Committee

- 120. While considering requests for international assistance, and taking into account special needs of Parties that are developing countries, priority is given bearing in mind the emergency or the preventive nature of the request. Emergency measures are of the highest priority.
- 121. The Committee's decisions in granting international assistance may be, among others, guided by the following considerations:
 - a. the probability that the assistance will have a catalytic and multiplier effect ("seed money") and promote financial and technical contributions from other sources;
 - b. whether the legislative, administrative and, wherever possible, financial commitment of the recipient is available to the activity;
 - c. the exemplary value of the activity; and,
 - d. the cost efficiency of the activity.
- **122**. Further guidance for requests concerning international

assistance and description of the process of considering requests for international assistance provided by the Committee is provided in detail below in Chapter VI.E of the Guidelines.

VI.B Technical assistance provided by the Parties through the Committee

123. Parties are encouraged to provide all kinds of technical Article 32(4) of the assistance through the Committee to those Parties or parties Second Protocol to the conflict who request it.

- 124. Assistance provided by the Parties through the Committee may concern all cultural property and it may be applied at any time. Forms of technical assistance are defined by the Parties offering assistance. The Meeting of the Parties and the Committee may also give recommendations concerning such technical assistance.
- 125. A party to an armed conflict which is not Party to the Second Article 32(2) of the Protocol but which accepts and applies the provisions of the Second Protocol Second Protocol in accordance with its Article 3(2), may request technical assistance only during the conflict.

- 126. Parties providing technical assistance are responsible for its funding.
- 127. Requests concerning technical assistance are addressed to the Committee through the Secretariat, which will transfer the information to the national focal points of the Parties for their consideration.
- 128. Parties in a position to provide technical assistance are invited to inform the Committee of the possibilities of providing such assistance.
- 129. The Committee, through the Secretariat, informs the requesting Parties or parties to a conflict accordingly.
- 130 After giving such information, it is incumbent upon the providing Parties and the requesting Parties or parties to a conflict to proceed and convene directly the provision of such assistance.

VI.C Technical assistance provided by the Parties directly at bi- or multilateral level

131. Parties are encouraged to provide technical assistance at bi- Article 33(2) of the or multilateral level. Such assistance is at their discretion. For this purpose, Parties are invited to be in direct contact with each other through their national focal points.

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132. The Parties having activities at bi- or multilateral level are invited to inform the Committee, through the Secretariat, in their periodic reports, of their activities in order to share their experiences or best practices.

VI.D Technical assistance provided by UNESCO

133. A Party may call upon UNESCO for technical assistance in Article 33(1) of the organizing the protection of its cultural property, such as preparatory action to safeguard cultural property, preventive and organizational measures for emergency situations and compilation of national inventories of cultural property, or in connection with any other problem arising out of the application of the Second Protocol. UNESCO may also provide its services in accordance with Articles 33(3) and 22(7) of the Second Protocol.

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Articles 33(3) 22(7) of the Second Protocol

- 134. Examples of possible measures of technical assistance provided by UNESCO are listed in Table 3 of Annex III.
- 135. The Secretariat will provide the Committee during its sessions with the relevant information concerning the technical assistance provided to the Parties.

VI.E Process of considering requests for international assistance provided by the Committee, including financial and other assistance from the Fund

136. The Committee will work in close co-operation as Article 27(3) of the appropriate with the Parties, the relevant eminent Second Protocol international and national governmental and governmental organisations, and the Secretariat in order to ensure the proper handling of requests for different categories of assistance so that the assistance is provided in the most adequate manner in order to advance the aims of the Second Protocol.

137. In particular, they keep each other informed, as appropriate, of the requests submitted and the assistance provided in order to avoid the duplication of efforts, time and resources. The transmission of information is to be carried out, among others, through the reports of the Committee to the Meeting of the Parties.

Requests concerning international assistance provided by the Committee

138. The Parties may submit to the Committee requests for Articles 32(1), 32(2), international assistance provided by the Committee. Also a party to a conflict which is not a Party to the Second Protocol but which accepts and applies the provisions of the Second Protocol may submit requests for international assistance during the conflict. Requests also may be submitted jointly by two or more Parties concerned.

11(8) and 3(2) of the Second Protocol

- 139. The Committee examines each particular case to determine whether the priorities and principles adopted by the Committee concerning international assistance provided by the Committee are met. The Committee may also make reservations or set conditions for the assistance depending on the particular conditions of each case. It may also suggest to the applicant other forms than originally requested if it considers it appropriate.
- 140. The Committee may decide not to grant assistance if the applicant has not accepted the form of assistance proposed by the Committee.
- 141. If necessary, the Committee may request the applicant to provide additional information.
- 142. The Committee may also defer the request if more in-depth assessment, study or substantial revision is required.
- 143. While examining requests for international assistance, the Committee may also study the possibility of obtaining technical assistance from the Parties. The Committee may also consult the Secretariat in order to ascertain whether the applicant previously requested assistance from UNESCO for the same purpose.

- 144. Requests for all forms of international assistance provided by the Committee have to be submitted to the Committee through the Secretariat, which acknowledges the receipt, verifies the completeness of the request and, if incomplete, requests the applicant to provide the missing information required as listed in paragraph 152. Only duly completed requests are registered by the Secretariat. The Secretariat informs the applicant of the registration of its request when it is completed. A copy of the form to request international assistance for cultural property provided by the Committee is attached in Annex II.
- 145. Requests registered by the Secretariat at least six months before the ordinary meeting of the Committee are forwarded to the Bureau of the Committee for its consideration.
- 146. The Bureau may consult eminent international and national Article 27(3) of the governmental and non-governmental organisations with the Second Protocol professional expertise for evaluation of the request and, in cases where technical assistance is required, consult Parties offering such assistance.

- After evaluation, the Bureau will forward the request to the 147. Committee for consideration and appropriate decision. The Bureau may offer any relevant observations. For the above purpose, the Committee assigns the Bureau to prepare the ordinary meeting of the Committee.
- 148. The Committee will consider requests in its meetings. It Article 26(2) of the decides by a majority of two-thirds of its members present Second Protocol and voting on the requests for international assistance.

- 149. The Committee communicates its decision through the Secretariat to the applicant within two weeks following the decision. If the international assistance is granted, the Secretariat reaches agreement with the applicant on its details.
- **150.** Requests for emergency measures may be submitted at any time. As an exception to the six-month deadline set forth in paragraph 145, in view of the urgency, the Committee will consider as soon as possible these requests on an ad hoc basis.

151. The granted international assistance is subject to appropriate monitoring and evaluation by the Committee.

Content of a request

- **152.** A request for international assistance provided by the Committee has to meet the following requirements in order to be registered by the Secretariat, e.g.:
 - a. Identification of the cultural property or project (1) of the Second concerned;

 Articles 29 (1) and 32 (1) of the Second Protocol
 - b. Identification of the place of activity as appropriate;
 - c. Assessment or description of the dangers threatening the cultural property as appropriate;
 - d. Description of the requested assistance;
 - specific information about the project for which international assistance is needed;
 - scientific and technical information on the work to be undertaken;
 - details of the equipment or the personnel needed;
 - measures to be taken by the applicant and measures to be taken by the assisting Party(ies);
 - information about Parties that have already declared their will and their ability to provide the international assistance or that might be willing and able to provide it;
 - information regarding whether the applicant has already requested or envisages to request assistance for the same cultural property from UNESCO, any other intergovernmental organization, a State or a private entity;
 - e. Timetable and budget of the project;

- Information regarding responsible authorities;
- If the applicant is a party to a conflict and not a Party to the Second Protocol but which accepts and applies the provisions of the Second Protocol an official declaration as well as documents proving that it accepts and applies the provisions of the Second Protocol in accordance with its Article 3(2):
- h. In case of a joint request of two or several Parties, a declaration confirming co-operation between applicants;
- i. Expected results;
- j. Justification of the priority of the project; and,
- k. Signature(s) on behalf of the requesting State(s).
- **153.** Applicants are invited to submit their requests in writing, by using the form attached in Annex II, and if possible, in an electronic format provided by the Secretariat. Requests may be submitted in one of the two working languages of the Secretariat.

VI.F Requests for assistance provided by UNESCO

154. Parties may apply for assistance provided by UNESCO at Article 33 (1) of the any time. Following the receipt of the request for such Second Protocol assistance, the Secretariat verifies whether an identical request has not already been submitted for international assistance. If necessary, the Secretariat may request The Secretariat informs the additional information. Committee of the request. If necessary, the Secretariat may consult eminent international and national governmental and non-governmental organizations with the relevant professional expertise for evaluation of the request.

ANNEX I

ENHANCED PROTECTION REQUEST FORM

1. PARTY:	2. DATE OF SUBMISSION:				
Submission prepared by:					
nstitution: E-mail:					
Name:	Fax:				
Address:	Telephone:				
3. REQUIREMENTS CONSIDERED BY THE CO	MMITTEE:1				
3. A IDENTIFICATION OF THE CUinclude photos and maps if available):	LTURAL PROPERTY (please				
Name of Cultural Property:					
State, Province or Region Located or Stored:					
Latitude and Longitude, or UTM coordinates:					
3. B DESCRIPTION OF THE CULTURAL PROPERTY:					
3. C PROTECTION OF THE CULTURAL PROPERTY:					

¹ More detailed information is contained in paragraphs 54 - 62 of the Guidelines.

3.	D USE OF THE CULTURAL PROPERTY:
3.	E INFORMATION REGARDING RESPONSIBLE AUTHORITY:
3.	F JUSTIFICATION FOR ENHANCED PROTECTION:
App	licants are requested to justify that the following criteria are fully met:
The	cultural property:
i)	is of the greatest importance for humanity (Article 10(a) of the Second Protocol);
ii)	is protected by adequate domestic legal and administrative measures recognising its exceptional cultural and historic value and ensuring the highest level of protection (Article 10(b) of the Second Protocol). A copy of the list required by paragraph 58 of the Guidelines is attached.
iii)	is not used for military purposes or to shield military sites. A copy of the non-military use declaration certifying such situation is attached (Article 10(c) of the Second Protocol).
gnature by	y the Party's competent authority:
Full	name
Title	;
Date	<u>, </u>

ANNEX II

FORM TO REQUEST INTERNATIONAL ASSISTANCE FOR CULTURAL PROPERTY PROVIDED BY THE COMMITTEE 1

1.	APPLICANT
	1/ Party:
and a	2/A party to a conflict which is not a Party to the Second Protocol but accepts applies the provisions of the Second Protocol: ²
	3/A joint request of two or several Parties: ³
2.	IDENTIFICATION OF THE CULTURAL PROPERTY OR PROJECT CONCERNED
	Please provide the following information in conformity with paragraphs 54 - 62 and 152 - 153 of the lines as appropriate: identification of the cultural property concerned; description of the cultural property; tion of the cultural property; use of the cultural property or description of the project; and information ding the applicant and its responsible authorities. Please also provide photographs and maps.
3.	THE ACTIVITY WILL BENEFIT
	□ – cultural property inscribed on the List of Cultural Property under Enhanced Protection
1 Arti	cles 29 and 32 of the Second Protocol

² Please attach an official declaration as well as documents proving that the applicant is a party to the conflict and that it accepts and applies the provisions of the Second Protocol in accordance with Article 3(2) of the Second Protocol.

³ Please attach a declaration confirming co-operation between applicants.

⁴ Note of the Secretariat: The information requested is based on paragraphs 54 – 62 of the Guidelines.

	□ – cultural property inscribed on the List of Cultural Property under Enhanced Protection in exceptional cases ⁵ □ – cultural property inscribed provisionally on the List of Cultural Property under Provisional Enhanced Protection on an emergency basis ⁶ □ – cultural property nominated for inscription on the List of Cultural property under Enhanced Protection (i.e. Tentative List) ⁷ □ – Others (please explain)					
4.	IDENTIFICATION OF THE PLACE OF ACTIVITY:					
 a. Wi	ll the activity include a field component? □ - yes □ - no					
If yes	s, where?					
b. Th	e activity is:					
	 □ - local □ - national □ - sub-regional involving a few Parties from a region □ - regional involving most Parties from a region □ - international involving Parties from different regions 					
	If the activity is sub-regional, regional or international, please indicate the countries which will participate / benefit from the activity:					

⁵ Paragraphs 73 and 74 of the Guidelines ⁶ Paragraphs 63 and 75 of the Guidelines ⁷ Paragraphs 52 and 53 of the Guidelines

5.	ASSESSMENT OR DESCRIPTION OF THE DANGERS THREATENING THE CULTURAL PROPERTY AS APPROPRIATE
6.	DESCRIPTION OF THE REQUESTED ASSISTANCE
	1/ Specific information about the project
	2/Scientific and technical information on the work to be undertaken
	3/ Details of the equipment or the personnel needed
Part	4/ Measures to be taken by the applicant and measures to be taken by the assisting y(ies)
abili it	5/ Information about Party(ies) that has(ve) already declared its(their) will and ty to provide the international assistance or who might be willing and able to provide
	6/ Information regarding whether the applicant has already requested or sages to request assistance for the same cultural property from UNESCO, any other governmental organization, a State or a private entity
7.	PURPOSES OF ASSISTANCE REQUESTED
	 □ - Preparatory measures □ - Emergency measures □ - Recovery measures
Plea	se provide more detailed information:

	Dates:			
	Duration:			
	BUDGET OF THE ACTI	VITY		
	PREVIOUS CONTRIBUTOR CULTURAL PROPER			
	OF CULTURAL PROPER	RTY IN TH	the Fund for the	ARMED CONFLICT Protection of Cultural
	OF CULTURAL PROPE Indicate all previous contrib ty in the Event of Armed Con Type of international	RTY IN TH	the Fund for the following format Amount in	ARMED CONFLICT Protection of Cultural
	OF CULTURAL PROPE Indicate all previous contrib ty in the Event of Armed Con	entions from	the Fund for the following format	ARMED CONFLICT Protection of Cultural:
	OF CULTURAL PROPE Indicate all previous contrib ty in the Event of Armed Con Type of international	entions from	the Fund for the following format Amount in	ARMED CONFLICT Protection of Cultural:
	Indicate all previous contributy in the Event of Armed Contribution of Indicate all previous contribution in the Event of Armed Contribution in the Event of	entions from	the Fund for the following format Amount in	ARMED CONFLICT Protection of Cultural:
ert	Indicate all previous contrib ty in the Event of Armed Con Type of international assistance EXPECTED RESULTS	entions from the Year	the Fund for the following format Amount in USD	ARMED CONFLICT Protection of Cultural:
ert	Indicate all previous contributy in the Event of Armed Contribution of Indicate all previous contribution in the Event of Armed Contribution in the Event of	entions from the Year	the Fund for the following format Amount in USD	ARMED CONFLICT Protection of Cultural:
ert	Indicate all previous contrib ty in the Event of Armed Con Type of international assistance EXPECTED RESULTS	entions from the Year	the Fund for the following format Amount in USD	ARMED CONFLICT Protection of Cultural:

Expected Results	Indicators	Means of verification

2.	JUSTIFICATION OF THE PRIORITY OF THE PROJECT:
3.	SIGNATURE ON BEHALF OF STATE PARTY
	Full name
	Title
	Date

ANNEX III, Table 1. Forms of assistance and procedural matrix

Forms of Assistance	Applicant	Material Scope	Temporal Scope	Deadline for submission	Approved by	Resources	Addressee	Reference
International Assistance by the Committee (cf. Table 2)	- Parties - A party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol	protection - Cultural property submitted for inclusion in the	- In times of peace or immediately before or during or after a conflict - Only during a conflict for a party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol	At least six months before the ordinary meeting of the Committee. Requests for emergency measures may be submitted at any time	The Committee	The Fund	The Committee through the Secretariat	Articles 3(2), 10(b), 11(8), 29, 32(1), 32(2), of the Second Protocol
Technical Assistance of the Parties through the Committee	- Parties - A party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol	- Cultural property under enhanced protection - Cultural property	- In times of peace or immediately before or during or after a conflict - Only during a conflict for a party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol	Any time	Parties providing assistance	Parties providing assistance	The Committee through the Secretariat	Article 32(4) of the Second Protocol
Assistance of UNESCO (cf. Table 3)	- Parties	- Cultural property under enhanced protection - Cultural property	- In times of peace or immediately before or during or after a conflict	Any time	UNESCO	Within the limits fixed by programmes and resources of UNESCO	The Secretariat	Article 33(1) of the Second Protocol

Technical assistance provided by the Parties directly on a bi- or multilateral level	- Party/Parties	- Cultural property under enhanced protection - Cultural property	- In times of peace or immediately before or during or after a conflict	•	Party/Parties providing assistance	Party/Parties providing assistance	Party/Parties providing assistance through its (their) national focal point(s)	Article 33(2) of the Second Protocol
Financial and other assistance from the Fund	- Parties - A party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol	protection - Cultural property submitted for inclusion in the List provided that	- In times of peace or immediately before or during or after a conflict - Only during a conflict for a party to a conflict which is not a Party to the Second Protocol but accepts and applies the provisions of the Second Protocol	At least six months before the ordinary meeting of the Committee. Requests for emergency measures may be submitted at any time.	The Committee	The Fund	The Committee through the Secretariat	Articles 5, 8(a), 10(b), 27(1)(d) and (f), 29 and 30 of the Second Protocol

ANNEX III, Table 2. Examples of possible measures of international assistance provided by the Committee

Purpose of international assistance	Resources	Technical measures	Legal measures
Preparatory measures	- Disbursement from the Fund	 Training of staff and specialists at all levels in the field of protection of cultural property under enhanced protection Provision of experts and skilled personnel to ensure that the preparatory protection work is carried out correctly Expert advice on peacetime preparatory measures (preparation and regular update of inventories, surveys, maps, publications, websites, etc.) with regard to immovable and movable cultural property, the creation of the relevant administrative services for the protection of cultural property, and the organization of refuges for movable cultural property Expert advice related to the marking of cultural property under enhanced protection with the distinctive emblem in accordance with Article 6 of the Convention Dispatch of technical missions to undertake operational projects 	Expert advice on the elaboration and updates of Parties' national legislation implementing different aspects of the Second Protocol, such as administrative, technical or penal measures.
Emergency measures	- Disbursement from the Fund	 Organisational <i>ad hoc</i> measures for drawing up emergency plans, preparing inventories, surveys, maps, publications, websites, etc. Establishment and operation of ad hoc infrastructures Establishment of refuges for movable cultural property under enhanced protection for its temporary protection 	Assistance in the elaboration and enactment of laws under emergency procedure, as appropriate.

Recovery measures	- Disbursement from the Fund	- Despatch of experts and skilled personnel to help preserve and conserve damaged cultural property	
		- Provision of the appropriate equipment and/or administrative assistance with a view to facilitating the return of the removed cultural property in accordance with Article 5 of the Second Protocol	

ANNEX III, Table 3: Examples of possible measures of technical assistance provided by UNESCO

Forms of Technical Assistance of UNESCO	Resources	Technical measures	Legal measures
Expert advice	- Resources of UNESCO	 Expert advice on peacetime preparatory measures based on the experience of other Parties, High Contracting Parties which are not parties to the Second Protocol, other UNESCO Member States, and relevant international and national governmental organizations having objectives similar to those of the Convention and its two Protocols. In particular, the provision of such advice focuses on (i) the preparation and regular updates of inventories of immovable and movable cultural property, (ii) the creation of the relevant administrative services for the protection of cultural property, and (iii) the organization of refuges for movable cultural property Expert advice related to the marking of cultural property under enhanced protection with the distinctive emblem of the Convention (as recommended by part III.E on <i>The Use of the Emblem</i> of the current Guidelines) Expert advice on the dissemination of the provisions of the Second Protocol within the general public and target groups (i.e., the military or law enforcement agencies) 	- Expert advice on the elaboration and update Parties' national legislation implementing different aspects of the Second Protocol, such as administrative, technical or penal measures
Operational activities	- Resources of UNESCO	 Dissemination of various studies and reports on different aspects of the implementation of the Second Protocol Dispatch of technical missions to undertake operational projects 	



United Nations Educational, Scientific and Cultural Organization

> Organisation des Nations Unies pour l'éducation, la science et la culture

Organización de las Naciones Unidas para la Educación, la Ciencia y la Cultura

Организация Объединенных Наций по вопросам образования, науки и культуры

منظمة الأمم المتحدة للتربية والعلم والثقافة

> 联合国教育、· 科学及文化组织 .

CLT-09/CONF/219/4 REV. Paris, 24 November 2009 Original: English

Guidelines concerning the use of the Fund for the Protection of Cultural Property in the Event of Armed Conflict	
1. The Fund for the Protection of Cultural Property in the Event of Armed Conflict ("the Fund") established by the Second Protocol constitutes a trust fund, in conformity with the provisions of the Financial Regulations of UNESCO. Given the multi-donor nature of the Fund, it will be managed as a Special Account. Financial Regulations of the Fund are annexed.	Article 29 of the Second Protocol
2. The purpose of the Fund is to provide financial and other assistance in support of preparatory or other measures to be taken in principle in peacetime such as, <i>inter alia</i> , the safeguarding of cultural property, domestic legal and administrative measures for the protection of cultural property and dissemination. The purpose of the Fund is also to provide financial or other assistance in relation to emergency, provisional or other measures to be taken in order to protect cultural property in principle during periods of armed conflict or of immediate recovery in principle after the end of hostilities.	Article 29(1)(a) and (b) of the Second Protocol
3. Resources of the Fund may be used for the purposes mentioned in the above paragraph for the protection of cultural property. Resources of the Fund coming from contributions earmarked for a certain programme or project will be used for such a programme or project, provided that the Committee has decided on its implementation.	Article 29(1)(a) and (b) and (3) of the Second Protocol
4. The Committee decides on the disbursement of resources from the Fund.	Article 29(3) of the Second Protocol
5. In considering requests for assistance from the Fund, the Committee will give the highest priority to requests of an emergency nature.	
6. The Committee's decisions determining the use of the Fund may be guided by the following considerations, among others:	

	 a) the probability that the assistance will have a catalytic and multiplier effect ("seed money") and promote financial and technical contributions from other sources; b) the availability to the activity of the legislative, administrative and, wherever possible, financial commitment of the applicant; c) the exemplary value of the activity; and, d) the cost effectiveness of the activity. 	
7.	The Committee's decisions will take into account the special needs of Parties that are developing countries.	Articles 29(3) and 27(1)(f) of the Second Protocol
8.	The Committee reports on the use of the Fund in its reports to the Meeting of the Parties.	Article 27(1)(d) of the Second Protocol

ANNEX

FINANCIAL REGULATIONS OF THE SPECIAL ACCOUNT FOR THE SECOND PROTOCOL TO THE HAGUE CONVENTION OF 1954 FOR THE PROTECTION OF CULTURAL PROPERTY IN THE EVENT OF ARMED CONFLICT

Article 1 – Creation of a Special Account

- 1.1 Article 29 of the Second Protocol to the Hague Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict (hereinafter "the Second Protocol") establishes a Trust Fund. Given the multi-donor nature of the Fund, it will be managed as a Special Account.
- 1.2 In accordance with Article 6, paragraph 6, of the Financial Regulations of UNESCO, there is hereby created a Special Account for "Protection of Cultural Property in the Event of Armed Conflict Second Protocol", hereafter referred to as the Special Account.
- 1.3 The following regulations shall govern the operation of the Special Account.

Article 2 – Financial period

The financial period shall correspond to that of UNESCO.

Article 3 – Purpose

In accordance with Article 29.1 of the Second Protocol, the purposes of the Special Account are:

- (a) to provide financial or other assistance in support of preparatory or other measures to be taken in peacetime in accordance with, *inter alia*, Article 5, Article 10 sub-paragraph (b) and Article 30 of the Second Protocol; and
- (b) to provide financial or other assistance in relation to emergency, provisional or other measures to be taken in order to protect cultural property during periods of armed conflict or of immediate recovery after the end of hostilities in accordance with, *inter alia*, Article 8 sub-paragraph (a) of the Second Protocol.

Article 4 – Income

In accordance with Article 29.4 of the Second Protocol, the income of the Special Account shall consist of:

- (a) Voluntary contributions made by the Parties to the Second Protocol;
- (b) Contributions, gifts or bequests made by:
 - (i) Other States;

- (ii) UNESCO or other organizations of the United Nations system;
- (iii) Other intergovernmental or non-governmental organizations; and
- (iv) Public or private bodies or individuals;
- (c) Any interest accruing on the Fund;
- (d) Funds raised by collections and receipts from events organized for the benefit of the Fund; and
- (e) All other resources authorized by the guidelines applicable to the Fund.

Article 5 – Expenditure

- 5.1 Pursuant to Article 29.3 of the Second Protocol, disbursements from the Special Account shall be used only for such purposes as the Committee for the Protection of Cultural Property in the Event of Armed Conflict shall decide in accordance with the guidelines as defined in Article 23 sub-paragraph 3(c) of the Second Protocol and in accordance with Article 3 above, including administrative expenses specifically relating to it.
- 5.2 The Committee may accept contributions to be used only for a certain programme or project, provided that the Committee shall have decided on the implementation of such programme or project.
- 5.3 Expenditure shall be made within the limits of funds available.

Article 6 – The accounts

- 6.1 The UNESCO Comptroller shall maintain such accounting records as are necessary.
- 6.2 Any unused balance at the end of a financial period shall be carried forward to the following financial period.
- 6.3 The accounts of the Special Account shall be presented for audit to the External Auditor of UNESCO, together with the other accounts of the Organization.
- 6.4 Contributions in kind shall be recorded outside the Special Account.

Article 7 – Investments

- 7.1 The Director-General may make short-term investments of sums standing to the credit of the Special Account.
- 7.2 Interest earned on these investments shall be credited to the Special Account.

Article 8 – General provision

Unless otherwise provided in these Regulations, the Special Account shall be administered in accordance with the Financial Regulations of UNESCO.