



IIEP 58th Governing Board meeting

11-12 December 2018

Resolutions

[Resolution 551 on 58GB/3 Risk Register](#)

The Governing Board,

Having examined the updated Risk Register,

Appreciates the updates made consecutively to the 57th Governing Board meeting and to the 45th Executive Committee meeting,

Congratulates the Director on the inclusion of a fraud and corruption section,

Encourages the Director to monitor risks and risk mitigation actions throughout the 10th MTS and to update the Risk Register twice a year.

[Resolution 552 on 58GB/4 Part 1](#)

The Governing Board,

Having received the report of the *ad hoc* Committee on Finance and Administration,

Having reviewed the Report of the Director on the Activities carried out by IIEP in 2018 and Operational Plan for 2019, Document 58 GB/4 Part I and II, and Information documents and **bearing in mind** the information conveyed in the Director's oral report,

Congratulates the Director and IIEP on a strong first year of implementation of the 10th MTS,

Encourages further integration, complementarity, and subsidiarity between the IIEP Paris office and the two regional offices,

Having received the draft report of the Marketing Study of IIEP Paris training programme (document 58 GB/5), **requests** the Director to report to the Board at its 59th session on the future form of the Core Training Offer and its financial sustainability, including marketing to different funding sources,

Recommends increased cross-fertilization across its training, technical cooperation, research and outreach lines of work,

Expects enhanced efforts in 2019 to solidify its strategic partnerships with financial, intellectual and implementation partners for the realization of the Education 2030 agenda,

Expresses its gratitude to the Governments of France and Argentina for the support they are providing to the Institute, and encourages them to increase their support,

Further expresses its gratitude to the Member States, in particular Sweden and Switzerland, for maintaining and increasing their multiyear voluntary contributions to the Institute in 2018 and urges Norway to increase or re-establish its previous level of support,

Confirms that the 2018 expenditures are in conformance with the 10th Medium-Term Strategy,

Approves document 58 GB/4 Parts I and II and Information documents as presented by the Director.

APPROPRIATION RESOLUTION [Excerpted from 58 GB/4 Part II]

Resolution 553

The Governing Board,

Having examined the Report by the Director on the activities of the IIEP in 2018 (Document 58 GB/4 – Part II),

Taking note of the expenditure for the Regular Programme, by appropriation line, including the available financial resources for 2018,

Resolves that for the financial period 1 January to 31 December 2018, the total appropriation should amount to US\$12 972 162 to be financed by estimated income of US\$ 11 411 282 and reserves estimated at US\$ 6 231 568 as follows:

A. Regular Programme

Appropriation Line	Amount in US\$
I. Programme Operations	
A. Training (line 1)	2 549 378
B. Technical Cooperation (line 2)	2 046 617
C. Research & Development (line 3)	2 193 699
D. Outreach and Advocacy (line 4)	2 276 216
	Sub-total I
	9 065 911
II. Directorate and Administration	
D. Governing Board	484 723
E. Directorate/Monitoring and Evaluation	814 261
F. General Administration	1 192 541
Institutional Information Technology	196 785
Administrative support funds	315
G. Investment	
Staff development	73 488
Renovation of building	308 712
IT Technology	835 428
	Sub-total II
	3 906 252
	Total Appropriation
	<u>12 972 162</u>

Income and Other Resources	Amount in US\$
I. UNESCO Financial Allocation	2 140 101
II. Voluntary Contributions	7 305 299
Sub-total I & II	9 445 400
III. Other Income	
Programme Support Funds	1 642 621
Income from training	261 951
Miscellaneous Income	61 310
Sub-total III	1 965 882
Sub-total I, II & III	<u>11 411 282</u>
IV. Other Resources	
Liquidation of previous years' obligations	30 000
Transfer to Stabilization Reserve Account	(528 777)
Reserves and Fund Balances on 1 January	6 730 346
Sub-total IV	6 231 568
Total Income and Other Resources Regular Programme	<u>17 642 850</u>

Further taking note of the expenditure for the Extrabudgetary Programme, by appropriation line, and in view of the new contracts and available financial resources for 2018,

Resolves that for the financial period 1 January to 31 December 2018, the total appropriation should amount to US\$9 199 179 to be financed by estimated income of US\$ 6 236 857 and reserves estimated at US\$ 6 431 925 as follows:

B. Extrabudgetary Programme

Appropriation Line	Amount in US\$
I. Programme Operations ¹	
A. Training (line 1)	2 671 698
B. Technical Cooperation (line 2)	6 516 332
C. Research & Development (line 3)	662 723
D. Outreach and Advocacy (line 4)	1 442 433
Sub-total I	11 293 185
II. Investment	
E. Renovation of building	560 183
Sub-total II	560 183
III. Programme Support Funds	
F. Programme Support Fund Interfund eliminations	(2 654 190)
Sub-total III	(2 654 190)
Total Appropriation	<u>9 199 179</u>

Income and Other Resources

I. Contracts	
Governments	2 346 193
Other	6 428 857
France (IIEP building maintenance)	115 998
	Sub-total I
	8 891 047
II. Other Income	
Programme Support Fund Interfund eliminations	(2 654 190)
	Sub-total II
	(2 654 190)
	Total Income I & II
	<u>6 236 857</u>
III. Other Resources	
Liquidation of previous years' obligations	50 000
Reserves and Fund Balances on 1 January	6 381 925
	Sub-total III²
	6 431 925
	Total Income and Other Resources Extrabudgetary Programme
	<u>12 668 784</u>

¹ Includes Paris, Buenos Aires & Pôle de Dakar programmes and activities

² On-going multi-year Extrabudgetary projects that will be implemented beyond 2018

Resolution 554 on the Certified Accounts

The Governing Board,

Having examined the certified accounts for the year 2017 and the Auditor's report thereon contained in document *58 GB/4 Inf.1*,

Approves the report of the External Auditor and the audited financial statements of IIEP for the financial period 1 January – 31 December 2017,

Expresses its satisfaction for the quality of the audit and **requests** the Director to communicate this Resolution to the External Auditor.

Resolution 555 on the proposed 2019 budget

The Governing Board,

Having examined the Draft Programme and Budget for 2019 contained in document *58 GB/4 – Part II*,

Having examined the Draft Programme and Budget for 2019 contained in document *58 GB/4 – Part II*, and **having been informed** on the uncertainties linked to pending decisions from major core funders,

Applauds the integration of IIEP Pôle de Dakar into the Regular Programme budget,

Advises IIEP Management to implement cautiously the programme and the recruitment plan, to step up cost recovery for those activities, especially Research and Development, funded primarily by regular budget,

Requests the Director to report back to the Executive Committee in mid-2019 with detailed financial forecast scenarios accounting for new funding commitments and spending levels for 2019-2021, and taking account of both levels and uses of the Operating Reserve and the Stabilization Reserve.

If necessary, **requests** the Director to present the Executive Committee with a revised budget,

Resolves that,

A. Regular Programme

- (a) for the financial period 1 January to 31 December 2019, the total appropriation should amount to US\$ 15 765 646, to be financed by estimated income of US\$ 15 813 798 and reserves estimated at US\$ 4 073 721, hereby appropriated for the purpose indicated in the appropriation table as follows:

Appropriation Line¹	Amount in US\$
I. Programme Operations	
A. Training (line 1)	3 008 413
B. Technical Cooperation (line 2)	3 565 029
C. Research & Development (line 3)	2 489 336
D. Outreach and Advocacy (line 4)	2 760 959
Sub-total I	11 823 737
II. Directorate and Administration	
D. Governing Board	554 725
E. Directorate/Monitoring and Evaluation	929 507
F. General Administration	1 350 358
Institutional Information Technology	301 120
G. Investment	
Staff development	114 847
Renovation of building	204 627
IT Technology	486 725
Sub-total II	3 941 908
Total Appropriation	<u>15 765 646</u>

- (b) The total appropriation voted in paragraph (a) above shall be financed by the funds allocated for the operation of the Institute and which are or shall be paid into (i) the Special Account set up by the Director-General of UNESCO in accordance with the relevant provisions of the Organization's Financial Regulations and with Article VIII, paragraphs 1 and 2 of the Statutes of the Institute, and (ii) the Stabilization Reserve Account set up on 1 July 1993 in accordance with Resolution 251 adopted by the Executive Committee of the Governing Board at its 28th session in June 1993, as follows:

Income and Other Resources¹	Amount in US\$
I. UNESCO Financial Allocation	2 140 101
II. Voluntary Contributions	10 896 838
Sub-total I & II	13 036 939
III. Other Income	
Programme Support Funds	2 414 669
Income from training	352 190
Miscellaneous Income	10 000

Sub-total III	2 776 859
Sub-total I, II & III	<u>15 813 798</u>
IV. Other Resources	
Liquidation of previous years' obligations	30 000
Transfer to Stabilization Reserve Account	(626 966)
Reserves and Fund Balances on 1 January	4 670 688
Sub-total IV	4 073 721
Total Income and Other Resources Regular Programme	<u>19 887 520</u>

- (c) The Director is authorized to incur obligations during the financial period 1 January to 31 December 2018 up to the amount appropriated under (a) above.
- (d) The Director is authorized to accept and add to the appropriation approved under paragraph (a) above, subventions, contributions, grants, gifts or bequests, fees and miscellaneous income, taking into account the provisions of Article 3.2 of the Financial Regulations of the Special Account for UNESCO International Institute for Educational Planning (IIEP). The Director shall provide information on the amounts accepted to the Governing Board, in writing, at the session following such action.
- (e) The Director is authorized to make transfers between appropriation lines not exceeding 20 per cent of the total amount of the appropriation from which the funds are transferred.
- (f) The Director is authorized to make transfers between appropriation lines in excess of the percentage indicated in (e) above with the prior approval of the Governing Board.
- (g) In urgent and special circumstances, when an immediate action becomes imperative the Director may make transfers exceeding the percentage indicated in (e) above, but not exceeding the sum of US\$50 000, between appropriation lines, informing the Members of the Governing Board in writing, at the session following such action, of the details of the transfers and the reasons for them.
- (h) The Director is authorized, when the payment of expected funds is delayed for unforeseen reasons or circumstances, to transfer to programme costs the necessary funds from the Stabilization Reserve Account in order to ensure the continuation of programmes and projects, on the understanding that the amount so transferred be returned to the Account in the same financial period and/or, at the latest, during the ensuing two consecutive financial periods.

B. Extrabudgetary Programme

Takes note that,

- (a) The Director is authorized to receive funds or assistance in kind from governments, international, regional, or national organizations, governmental or non-governmental institutions and other bodies as well as from individuals, for the implementation of programmes, projects, or other activities consistent with the aims, policies, and activities of IIEP and of UNESCO, and to incur obligations for such activities in accordance with the Regulations of the Special Account of the IIEP and/or the Regulations and Rules of UNESCO and the agreements made with the donors.
- (b) The Director shall seek approval, in writing, from the Members of the Governing Board, for all projects exceeding the amount of US\$500,000, in accordance with the decision that was taken during the 47th Governing Board session.

Resolves that for the financial period 1 January to 31 December 2019, the total appropriation for the Extrabudgetary Programme should amount to US\$ 8 296 906 to be financed by estimated income of US\$6 234 230 and reserves estimated at US\$ 3 519 604.

Appropriation Line	Amount in US\$
I. Programme Operations ²	
A. Training (line 1)	1 604 875
B. Technical Cooperation (line 2)	9 217 524
C. Research & Development (line 3)	136 305
D. Outreach and Advocacy (line 4)	88 780
Sub-total I	11 047 485
II. Investment	
E. Renovation of building	50 000
Sub-total II	50 000
III. Programme Support Funds	
F. Programme Support Fund Interfund eliminations	(2 800 579)
Sub-total III	(2 800 579)
Total Appropriation	<u>8 296 906</u>
Income and Other Resources	
I. Contracts	
Governments	1 487 353
Other	7 429 922
France (IIEP building maintenance)	117 534
Sub-total I	9 034 809
II. Other Income	
Programme Support Fund Interfund eliminations	(2 800 579)
Sub-total II	(2 800 579)
Total Income I & II	<u>6 234 230</u>
III. Other Resources	-
Liquidation of previous years' obligations	50 000
Reserves and Fund Balances on 1 January	3 469 604
Sub-total III³	3 519 604
Total Income and Other Resources Extrabudgetary Programme	<u>9 753 835</u>

¹ Includes Paris & Pôle de Dakar Regular Programmes

² Includes Paris, Buenos Aires & Pôle de Dakar extrabudgetary programmes and activities

³ On-going multi-year Extrabudgetary projects that will be implemented beyond 2019

Resolution 556 on 58GB/4 Inf 2 the 10th Medium-Term Strategy Monitoring & Evaluation Plan

The Governing Board,

Referring to IIEP's revised Monitoring and Evaluation Plan for the 10th Medium-Term Strategy as adopted in June 2018 (45 EXC/2),

Having examined the updated methodology provided in document 58 GB/4 Inf. 2,

Having heard the report of the first year of implementation of the Monitoring & Evaluation Plan,

Congratulates IIEP for its investments and progress in deploying robust information systems and for monitoring all 20 Key Performance Indicators (KPIs) for the three IIEP offices,

Acknowledges the effort to refine some KPIs definitions, baselines, and targets as reflected in the updated 10th MTS results Framework Methodological Note (M&E Plan, Appendix 1),

Recommends that the Results Framework (Key Performance Indicators) more comprehensively reflect the coverage of 10th MTS Thematic Priorities,

Requests the Director to investigate and propose specific actions to accelerate progress towards a more ambitious target for KPI 1.3.4 on gender responsive technical cooperation projects and to report to the Governing Board with disaggregated figures by IIEP offices,

Encourages IIEP to further integrate lessons learned through monitoring of programme implementation and external evaluations in its training, technical cooperation, research and outreach activities to reach the Institute's strategic goals.

Resolution 557 on 58GB/6 External Evaluation of IIEP Pôle de Dakar and Management Response and evolution of the office

The Governing Board,

Having examined the External Evaluation of IIEP Pôle de Dakar (Document 58 GB/6),

Taking note of IIEP's Management response to the recommendations of the External Evaluation of IIEP Pôle de Dakar,

Commends the progress made in integrating the Pôle de Dakar within the global IIEP, the investments made, the results obtained and the synergies created among all three offices,

Welcomes a strategic mapping of priorities to guide the evolution of the Office,

Encourages the global IIEP to consolidate the incorporation of the IIEP Pôle de Dakar to expand the services offered to African countries by strengthening its work in the three programme modalities,

Enjoins IIEP Management to stabilize the staffing at IIEP Pôle de Dakar and recruit staff with appropriate profiles to more fully serve the entire African continent,

Welcomes AFD's multiyear financial engagement with global IIEP and its support of the evolution of IIEP Pôle de Dakar,

Encourages the global IIEP to diversify the funding of the Pôle de Dakar to allow long-term support for beneficiary countries in responding to SDG 4 and the 2030 Agenda for Education,

Requests the Director to report to the Board at its 59th session on progress.

Resolution 558 on 58GB/7 General Conference recommendations on governance

The Governing Board,

Having read the Annex 1 of the document referenced 39 C/20, Working Group on the Governance, procedures, and working methods of the Governing bodies of UNESCO, and the letter of the President of the General Conference,

Considering the set of recommendations submitted to the open-ended Working Group on governance, procedures, and working methods of the governing bodies by the IIEP Governing Board (January 2017),

Recognizes the improvements already made since 2017,

Welcomes the recommendations made to improve the reporting of the IIEP Governing Board to the General Conference,

Invites the Director to share with all Permanent Delegations the document 58 GB/4 part I,

Further invites the IIEP Chairperson to present the conclusion of the debate that took place during the 58th session (12 December 2018) to the President of the General Conference.