

United Nations Educational, Scientific and Cultural Organization

Diversity of Cultural Expressions

Organisation des Nations Unies des expressions culturelles Organisation pour l'éducation, . la science et la culture

Diversité

Organización de las Naciones Unidas \* para la Educación, . culturales la Ciencia y la Cultura

Diversidad de las expresiones

Организация • Объединенных Наций по вопросам образования, науки и культуры

Разнообразие форм культурного самовыражения

تتوع أشكال التعبير . منظمة الأمم المتحدة للتربية والعلم والثقافة

الثقافي

科学及文化组织

联合国教育、 文化表现形式 多样性

DCE/19/7.CP/Res. **Paris, 7 June 2019** Original: English / French

## **CONFERENCE OF PARTIES TO THE** CONVENTION ON THE PROTECTION AND PROMOTION OF THE **DIVERSITY OF CULTURAL EXPRESSIONS**

Seventh session Paris, UNESCO Headquarters, Room II 4-7 June 2019

**RESOLUTIONS** 

<u>Item 1 of the agenda</u>: Election of a Chairperson, Vice-Chairperson(s) and Rapporteur of the Conference of Parties

#### **Resolution 7.CP 1**

The Conference of Parties,

- 1. <u>Elects</u> Mr Abdoul Karim Sango (Burkina Faso) Chairperson of the Conference of Parties:
- 2. <u>Elects</u> Mr David Measketh (Cambodia) Rapporteur of the Conference of Parties;
- 3. <u>Elects</u> El Salvador, Germany, Palestine and Serbia Vice-Chairpersons of the Conference of Parties.

## Item 2 of the agenda: Adoption of the agenda

#### Resolution 7.CP 2

The Conference of Parties,

- 1. <u>Having examined</u> Document DCE/19/7.CP/2;
- 2. <u>Adopts</u> the agenda included in the above-mentioned document.

## Item 3 of the agenda: Approval of the list of observers

#### **Resolution 7.CP 3**

- 1. Having examined the list of observers;
- 2. Approves the list of observers.

<u>Item 4 of the agenda</u>: Adoption of the detailed summary record of the sixth session of the Conference of Parties

#### Resolution 7.CP 4

The Conference of Parties.

- 1. Having examined Document DCE/19/7.CP/4 and its Annex;
- 2. <u>Adopts</u> the detailed summary record of the sixth session of the Conference of Parties to the Convention on the Protection and Promotion of the Diversity of Cultural Expressions annexed to the above-mentioned document, as amended.

Item 7 of the agenda: Report of the Committee on its activities

#### Resolution 7.CP 7

The Conference of Parties,

- 1. Having examined Document DCE/19/7.CP/7 and its Annex;
- 2. Takes note of the report of the Committee on its activities.

**Item 8 of the agenda**: Secretariat's report on its activities (2017-2019)

#### Resolution 7.CP 8

- 1. <u>Having examined</u> Document DCE/19/7.CP/8 and its Annexes;
- 2. <u>Takes note</u> of the Secretariat's report on its activities for the period of 2017-2019;
- 3. <u>Invites</u> each Party to support the activities carried out by the Secretariat at Headquarters and in the Field that are identified in UNESCO's Approved Programme and Budget (C5) and the resolutions of the seventh session of the Conference of Parties for the implementation of the Convention at the national level;
- 4. <u>Encourages</u> Parties to provide voluntary contributions to support the Secretariat's capacity development programme, the implementation of the global Knowledge Management System (KMS) and the Policy Monitoring Platform (PMP), and to contribute to strengthening the Secretariat by appointing Associate Experts or secondees to implement the Convention;
- 5. <u>Requests</u> the Secretariat to present, at its eighth session, a report on its activities for the period of 2019-2021.

<u>Item 9 of the agenda</u>: Secretariat's report on the International Fund for Cultural Diversity (IFCD) and its fundraising strategy

#### **Resolution 7.CP 9**

- 1. <u>Having examined</u> Document DCE/19/7.CP/9, its Annexes, and Information Documents DCE/19/7.CP/INF.6 and DCE/19/7.CP/INF.9a and 9b;
- 2. <u>Takes note</u> of the Secretariat's report on the implementation of the International Fund for Cultural Diversity (IFCD) for the 2017-2019 period;
- 3. <u>Acknowledges</u> the efforts of the Secretariat to implement the IFCD and the results of the projects funded;
- 4. <u>Requests</u> Parties to make available to the Secretariat the necessary resources for the establishment of capacity-building programmes and project monitoring and evaluation activities, and <u>invites</u> the Secretariat to present a report on this subject at its eighth session;
- 5. <u>Requests</u> the Committee to review, if necessary, the "Guidelines on the use of the resources of the International Fund for Cultural Diversity" (Article 18) and to submit the results of this review to the Conference of Parties at its eighth session;
- 6. <u>Takes note</u> of the urgent need for all stakeholders to contribute to the IFCD, and <u>requests</u> Parties to actively support and engage in communication and fundraising activities at the national level;
- 7. <u>Encourages</u> Parties to support the IFCD by providing regular voluntary contributions equivalent to at least 1% of their total assessed contribution to the regular budget of UNESCO, and <u>requests</u> the Secretariat to send an official letter of appeal on an annual basis;
- 8. <u>Approves</u> the Financial Regulations of the IFCD's Special Account as annexed to this resolution.

#### Annex to the Resolution 7.CP 9

## Financial Regulations of the Special Account for the International Fund for Cultural Diversity (IFCD)

## Article 1 - Creation of a Special Account

- 1.1 Article 18 of the Convention on the Protection and Promotion of the Diversity of Cultural Expressions (hereinafter referred to as "the Convention") establishes an International Fund for Cultural Diversity.
- 1.2 In accordance with Article 18 of the Convention and Article 6, paragraphs 5 and 6, of the Financial Regulations of UNESCO, there is hereby created a Special Account for the International Fund for Cultural Diversity (hereinafter referred to as the "Special Account").
- 1.3 The following regulations shall govern the operation of the Special Account.

#### Article 2 - Financial Period

- 2.1 The financial period for budget estimates shall be two consecutive calendar years beginning with an even-numbered year.
- 2.2 The financial period for accounting shall be an annual calendar year.

## Article 3 - Purpose

In accordance with Article 18 of the Convention, the purpose of the Special Account is to finance activities decided by the Intergovernmental Committee for the Protection and Promotion of the Diversity of Cultural Expressions (hereafter referred to as "the Committee"), on the basis of guidelines determined by the Conference of Parties to the Convention, (hereafter referred to as "the Conference of Parties"), notably to assist Parties to support cooperation for sustainable development and poverty reduction, especially in relation to the specific needs of developing countries, in order to foster the emergence of a dynamic cultural sector, in accordance with Article 14 of the Convention.

#### Article 4 - Governance

- 4.1 The Committee has authority over the appropriation of the resources under the Special Account, based on the guidelines of the Conference of Parties.
- 4.2 The Director-General shall manage and administer the funds of the Special Account in accordance with the text of the Convention, the decisions approved by the Committee, and the present Financial Regulations.
- 4.3 The Director-General shall, on an annual basis, submit to the Committee narrative and financial reports and, every two years, submit to the

Conference of Parties a narrative report as indicated under Article 9 below.

#### Article 5 - Income

With due regard to the text of the Convention, the income of the Special Account shall consist of:

- (a) voluntary contributions made by the Parties of the Convention;
- (b) funds appropriated for this purpose by the General Conference of UNESCO;
- (c) contributions, gifts or bequests which may be made by:
  - (i) other States;
  - (ii) organizations and programmes of the United Nations system;
  - (iii) other regional or international organizations;
  - (iv) public or private bodies or individuals;
- (d) any interest due on the resources of the Special Account;
- (e) funds raised through collections and receipts from events organized for the benefit of the Voluntary Fund;
- (f) miscellaneous income.

## Article 6 - Expenditure

- 6.1 The appropriation of the resources of the Special Account shall be approved by the Committee on a biennial basis.
- 6.2 The Special Account shall be debited with the expenditure relating to its purpose as described in Article 3 above, including administrative expenses specifically relating to it and programme support costs applicable to Special Accounts.
- 6.3 Expenditure shall be made within the limits of funds available.

#### Article 7 - Accounts

- 7.1 The Chief Financial Officer shall maintain such accounting records as are necessary.
- 7.2 Any unused balance at the end of a financial period shall be carried forward to the following financial period.

- 7.3 The accounts of the Special Account shall be part of the consolidated financial statements presented for audit to the External Auditor of UNESCO.
- 7.4 Contributions in kind shall be recorded outside the Special Account.

#### Article 8 - Investments

- 8.1 The Director-General may make short-term or long-term investments of sums standing to the credit of the Special Account.
- 8.2 Revenue from these investments shall be credited to the Special Account in line with UNESCO's Financial Rules.

#### Article 9 - Reporting

- 9.1 An annual financial report showing the income and expenditure under the Special Account shall be prepared and submitted to the Committee.
- 9.2 An annual narrative report shall be submitted to the Committee and every two years a narrative report shall be submitted to the Conference of Parties.

## Article 10 - Closure of the Special Account

- 10.1 The Director-General shall consult the Committee when he/she considers that the operation of the Special Account is no longer necessary. Such consultation shall cover the decision on the use of any unspent balance.
- 10.2 The decision of the Committee shall be approved by the Conference of Parties and shall be transmitted to the Executive Board prior to the effective closure of the Special Account.

## Article 11 - General provisions

- 11.1 Any amendment to these Financial Regulations shall be adopted by the Committee and approved by the Conference of Parties. The Executive Board shall be informed accordingly of any such amendments.
- 11.2 Unless otherwise provided in these Regulations, the Special Account shall be administered in accordance with the Financial Regulations of UNESCO.

<u>Item 10 of the agenda</u>: Monitoring the implementation of the relevant recommendations of the Open-Ended Working Group on Governance, Procedures and Working Methods of the Governing Bodies of UNESCO (39 C/Resolution 87)

#### Resolution 7.CP 10

- 1. <u>Having examined</u> Document DCE/19/7.CP/10, its Annex, and Information document DCE/19/7.CP/INF.10;
- 2. Recalling its Resolutions 5.CP 14 and 6.CP 8 and Decisions 7.IGC 13, 8.IGC 6, 10.IGC 5 and 12.IGC 8 of the Committee on issues of governance;
- 3. <u>Also recalling</u> 39 C/Resolution 87 of the General Conference, the Internal Oversight Service (IOS) Audit of the Working Methods of Cultural Conventions, and the IOS Evaluation of UNESCO's Standard-Setting Work of the Culture Sector;
- 4. <u>Notes</u> with satisfaction the work carried out to improve and streamline the working methods of the governing bodies of the Convention on the Protection and Promotion of the Diversity of Cultural Expressions (2005);
- 5. <u>Takes note</u> of the status of the follow-up to the recommendations of the Openended Working Group on Governance, Procedures and Working Methods of the Governing Bodies of UNESCO (39 C/Resolution 87) and <u>highlights</u> the best practice approaches pioneered by the governing bodies of the Convention;
- 6. <u>Requests</u> the Secretariat to implement Recommendation 65 mentioned in paragraph 22 approved at this session;
- 6bis <u>Decides</u> consequently to amend Rule 17.3 of its Rules of procedure as follows: "The list of candidatures shall be finalized seven days prior to the opening of the Conference of Parties. No candidature will be accepted in the seven days preceding the opening of the Conference."
- 7. <u>Approves</u> the recommendation to hold future sessions of the Committee in February and takes note of the dates of the thirteenth session (11-14 February 2020);
- 8. <u>Requests</u> the Secretariat to submit Document DCE/19/7.CP/10 and Resolution 7.CP 10 to the Chairperson of the Open-ended Working Group on Governance, Procedures and Working Methods of the Governing Bodies of UNESCO.

<u>Item 11 of the agenda</u>: Quadrennial periodic reports: transmission of new reports and implementation of the capacity-building programme on participatory policy monitoring

#### **Resolution 7.CP 11**

- 1. <u>Having examined</u> Document DCE/19/7.CP/11 and its Annexes, as well as Information Documents DCE/19/7.CP/INF.7 and DCE/19/7.CP/INF.8;
- 2. Recalling its Resolution 6.CP 9 and the Decisions 11.IGC 8 and 12.IGC 7 of the Committee;
- 3. <u>Takes note</u> of the 2018 Global Report "Re | Shaping Cultural Policies: Advancing creativity for development";
- 4. <u>Takes note</u> of the Monitoring Framework of the Convention as presented in Annex III and Information Document DCE/19/7.CP/INF.8;
- 5. <u>Decides</u> that the Parties listed in Annex I will submit their quadrennial periodic reports to the Secretariat before 30 April 2020;
- 6. <u>Further decides</u> that the Parties listed in Annex II who have not yet submitted their periodic reports to the Secretariat shall submit them before 30 April 2020;
- 7. <u>Requests</u> the Secretariat to invite the Parties concerned to prepare their periodic reports no later than six months before the deadline set for their submission, as stipulated in paragraphs 5 and 6 above;
- 8. <u>Invites</u> Parties to implement Resolution 87 adopted by the General Conference at its 39<sup>th</sup> session endorsing the recommendations of the Open-ended Working Group on the Governance, Procedures and Working Methods of UNESCO's Governing Bodies, including Recommendation 60 on the need to reduce and manage the politicization of nominations and decisions, and to apply this recommendation to quadrennial periodic reports that should be written in language consistent with the United Nations Charter and the 2005 Convention;
- 9. <u>Encourages</u> Parties to undertake multi-stakeholder consultations in the preparation of their reports, involving various ministries, regional and local governments, and in particular civil society organizations;
- 10. <u>Requests</u> the Secretariat to submit to its eighth session, in June 2021, the third Global Report on the implementation of the Convention worldwide on the basis of periodic reports and other sources;
- 11. <u>Thanks</u> the Parties that have provided voluntary contributions to the capacity building programme for periodic reporting and participatory policy monitoring and <u>encourages</u> all Parties to provide voluntary contributions to expand it, to continue the implementation of the Knowledge Management System (KMS), and to publish future editions of the Global Report, including the fourth edition in 2025;
- 12. <u>Invites</u> the Committee to submit to it, at its next session, the periodic reports received along with its comments.

<u>Item 12 of the agenda</u>: Draft revisions to the Operational Guidelines on Article 9 "Information Sharing and Transparency"

#### Resolution 7.CP 12

The Conference of Parties.

- 1. <u>Having examined</u> Document DCE/19/7.CP/12, its Annex, and Information Document DCE/19/7.CP/INF.8;
- 2. Recalling its Resolution 6.CP 9;
- 3. <u>Approves</u> the revised Operational Guidelines on Article 9 "Information Sharing and Transparency" and the Framework for Quadrennial Periodic Reports, annexed to this Resolution;
- 4. <u>Requests</u> the Secretariat to update its printed and online publications, the electronic form for the reporting cycle 2020-2023, and all other related material accordingly.

#### Annex to Resolution 7.CP 12

Operational Guidelines on Article 9 "Information Sharing and Transparency"

#### **Article 9** – Information Sharing and Transparency

#### Parties shall:

- (a) provide appropriate information in their reports to UNESCO every four years on measures taken to protect and promote the diversity of cultural expressions within their territory and at the international level:
- (b) designate a point of contact responsible for information sharing in relation to this Convention;
- (c) share and exchange information relating to the protection and promotion of the diversity of cultural expressions.

#### **Quadrennial Periodic Reports of the Parties to the Convention**

- 1. Each Party submits, the fourth year following the year in which it deposited its instrument of ratification, acceptance, approval or accession, and every fourth year thereafter, a report to the Conference of Parties for its review in accordance with Article 22.4 (b).
- 2. The reports shall provide relevant information on policies and measures taken to protect and promote the diversity of cultural expressions within their territory and at the international level, as well as on the impact and results of these policies and measures.
- 3. The information and data provided in these reports shall facilitate an exchange of experiences and best practices in order to contribute to the implementation of the Convention and its monitoring.
- 4. The reports shall be written in language consistent with the United Nations Charter and the 2005 Convention with a view to encourage dialogue and mutual respect among Parties and avoid politicisation.

#### **Format and Content of Reports**

- 5. The Parties will provide information according to the format approved by the Conference of Parties and contained in the framework for reports annexed to these Guidelines. It is understood that the Conference of Parties may decide to adapt the framework, taking into account the timetable it has set itself in conformity with Article 9 (a).
- 6. The quadrennial periodic reports provide qualitative and quantitative information and analyse how, why, when and with what impact, policies and measures, based on the guiding principles set forth in Article 2 of the Convention, to protect and promote the diversity of cultural expressions have been introduced. The reports also provide statistical evidence, as much as possible, as well as best examples of measures and experiences Parties wish to share.
- 7. In preparing their reports, Parties will consider the implications of the Convention on the governance of culture and integrated policy-making for the creative sector. Parties are encouraged to form inter-ministerial working groups, involving various governmental institutions responsible for arts and culture, education, trade, industry, tourism, labour, social and economic development, finance, planning, investment, communications and other governmental institutions concerned, to compile their reports. They are also encouraged to ensure that different government tiers, such as regions and cities, contribute to the preparation of the report.
- 8. In accordance with the Operational Guidelines for Article 16 of the Convention concerning preferential treatment for developing countries, developed countries will describe how they have implemented their obligations in conformity with this Article. In their turn, developing countries will report on the needs assessment they have carried out and the measures implemented to enhance their benefit from preferential treatment.
- 9. For each reporting cycle the Conference of Parties may establish, through a corresponding resolution, one or more thematic focus areas in order to address current policy issues and respond to changing contexts.
- 10. In accordance with the UNESCO Global Priority Gender Equality, the reports shall include information on the measures taken in order to facilitate and promote access for and the participation of women as creators and producers of cultural expressions, as well as the participation of women in the cultural life of societies.
- 11. Pursuant to the new UNESCO Operational Strategy 2014-2021 for Youth, the reports of the Parties shall include information on the measures taken in order to facilitate and encourage the participation of youth in cultural life as creators, producers and beneficiaries of cultural activities, goods and services.
- 12. Information shall be presented in a clear and concise manner.

#### **Ensuring a Participatory Process**

- 13. In preparing their reports, Parties shall conduct multi-stakeholder consultations involving governmental and non-governmental actors, in order to capture the entire range of existing levels of engagement and sources of information.
- 14. In conformity with Article 11 of the Convention and the operational guidelines on the role and participation of civil society, Parties ensure the involvement of civil society in the preparation of the reports according to jointly-agreed modalities. The reports shall indicate the way in which civil society participated in the drafting process.
- 15. Parties are encouraged to cooperate with specialised bodies at local, national, regional and international levels to collect information and data requested in the framework of the quadrennial periodic reports.

#### **Submission and Dissemination of Reports**

- 16. Upon request of the Conference of Parties, the Secretariat invites Parties to prepare their quadrennial periodic reports, at the latest six months before the date set for submission. To this end, the Secretariat refers to the points of contact appointed by the Parties and to the Permanent Delegations to UNESCO as well as the National Commissions for UNESCO.
- 17. Parties submit reports to the Secretariat in one of the working languages of the Committee (English or French) in electronic form and on paper, if an electronic signature has not been included. In order to facilitate the processing of data and information, Parties should give priority to the use of the online platform for the submission of reports. For purposes of information sharing, Parties are encouraged to submit their reports in additional languages, whenever possible.
- 18. Upon receipt of the reports of the Parties, the Secretariat registers them, acknowledges their receipt and transmits them to the Committee.
- 19. The Secretariat forwards to the Committee before its ordinary sessions preceding every two Conference of Parties (i.e., every four years) a report monitoring the implementation of the Convention at the international level on the basis of the information and data derived from the quadrennial periodic reports and other sources. The report will indicate transversal issues as well as challenges identified in the reports to be addressed in the future implementation of the Convention.
- 20. In accordance with Articles 22.4 (b) and 23.6 (c) of the Convention, quadrennial periodic reports, after deliberation by the Committee, are forwarded to the Conference of Parties for review. These reports are accompanied by the Committee's comments and the monitoring report prepared by the Secretariat.
- 21. To facilitate the exchange of information relating to the protection and promotion of the diversity of cultural expressions, quadrennial periodic reports are made public on the website of the Convention before each session of the Committee at which they have been considered.

#### **Points of Contact**

- 22. Upon ratification, Parties designate a point of contact responsible for sharing information on the Convention at the national level and, via the Secretariat, at the international level. If the point of contact changes, the Parties shall notify the Secretariat as soon as possible.
- 23. Points of contact are communication channels through which information about the Convention can be disseminated to relevant Ministries and public agencies. Points of contact shall be in a position to respond to queries about the Convention from the public.
- 24. Parties shall involve the points of contact in gathering relevant information, coordinating the inputs from different governmental and non-governmental sources, and drafting their quadrennial periodic reports.

# **Annex** - Framework for Quadrennial Periodic Reports on Measures to Protect and Promote the Diversity of Cultural Expressions

## Structure

Quadrennial periodic reports (hereinafter "reports") are divided into five sections.

| Number | Section                     | Sub-section   |
|--------|-----------------------------|---|
| 1      | General information         | Technical information   |
|        |                             | Executive summary   |
| 2      | Policies and measures       | Goal 1 – Support sustainable systems of governance for culture  |
|        |                             | Cultural and creative sectors   |
|        |                             | Media diversity   |
|        |                             | Digital environment   |
|        |                             | Partnering with civil society   |
|        |                             | Goal 2 – Achieve a balanced flow of cultural goods and services and increase the mobility of artists and cultural professionals |
|        |                             | <ul> <li>Mobility of artists and cultural professionals</li> </ul>  |
|        |                             | Flow of cultural goods and services   |
|        |                             | Treaties and agreements   |
|        |                             | Goal 3 – Integrate culture in sustainable development frameworks  |
|        |                             | <ul> <li>National sustainable development policies and plans</li> </ul>   |
|        |                             | <ul> <li>International cooperation for sustainable development</li> </ul>   |
|        |                             | Goal 4 – Promote human rights and fundamental freedoms  |
|        |                             | Gender equality   |
|        |                             | Artistic freedom  |
|        |                             | Emerging Transversal Issues   |
| 3      | Achievements and challenges | Results achieved  |
|        |                             | Challenges encountered  |
|        |                             | Solutions found   |
|        |                             | Next steps  |
| 4      | Civil society               | Technical information   |
|        |                             | Measures and initiatives  |
|        |                             | Achievements and challenges   |
| 5      | Annexes                     | -   |
|        |                             |   |

#### **Guidelines for writing reports**

The following guidelines shall be taken into consideration when collecting information and data, and writing reports:

- (i) Declarative statements shall be supported by facts and explanations.
- (ii) The reports shall be written in language consistent with the United Nations Charter and the 2005 Convention with a view to encourage dialogue and mutual respect among Parties and avoid politicisation.
- (iii) Information and analysis are to be derived from a variety of sources and be illustrated with examples and, whenever possible, with data.
- (iv) Long historical accounts are to be avoided.
- (v) Means of verification, presented as quantitative and qualitative questions, relating to any of the 11 areas of monitoring of the Convention, shall, as far as possible, receive answers and be justified.
- (vi) While it is not mandatory to report policies and measures in each of the 11 areas of monitoring, it is highly recommended that as many areas of monitoring as possible be covered, so as to provide a coherent and comprehensive picture of the work carried out at the national level to implement the Convention.
- (vii) The description of the policies and measures should be clear and succinct, focusing on priority lines of action and, where possible, achieved impact;
- (viii) Intangible cultural heritage is not covered by the scope of the 2005 Convention and should therefore not be covered in this report.
- (ix) Parties that have already submitted a quadrennial periodic report on the implementation of the Convention will report on policies and measures, achievements and challenges faced during the four years following their previous report.

#### Technical procedures for submission and follow-up of reports

The following procedures shall be respected:

- (i) Parties submit the reports in English or French (the working languages of the Committee) and, when possible, in other languages, using the electronic form prepared for this purpose by the Secretariat based on the Framework for Periodic Reports.
- (ii) The report shall conclude with the original signature, which may be electronic, of the official designated to sign on behalf of the Party.
- (iii) If the online form has not been signed electronically, the original signed version(s) is (are) sent to the following address: UNESCO, Section of the Diversity of Cultural Expressions, 7 place de Fontenoy, 75352 Paris 07 SP, France.

#### 1. General information

#### **Technical information**

#### **Executive summary**

Parties shall provide a one-page summary in their reports, describing the main objectives and priorities of their current cultural policy, in direct relation with the Convention.

The summary is not an introduction to the report or an annotated table of contents.

The summary is submitted to the Committee and the Conference of Parties in accordance with Articles 22.4 (b) and 23.6 (c) of the Convention.

#### 2. Policies and measures

- The "Policies and measures" section is the core of the periodic reports. Its structure is based on the Monitoring Framework of the Convention so as to promote a systematic follow-up of the implementation of the 4 goals of the Convention.
- Thus, the "Policies and measures" section covers the 11 areas of monitoring of the Convention. An identical structure is proposed for each area of monitoring, including:
  - a brief introduction on the type of information that Parties are invited to provide;
  - means of verification, presented as qualitative and quantitative questions, allowing for systematic data collection on the implementation of the area of monitoring at the national level;
  - a narrative presentation of the key policies and measures implemented for each area of monitoring.
- For each policy or measure, specific questions are included concerning their link with the IFCD and the UNESCO Operational Strategy on Youth 2014-2021.
- For further information on the types of measures to be reported, a list of innovative examples is available on the Policy Monitoring Platform on the Convention's website.



# •(2)• Goal 1 – Support sustainable systems of governance for culture









## **Cultural and creative sectors**

Parties shall provide information on policies and measures adopted to support cultural and creative sectors and protect and promote the diversity of cultural expressions within their territory, at the national, regional or local levels, at the different stages of the cultural value chain: creation; production; distribution/dissemination; participation/enjoyment.

Parties shall also provide information on the mechanisms of inter-ministerial cooperation, as well as cooperation between national and local/regional government authorities, established to promote the diversity of cultural expressions.

#### **Media diversity**

Parties shall provide information on the efforts made to protect the editorial independence and freedom of the media, uphold regulations on media concentration, and support access to diverse content for all groups in society.

They are also required to report on the policies and measures adopted to support the diversity of cultural content in all types of media (public, private and community-based).

#### **Digital environment**

Parties shall provide information on policies and measures that support digital creativity, local cultural industries and markets, for instance by promoting fair remuneration for creators or by modernising cultural industries in the digital environment.

They shall also report on initiatives to improve access to digital culture and to diverse cultural expressions in the digital environment.

#### Partnering with civil society

1. Parties shall provide information on measures targeting civil society organisations¹ involved in the promotion of the diversity of cultural expressions and providing, inter alia: public funding to achieve the objectives of the Convention; opportunities for networking with public authorities and other civil society organisations; training opportunities to acquire skills; spaces for dialogue with government authorities to design and monitor cultural policies.



Goal 2 – Achieve a balanced flow of cultural goods and services and increase the mobility of artists and cultural professionals







#### Mobility of artists and cultural professionals

Parties shall provide information on policies and measures, including preferential treatment as defined in Article 16 of the Convention,<sup>2</sup> aimed at promoting the inward and outward mobility of artists and other cultural professionals around the world.

They shall also report on operational programmes implemented to support the mobility of artists and cultural professionals, particularly those moving to and from developing countries, through programmes for South-South and triangular cooperation.

## Flow of cultural goods and services

Parties shall report on policies and measures, including preferential treatment as defined in Article 16 of the Convention, to facilitate a balanced exchange of cultural goods and services throughout the world, and to regularly monitor the evolution of these exchanges.

Such policies and measures include, *inter alia*, export and import strategies, North-South and South-South cultural cooperation programmes and aid for trade programmes as well as foreign direct investment into the cultural and creative industries.

#### **Treaties and agreements**

Parties shall report on the ways in which cultural goods and services are treated in trade and investment agreements to which they are signatories or which are under negotiation at the international, regional and bilateral levels and provide information on the introduction of cultural clauses related to e-commerce and digital products.

Parties shall also report on the initiatives undertaken to promote the objectives and principles of the Convention in other treaties and agreements as well as in declarations, recommendations and resolutions

For the purposes of this Convention, civil society means non-governmental organisations, non-profit organisations, professionals in the culture sector and associated sectors, groups that support the work of artists and cultural communities (see paragraph 3 of the Operational Guidelines on the Role and Participation of Civil Society).

Article 16 of the Convention stipulates that preferential treatment is to be granted by developed to developing countries, through appropriate legal and institutional frameworks to achieve, *inter alia*, the emergence of a dynamic cultural sector in developing countries and wider and more balanced cultural exchanges. Preferential treatment is understood as having both a cultural and a trade component. It creates an obligation for developed countries in favour of developing countries with regard to persons (artists and cultural professionals) and cultural goods and services.



## Goal 3 – Integrate culture in sustainable development frameworks





## National sustainable development policies and plans

Parties shall provide information on policies and measures designed to integrate creativity and cultural expressions as strategic elements in national sustainable development planning and sustainable development policies. Information shall also be provided on how these measures contribute to achieving economic, social and environmental outcomes and ensuring equitable distribution and access to resources and cultural expressions.

Typically, these measures are implemented by agencies responsible for economic growth, environmental sustainability, social inclusion and culture. The measures should reflect this interdependence and indicate the establishment of dedicated coordination mechanisms.

## International cooperation for sustainable development

Parties shall report on policies and measures that are designed to integrate culture as a strategic element in international and regional cooperation and assistance programmes for sustainable development, including South-South cooperation, in order to support the emergence of dynamic creative sectors in developing countries.

Such policies and measures are generally implemented by international cooperation agencies and/or ministries and agencies in charge of foreign affairs and culture. The measures to be reported should include, where appropriate, the establishment of coordination mechanisms.



## Goal 4 – Promote human rights and fundamental freedoms





#### **Gender equality**

Parties shall describe policies and measures taken to promote gender equality<sup>3</sup> in the culture and media sectors. Parties shall present, *inter alia*, policies and measures aiming to support women as creators, producers and distributors of cultural activities, goods and services, as well as women's access to decision-making positions. They shall also report on the policies and measures that support women as beneficiaries of diverse cultural expressions and citizens fully participating in cultural life.

Parties shall also report on efforts to generate up-to-date data on progress made towards gender equality in the culture and media sectors.

#### **Artistic freedom**

Parties shall report on policies and measures adopted and implemented to promote and protect artistic freedom of artists and their audiences.<sup>4</sup>

<sup>&</sup>lt;sup>3</sup> Gender equality is a global priority of UNESCO. According to Article 7 of the Convention, Parties are encouraged to "pay due attention to the special circumstances and needs of women."

Article 2 of the Convention states in its first guiding principle that "cultural diversity can be protected and promoted only if human rights and fundamental freedoms, such as freedom of expression, information and communication, as well as the ability of individuals to choose cultural expressions, are guaranteed."

Parties shall thus highlight actions taken to protect and promote: the right to create without censorship or intimidation; the right to have artistic activities supported, distributed and remunerated; the right to freedom of association; the right to the protection of artists' social and economic rights; and the right to participate in cultural life.

## **Emerging transversal issues**

In this sub-section, Parties report on the emerging transversal issues identified by the governing bodies of the Convention for each reporting cycle.

A resolution of the Conference of Parties will determine the transversal issue(s) to be reported on for each four-year reporting cycle.

This sub-section also enables Parties to present any other policies and measures that directly contribute to the implementation of the Convention and that would not be covered by one of the 11 monitoring areas of the Convention.

## 3. Achievements and challenges

In this section of the reports, the Parties shall share information on:

- the main results achieved in implementing the Convention;
- the main implementation challenges encountered or foreseen;
- the solutions found or envisaged to overcome those challenges;
- the steps planned for the next four years towards the implementation of the Convention and the priorities identified for that period.

### 4. Civil society

- > This section is intended to promote cooperation with civil society in the preparation of periodic reports. It is the subject of a separate electronic form focused on the protection and promotion of the diversity of cultural expressions by civil society organisations.
- > The electronic form can be downloaded and sent to civil society organisations involved in the drafting of the periodic report.
- It is recommended that a working meeting be convened with key civil society organisations involved in the implementation of the Convention, so that they can collectively complete the different sub-sections of the form.
- If this participatory approach is not possible, Parties can send the electronic form to the civil society organisations and then consolidate the various contributions into the final periodic report submission form.

#### **Technical information**

#### **Measures and initiatives**

- > The section "Measures and initiatives" targeting civil society organisations has the following structure:
  - a brief introduction on the type of information that civil society organisations are invited to provide;
  - means of verification, in the form of qualitative and quantitative questions;
  - a narrative presentation of key measures and initiatives for the protection and promotion of the diversity of cultural expressions that civil society organisations have participated in and/or pursued.

This sub-section is intended to encourage civil society to present information on what it is doing to implement the Convention.

In accordance with its role and responsibilities defined in Article 11 of the Convention and its Operational Guidelines, civil society is encouraged to report, *inter alia*, on:

- the levels of organisation and structuring of civil society organisations concerned by the 2005 Convention;
- opportunities for training and mentoring with public authorities and other civil society organisations;
- participation in mechanisms for dialogue and consultation with public authorities and/or other sectors of civil society to contribute to the design and/or implementation and/or monitoring of public policies;
- activities to promote the diversity of cultural expressions;
- public funding to implement programmes and projects supporting the diversity of cultural expressions;
- specific initiatives to promote artistic freedom and the mobility of artists and cultural professionals.

## **Achievements and challenges**

In this sub-section, civil society organisations can share information on:

- the main results achieved in implementing the Convention;
- the main implementation challenges encountered or foreseen;
- the solutions found or envisaged to overcome those challenges;
- the steps planned for the next four years towards the implementation of the Convention and priorities identified for that period.

#### 5. Annexes

In this section, Parties can upload documents that provide additional information on the actions undertaken in the last four years to promote the implementation of the four goals of the Convention (strategic documents, policies, laws, statistical studies, impact assessments of their action, etc.).

<u>Item 13 of the agenda</u>: Open roadmap for the implementation of the guidelines to promote the diversity of cultural expressions in the digital environment

#### **Resolution 7.CP 13**

- 1. Having examined Document DCE/19/7.CP/13 and its Annexes;
- 2. Recalling its Resolutions <u>6.CP 11</u> et <u>6.CP 12</u> and Decisions <u>11.IGC 5</u> et <u>12.IGC 9</u> of the Committee:
- 3. <u>Approves</u> the open roadmap for the implementation of the operational guidelines to promote the diversity of cultural expressions in the digital environment contained in Annex I to Document DCE/19/7.CP/13 and annexed to this Resolution, and <u>takes</u> note of the good practice examples contained in Annex II to the same document;
- 4. <u>Requests</u> the Secretariat to continue collecting examples of good practices implemented by Parties in the digital environment and to take initiatives in peer learning, information exchange and advocacy, in particular with policymakers in developing countries, in order to support Parties in the implementation of the Convention in the digital environment, if voluntary contributions are identified;
- 5. <u>Invites</u> Parties to develop a national roadmap that will enable them to implement the Convention in the digital environment in line with their needs and available resources, and to share it with the Secretariat;
- 6. <u>Asks</u> the Secretariat to present to the eighth session of the Conference of Parties the national roadmaps developed by Parties and the status of their implementation;
- 7. <u>Invites</u> the Parties that require technical assistance to implement aspects of the open roadmap to send a request to the Secretariat.

#### Annex to Resolution 7.CP 13

## Open roadmap for the implementation of guidelines to promote the diversity of cultural expressions in the digital environment

policies and measures are designed the digital environment in an

ensure a diverse digital ecosystem

the balanced flow of cultural goods and services and promote equality between countries in the digital

Parties promote the diversity of cultural expressions in the digital environment

Human rights and fundamental

- (including women and youth
- 3. Establish interministerial
- **4.** Design, revise or implement

- 1. Conduct studies and collect data
- 2. Provide spaces dedicated to
- 4. Design regulations, policies and

- 4. Negotiate cultural clauses in dealing with e-commerce and

- 1. Audit and identify specific digital
- to strengthen the digital skills and

Achieve a balanced flow of cultural

Integrate culture in sustainable

#### Item 14 of the agenda: Future activities of the Committee

#### Resolution 7.CP 14

- 1. <u>Having examined</u> Document DCE/19/7.CP/14 and taking into account the references in paragraph 3;
- 2. <u>Takes note</u> of Decisions 11.IGC 6 and 12.IGC 9, 10, 11 and 12 of the Committee;
- 3. <u>Invites</u> the Committee to:
  - implement the global capacity building strategy to design, implement and monitor policies and measures to promote and protect the diversity of cultural expressions through on-demand technical assistance, mentoring and peer to peer learning activities delivered through the Expert Facility and multi-stakeholder consultation processes at the national level;
  - implement the International Fund for Cultural Diversity (IFCD) and recommendations from its second external evaluation in order to develop a new fundraising and communication strategy, to revise the IFCD's results-based management framework on the basis of a project impact assessment, to undertake, if necessary, a revision of the guidelines on the use of the resources of the IFCD and to present their results to the eighth session of the Conference of Parties;
  - implement capacity-building activities, advocacy and training programmes related to preferential treatment measures, recognized under the Convention as an innovative mechanism to address the imbalance in trade relations and mobility barriers;
  - implement policy monitoring activities to assess the impact of the Convention and demonstrate its relevance to the Sustainable Development Goals through the collection and analysis of data, information and good practices based on the Parties' quadrennial periodic reports, national digital roadmaps and other sources, and share the results through the publication of research papers, the third edition of the Global Report series as well as through the Policy Monitoring Platform;
  - pursue synergies in the monitoring of policies and measures related to the 1980 Recommendation concerning the Status of the Artist as well as with the activities of the Communication and Information Sector and the Division for Gender Equality;
  - encourage and support the participation of civil society in the work of the Convention's governing bodies, undertake stakeholder outreach and advocacy activities to raise awareness of the Convention;
- 4. Requests the Committee to establish, at its thirteenth session, a work plan and timeline for the implementation of these activities taking into consideration the human and financial resources available and to submit a report on the implementation of these activities, the challenges met, and solutions found to overcome these challenges to the eighth session of the Conference of Parties.

## **Item 15 of the agenda:** Election of the members of the Committee

## Resolution 7.CP 15

- 1. <u>Having examined</u> Document DCE/19/7.CP/15, its Annexes, and Information Document DCE/19/7.CP/INF.3REV;
- 2. <u>Decides</u> that, for the purposes of the election of the Members of the Committee at this session, the 12 seats will be distributed among the electoral groups as follows:
  - Group I: Austria, Denmark
  - Group II: Armenia, Azerbaijan
  - Group III: Brazil, Ecuador, Saint Vincent and the Grenadines
  - Group IV: Mongolia
  - Group V(a): Burkina Faso, Ethiopia, Senegal
  - Group V(b): Qatar