

**UNESCO
INTERNATIONAL INSTITUTE FOR EDUCATIONAL PLANNING**

**59th SESSION OF THE GOVERNING BOARD
(Paris, 16-17 December 2019)**

RESOLUTIONS

Resolution 559 on 59GB/3 Risk Management

The Governing Board,
Having examined the updated Risk Register,

Appreciates the updates made consecutively to the 58th Governing Board meeting and to the 46th Executive Committee meeting,

Encourages the Director to monitor risk and risk mitigation actions throughout the 10th MTS and to update the Risk Register twice a year.

Resolution 560 on 59GB/4 Part 1

The Governing Board,

Having reviewed the Report of the Director on the Activities carried out by IIEP in 2019 and Operational Plan for 2020, Document 59 GB/4 Part I and II, and Information documents and **bearing in mind** the information conveyed in the Director's oral report,

Congratulates the Director and IIEP on a strong second year of implementation of the 10th MTS and the progress expanding its programme to support the realization of the Education 2030 agenda,

Expresses appreciation for increased cross-fertilization across its training, technical cooperation, research and outreach lines of work,

Acknowledges the efforts of the Director and IIEP on the further integration, complementarity, and subsidiarity between the IIEP Paris office and the two regional offices,

Encourages the Director, based on the Board's evaluation of the implementation of the 10th Medium-Term Strategy, to consolidate the results pertaining to the objectives assessed as 'on track' and to increase efforts for those which are not.

Requests the Director to modify the Roadmap for the IIEP's 11th Medium-Term Strategy so that a first draft of the Concept Note is circulated to the Board for the 47th session of the Executive Committee and **looks forward to receiving** an updated Concept Note at the 60th session of the Governing Board,

Asks the Director to include a financial framework as part of the 11th Medium-Term Strategy,

Expresses its gratitude to the Governments of France and Argentina for the support they are providing to the Institute, and encourages them to increase their support,

Further expresses its gratitude to the Member States, in particular France, Norway, Sweden and Switzerland, for increasing their voluntary contributions to the Institute in 2019,,

Approves document 58 GB/4 Parts I and II and Information documents as presented by the Director.

APPROPRIATION RESOLUTION [Excerpted from 59 GB/4 Part II]
Resolution 561

The Governing Board,

Having examined the Report by the Director on the activities of the IIEP in 2019 (Document 58 GB/4 – Part II),

Taking note of the expenditure for the Regular Programme, by appropriation line, including the available financial resources for 2019,

Resolves that for the financial period 1 January to 31 December 2019, the total appropriation should amount to US\$14 559 585 to be financed by estimated income of US\$ 18 036 025 and reserves estimated at US\$ 3 917 388 as follows:

A. Regular Programme

| Appropriation Line | Amount in US\$ |
|---|--------------------------|
| I. Programme Operations | |
| A. Training (line 1) | 3 075 463 |
| B. Technical Cooperation (line 2) | 2 931 850 |
| C. Research & Development (line 3) | 2 938 966 |
| D. Outreach and Advocacy (line 4) | 2 239 901 |
| Sub-total I | 11 186 180 |
| II. Directorate and Administration | |
| D. Governing Board | 336 014 |
| E. Directorate/Monitoring and Evaluation | 664 582 |
| F. General Administration | 1 359 157 |
| Institutional Information Technology | 284 881 |
| G. Investment | |
| Staff development | 240 901 |
| Renovation of building | 126 871 |
| IT Technology | 360 999 |
| Sub-total II | 3 373 405 |
| Total Appropriation | <u>14 559 585</u> |
| Income and Other Resources | Amount in US\$ |
| I. UNESCO Financial Allocation | 2 140 100 |
| II. Voluntary Contributions | 13 429 207 |
| Sub-total I & II | 15 569 307 |
| III. Other Income | |
| Programme Support Funds | 1 812 555 |
| Income from training | 324 163 |
| Miscellaneous Income | 330 000 |
| Sub-total III | 2 466 718 |
| Sub-total I, II & III | <u>18 036 025</u> |
| IV. Other Resources | |
| Liquidation of previous years' obligations | 30 000 |
| Transfer to Stabilization Reserve Account | (591 156) |
| Reserves and Fund Balances on 1 January | 4 478 544 |
| Sub-total IV | 3 917 388 |
| Total Income and Other Resources Regular Programme | <u>21 953 413</u> |

Further taking note of the expenditure for the Extrabudgetary Programme, by appropriation line, and in

view of the new contracts and available financial resources for 2019,

Resolves that for the financial period 1 January to 31 December 2019, the total appropriation should amount to US\$7 430 262 to be financed by estimated income of US\$ 8 097 492 and reserves estimated at US\$ 3 764 195 as follows:

B. Extrabudgetary Programme

| Appropriation Line | Amount in US\$ |
|--|--------------------------|
| I. Programme Operations ¹ | |
| A. Training (line 1) | 1 783 599 |
| B. Technical Cooperation (line 2) | 7 378 555 |
| C. Research & Development (line 3) | 145 246 |
| D. Outreach and Advocacy (line 4) | 273 104 |
| Sub-total I | 9 580 503 |
| II. Investment | |
| E. Staff Service Account | 7 606 |
| Sub-total II | 7 606 |
| III. Programme Support Funds | |
| F. Programme Support Fund Interfund eliminations | (2 157 847) |
| Sub-total III | (2 157 847) |
| Total Appropriation | <u>7 430 262</u> |
| Income and Other Resources | |
| I. Contracts | |
| Governments | 1 437 570 |
| Other | 8 817 769 |
| Sub-total I | 10 255 339 |
| II. Other Income | |
| Programme Support Fund Interfund eliminations | (2 157 847) |
| Sub-total II | (2 157 847) |
| Total Income I & II | <u>8 097 492</u> |
| III. Other Resources | |
| Liquidation of previous years' obligations | 50 000 |
| Reserves and Fund Balances on 1 January | 3 714 195 |
| Sub-total III² | 3 764 195 |
| Total Income and Other Resources Extrabudgetary Programme | <u>11 861 687</u> |

¹ Includes Paris, Buenos Aires & Pôle de Dakar programmes and activities

² On-going multi-year Extrabudgetary projects that will be implemented beyond 2019

Resolution 562 on the Certified Accounts

The Governing Board,

Having examined the certified accounts for the year 2018 and the Auditor's report thereon contained in document *59 GB/4 Inf. 2*,

Approves the report of the External Auditor and the audited financial statements of IIEP for the financial period 1 January – 31 December 2018,

Expresses its satisfaction for the quality of the audit and **requests** the Director to communicate this Resolution to the External Auditor.

Resolution 563 on the proposed 2020 budget

The Governing Board,

Having examined the Draft Programme and Budget for 2020 contained in document 59 GB/4 – Part II, Applauds the integration of IIEP Pôle de Dakar into the Regular Programme budget and, **Resolves** that,

A. Regular Programme

- (a) for the financial period 1 January to 31 December 2020, the total appropriation should amount to US\$ 18 159 940, to be financed by estimated income of US\$ 19 416 212 and reserves estimated at US\$ 6 799 823, hereby appropriated for the purpose indicated in the appropriation table as follows:

| Appropriation Line¹ | Amount in US\$ |
|--|----------------------------|
| I. Programme Operations | |
| A. Training (line 1) | 3 853 495 |
| B. Technical Cooperation (line 2) | 3 867 104 |
| C. Research & Development (line 3) | 3 336 528 |
| D. Outreach and Advocacy (line 4) | 2 862 031 |
| | Sub-total I |
| | 13 919 158 |
| II. Directorate and Administration | |
| D. Governing Board | 383 318 |
| E. Directorate/Monitoring and Evaluation | 930 329 |
| F. General Administration | 1 645 555 |
| Institutional Information Technology | 354 533 |
| G. Investment | |
| Staff development | 344 123 |
| Renovation of building | 117 081 |
| IT Technology | 465 842 |
| | Sub-total II |
| | 4 240 782 |
| | Total Appropriation |
| | <u>18 159 940</u> |

- (b) The total appropriation voted in paragraph (a) above shall be financed by the funds allocated for the operation of the Institute and which are or shall be paid into (i) the Special Account set up by the Director-General of UNESCO in accordance with the relevant provisions of the Organization's Financial Regulations and with Article VIII, paragraphs 1 and 2 of the Statutes of the Institute, and (ii) the Stabilization Reserve Account set up on 1 July 1993 in accordance with Resolution 251 adopted by the Executive Committee of the Governing Board at its 28th session in June 1993, as follows:

| Income and Other Resources¹ | Amount in US\$ |
|---|---|
| I. UNESCO Financial Allocation | 2 140 100 |
| II. Voluntary Contributions | 14 745 477 |
| | Sub-total I & II |
| | 16 885 577 |
| III. Other Income | |
| Programme Support Funds | 1 960 927 |
| Income from training | 313 078 |
| Miscellaneous Income | 256 630 |
| | Sub-total III |
| | 2 530 634 |
| | Sub-total I, II & III |
| | <u>19 416 212</u> |
| IV. Other Resources | |
| Liquidation of previous years' obligations | 30 000 |
| Transfer to Stabilization Reserve Account | (624 005) |
| Reserves and Fund Balances on 1 January | 7 393 828 |
| | Sub-total IV |
| | 6 799 823 |
| | Total Income and Other Resources Regular Programme |
| | <u>26 216 034</u> |

- (c) The Director is authorized to incur obligations during the financial period 1 January to 31 December 2020 up to the amount appropriated under (a) above.

- (d) The Director is authorized to accept and add to the appropriation approved under paragraph (a) above, subventions, contributions, grants, gifts or bequests, fees and miscellaneous income, taking into account the provisions of Article 3.2 of the Financial Regulations of the Special Account for UNESCO International Institute for Educational Planning (IIEP). The Director shall provide information on the amounts accepted to the Governing Board, in writing, at the session following such action.
- (e) The Director is authorized to make transfers between appropriation lines not exceeding 20 per cent of the total amount of the appropriation from which the funds are transferred.
- (f) The Director is authorized to make transfers between appropriation lines in excess of the percentage indicated in (e) above with the prior approval of the Governing Board.
- (g) In urgent and special circumstances, when an immediate action becomes imperative the Director may make transfers exceeding the percentage indicated in (e) above, but not exceeding the sum of US\$50 000, between appropriation lines, informing the Members of the Governing Board in writing, at the session following such action, of the details of the transfers and the reasons for them.
- (h) The Director is authorized, when the payment of expected funds is delayed for unforeseen reasons or circumstances, to transfer to programme costs the necessary funds from the Stabilization Reserve Account in order to ensure the continuation of programmes and projects, on the understanding that the amount so transferred be returned to the Account in the same financial period and/or, at the latest, during the ensuing two consecutive financial periods.

B. Extrabudgetary Programme

Takes note that,

- (a) The Director is authorized to receive funds or assistance in kind from governments, international, regional, or national organizations, governmental or non-governmental institutions and other bodies as well as from individuals, for the implementation of programmes, projects, or other activities consistent with the aims, policies, and activities of IIEP and of UNESCO, and to incur obligations for such activities in accordance with the Regulations of the Special Account of the IIEP and/or the Regulations and Rules of UNESCO and the agreements made with the donors.
- (b) The Director shall seek approval, in writing, from the Members of the Governing Board, for all projects exceeding the amount of US\$500,000, in accordance with the decision that was taken during the 47th Governing Board session.

Resolves that for the financial period 1 January to 31 December 2020, the total appropriation for the Extrabudgetary Programme should amount to US\$ 6 974 124 to be financed by estimated income of US\$6 403 984 and reserves estimated at US\$ 4 481 425.

| Appropriation Line | Amount in US\$ |
|--|--------------------------|
| I. Programme Operations ² | |
| A. Training (line 1) | 1 939 151 |
| B. Technical Cooperation (line 2) | 6 670 037 |
| C. Research & Development (line 3) | 347 709 |
| D. Outreach and Advocacy (line 4) | 229 326 |
| Sub-total I | 9 186 222 |
| II. Programme Support Funds | |
| F. Programme Support Fund Interfund eliminations | (2 212 099) |
| Sub-total III | (2 212 099) |
| Total Appropriation | <u>6 974 124</u> |
| Income and Other Resources | |
| I. Contracts | |
| Governments | 760 833 |
| Other | 7 855 249 |
| Sub-total I | 8 616 082 |
| II. Other Income | |
| Programme Support Fund Interfund eliminations | (2 212 099) |
| Sub-total II | (2 212 099) |
| Total Income I & II | <u>6 403 984</u> |
| III. Other Resources | |
| Liquidation of previous years' obligations | 50 000 |
| Reserves and Fund Balances on 1 January | 4 431 425 |
| Sub-total III³ | 4 481 425 |
| Total Income and Other Resources Extrabudgetary Programme | <u>10 885 409</u> |

¹ Includes Paris & Pôle de Dakar Regular Programmes

² Includes Paris, Buenos Aires & Pôle de Dakar extrabudgetary programmes and activities

³ On-going multi-year Extrabudgetary projects that will be implemented beyond 2020

Resolution 564 on the financial sustainability of IIEP

The Governing Board,

Having received the report of the ad hoc Committee on Finance and Administration,

Having reviewed the Report of the Director on the Activities carried out by IIEP in 2019 and Operational Plan for 2020, Document 59 GB/4 Part II – Finance and Budget, and **bearing in mind** the information conveyed in the Director's oral report,

Confirms that the 2019 expenditures are in conformance with the 10th Medium-Term Strategy,

Encourages the Director and IIEP to expand its strategic partnerships with financial, intellectual and implementation partners for the realization of the Education 2030 agenda,

Recognizes that IIEP marked growth over the next two years, while encouraged by demand from Member States, cannot be sustained without significant increase in the volume of voluntary contributions, and **encourages** the Director to approach new funders,

Notes that the current financial scenarios shows that in 2021 the level of operating reserves will not respect the minimum requirement if significant new core funding is not secured,

Expects the Director and IIEP to start preparing for budget scenarios based on financial forecasts starting in 2020 to ensure the respect of the minimum requirement for operating reserves from 2021 onwards, and to present this plan to the Executive Committee no later than by the end of the first semester of 2020.

Resolution 565 Special thanks Estelle Zadra

The Governing Board,

Expresses its deep appreciation to Ms Estelle Zadra, who served the Board and its Members with great dedication for 17 years, as Secretary.

Wishes Ms Zadra well in her new functions.

Resolution 566 on 59GB/5 Guidelines on conflict of interest

The Governing Board,

Having examined the Guidelines regarding Conflict of Interest or Potential Conflict of Interest for IIEP Governing Board Members, and the personal declaration relating thereto, Document 59 GB/5,

Thanks the Director for implementing new safeguards with a view to improving IIEP's Governance,

Approves the Guidelines and the Declaration as per the modified document, which will serve as the template,

Requests all Board Members, except for those appointed to the Board under Article III (a), III (b), III (c), and III (d) of the Statutes, to sign their declaration before the end of 2019.

Trusts that the institutional Board members appointed under Article III (a), III (b), III (c), and III (d) of the Statutes will act in the spirit of the guidelines and the personal declaration.

Resolution 567 on 59GB/6 Continued Improvements to IIEP's Governance

The Governing Board,

Having examined the improvements to IIEP's Governance contained in document 59 GB/6 Inf., including the Annex Management of the Education-related Category I Institutes, document 40 C/20 of the General Conference,

Thanks the Director for the excellent relationship she maintains with the Education Sector and its Executive Office, and for the role she played in finding a compromise acceptable to all parties,

Recognizes the improvements made since 2017,

Takes note of the amendments made to the Basic Texts, as approved by the General Conference,

Requests the Director to insert these changes and share the new Basic Texts on IIEP's website as early as possible, in order for the Chair to report appropriately to the 41st session of the General Conference,

Requests the Director to proceed accordingly to the modifications to the Rules of Procedures

Requests the Director to continue the discussion with ED/EO on the interim arrangements and present them to the Executive Committee at its next session.

Resolution 568 on 59GB/6 on the creation of a Finance and Administration Committee of the Governing Board

The Governing Board,

Having reviewed the report of the Finance and Administration ad hoc committee and its proposal to introduce the Finance and Administration Committee as a statutory committee, in accordance with Article V.2 “Procedure” of Part II *Statutes of the International Institute for Educational Planning* and Article XI.24 “Amendments” of Part III *Rules of Procedure* of IIEP’s Basic Texts.

Favors the creation of such a permanent committee as a sub-set of its Governing Board as yet another safeguard in place for financial sustainability of the institute,

Requests the Director to update the Rules of Procedure, according to Article V of its Basic texts according to the following wording, under Article VI Executive and other Committees of the Rules of Procedure:

Rule 17 - Finance and Administration Committee

1. There shall be a Finance and Administration Committee, composed of the Chairman of the Board and three members to be elected at each ordinary session from among the members of the Board.
2. The term of office of the elected members of the Finance and Administration Committee shall begin at the close of the ordinary session at which they are elected and shall end at the close of the following ordinary session.
3. A vacancy occurring among the elected members of the Finance and Administration Committee shall be filled by the remaining members of the Committee from among the members of the Board.
4. The functions of the Finance and Administration Committee are to
 - a. review the long-term financial situation of the Institute at each ordinary session of the Governing Board,
 - b. act as a financial safeguard so as to maintain excellent governance standards,
 - c. and make recommendations to the Governing Board or to the Executive Committee on financial and administrative matters.

Rule 18 - Procedure of the Finance and Administration Committee:

1. The Chairman of the Board shall serve as Chairman of the Finance and Administration Committee. The Committee shall make such rules and regulations as it deem proper for the conduct of its business. Committee meetings shall be called by the Chairman at the request of any member, but the Committee shall be deemed to be in continuous session.
2. Three members of the Finance and Administration Committee shall constitute a quorum.

Resolution 569 on general improvements to the Rules of Procedure

The Governing Board,

Having read the Rules of Procedure thoroughly, so as to comply with General Conference resolution and to improve IIEP's Governance,

Having ascertained the entire text and having detected several mistakes and obsolete phrasings,

Requests the Director, in accordance with Article V.2 "Procedure" of Part II *Statutes of the International Institute for Educational Planning* and Article XI.24 "Amendments" of Part III *Rules of Procedure* of IIEP's Basic Texts, to correct, modify and update the rules, notably on the methods of communication from "mail, cable or telegram" to "mail, email or any other legally-accepted electronic means", and to adopt gender-neutral language throughout the document.

Resolution 570 on 59GB/7 recommendation for appointment of two Board Members by Director-General

The Governing Board,

Having received the recommendation made by the Nominating Committee to fill the vacancy which will occur on the Board as a result of the expiration of the term of office of Ms Rukmini Banerji (India),

Acting in accordance with sub-paragraph (f) of paragraph 1 of Article III of the Statutes, and with Rules 6, 8, and 10 of the Rules of Procedure,

Designates Ms Rong Wang (China) for a period of four years which will begin at the close of the 59th session of the Governing Board (2019),

And **submits** its recommendation to the Director-General for approval.

The Governing Board,

Having received the recommendation made by the Nominating Committee to fill the vacancy which will occur on the Board as a result of the expiration of the term of office Mr José Weinstein (Chile),

Acting in accordance with sub-paragraph (f) of paragraph 1 of Article III of the Statutes, and with Rules 6, 8, and 10 of the Rules of Procedure,

Designates Mr José Weinstein (Chile) for a period of four years, which will begin at the close of the 59th session of the Governing Board (2019),

And **submits** its recommendation to the Director-General for approval.